

### 3. FURTHER CONDITIONS SUFFICIENT FOR NORMAL STRUCTURE AND RELATED CONDITIONS.

#### (3.1) The Condition of Opial.

A Banach space [dual space]  $X$  satisfies the weak [weak\*] Opial condition if whenever  $(x_n)$  converges weakly [weak\*] to  $x_0$  and  $x_0 \neq x_\infty$  we have

$$\liminf_n \|x_n - x_0\| < \liminf_n \|x_n - x_\infty\|$$

If equality is allowed in the above inequality we will say  $X$  satisfies the non-strict weak [weak\*] Opial condition.

In the weak\* case it is natural to allow  $(x_n)$  to be a net. Otherwise we would need to restrict attention to spaces with a weak\*-sequentially compact ball [For example; the dual of a separable space, or more generally the dual of any smoothable space - Sullivan and Hagler, 1979].

Z. Dyrda [1967] introduced the weak condition to expand upon results of Browder and Petryshyn [1966] concerning the weak convergence of iterates for a non-expansive map on a closed convex

subset to a fixed point.

A more extensive examination of the condition was made by Gossez and Lami-Dozo [1972]. In particular they prove the following.

1.1 THEOREM. If  $X$  is a Banach space satisfying the weak Opial condition then  $X$  has weak normal structure, in particular then  $X$  has the w-F.P.P.

Proof. Suppose  $X$  fails to have w-normal structure then by Remark (1.18)  $X$  contains a weakly null sequence  $(x_n)$  satisfying

$$\lim_n \text{diam} (x_{n+1}, \overline{\text{co}} \{x_k\}_{k=1}^n) = d,$$

where  $d = \text{diam} \overline{\text{co}} \{x_k\}_{k=1}^\infty$ .

Now given any  $\varepsilon > 0$  there exists, by Mazur,  $\lambda_1, \lambda_2, \dots, \lambda_{n_0} \geq 0$  with  $\sum_{i=1}^{n_0} \lambda_i = 1$  such that

$$\left\| \sum_{i=1}^{n_0} \lambda_i x_i \right\| < \varepsilon/2.$$

Also, there exists  $N > n_0$  so that for  $m \geq N$  we have  $\text{diam} (x_m, \overline{\text{co}} \{x_k\}_{k=1}^{n_0}) > d - \varepsilon/4$ .

But then,

$$\begin{aligned} d &\geq \|x_m\| \geq \left\| x_m - \sum_{i=1}^{n_0} \lambda_i x_i \right\| - \varepsilon/2 \\ &\geq \text{diam} (x_m, \overline{\text{co}} \{x_k\}_{k=1}^{n_0}) - \varepsilon/2 \\ &> d - \varepsilon. \end{aligned}$$

Thus  $x_m \xrightarrow{w} 0$  while for any  $x_0 \in \overline{\text{co}} \{x_k\}_{k=1}^{n_0}$  we have

$$\liminf_n \|x_n\| = d \geq \liminf_n \|x_n - x\|$$

contradicting the weak opial condition. ▣

The use of Mazur's result in the above proof leaves open the following.

QUESTION: Does a dual space with the weak\* opial condition have  $w^*$ -normal structure?

None-the-less we do have the following result, proved indirectly by Karlovitz [1976].

B.1.2 PROPOSITION If  $X$  is a dual space satisfying the weak\* opial condition, then  $X$  has the  $w^*$ -F.P.P.

Proof [van Dulst, 1982]. Let  $C$  be any  $w^*$ -compact convex subset of  $X$  and let  $T: C \rightarrow C$  be a non-expansive map. Choose  $(x_n) \subset C$  so that  $\|x_n - Tx_n\| \rightarrow 0$  (an approximate fixed point sequence for  $T$ ). Passing to a subnet if necessary we may assume that  $x_n \xrightarrow{w^*} x_\infty$ .

$$\text{Then } \liminf_n \|Tx_\infty - x_n\|.$$

$$= \liminf_n \|Tx_\infty - Tx_n\|$$

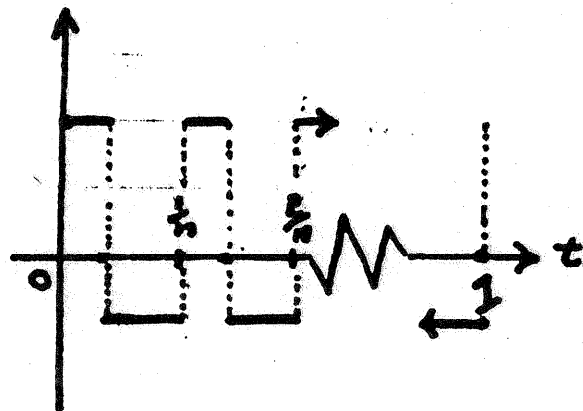
$$\leq \liminf_n \|x_\infty - x_n\|$$

contradicting the  $w^*$  Opial condition unless  $Tx_\infty = x_\infty$ . ■

QUESTION: What can be said of spaces satisfying the non-strict Opial conditions?

We remark that  $L_1[0,1]$  fails the non-strict weak Opial condition. To see this, let  $f_n$  be the function obtained by extending periodically to all of  $[0,1]$  the function defined on  $[0, 1/n]$  by

$$f_n(t) = \begin{cases} 2 & 0 \leq t \leq 1/3n \\ -1 & 1/3n < t \leq 1/n \end{cases}$$



It is readily seen that  $f_n \xrightarrow{w} 0$ ,  $\|f_n\|_1 = 4/3$ , for all  $n$ , while  $\|f_n - f_0\|_1 = 1$  where  $f_0(t) = -1$ . Thus the weak non-strict Opial condition is violated.

In order to facilitate the investigation of specific spaces we consider the relationship between the Opial conditions and other properties of the space.

Karlovitz [1976] established intimate relationships between weak [and weak\*] Opial condition and "approximate symmetry" in the Birkhoff-James notion of orthogonality, we however will pursue a different line.

Recall, the duality map for a Banach space  $X$  is

$$\mathcal{D}: X \rightarrow \mathcal{Z}^{X^*}: x \mapsto \{f \in X^*: f(x) = \|f\|^2 = \|x\|^2\}$$

By an (extended) support mapping for  $X$  we shall understand a mapping of the form

$$x \mapsto \mu(\|x\|) \mathcal{F}$$

where  $\mu$  is a strictly increasing continuous gauge function with  $\mu(0) = 0$  and  $\mathcal{F}$  is a selection from  $\mathcal{D}(x/\|x\|)$ .

Opial [1967] observed that a uniformly convex space which admits a weak to weak\* sequentially continuous support mapping satisfies the weak Opial condition. That uniform convexity need not imply the existence of such a support mapping had been observed by Browder [1966] and Hayes and Sims (in connection with operator numerical ranges). Indeed  $L_4[0,1]$  does not have a weak to weak (equal to weak\*) continuous support mapping. Opial extended this

to  $L_p[0,1]$  for all  $p \neq 2$ . In fact, Fixman and Rao have characterized  $L_p(\mathcal{B}, \Sigma, \mu)$  spaces with a weak to weak continuous support mapping as those spaces for which every  $A \in \Sigma$  with  $0 < \mu(A) < \infty$  contains an atom.

The early results were substantially improved by Goosy and Lami-Dozo [1972]. They showed that the assumption of uniform convexity is unnecessary:

A Banach space [dual space] with a weak [weak\*] to weak\* sequentially continuous support mapping satisfies the weak [weak\*] Opial condition.

However this condition is not necessary: For  $1 < p < q < \infty$  the space  $(l_p \oplus l_q)_2$  satisfies the weak Opial condition, but [Bruck, 1969] no support mapping is weak to weak continuous.

The result of Goosy and Lami-Dozo is an immediate corollary of

(3.1.3) THEOREM [Simons, 1985]: The Banach space [dual space]  $X$  satisfies the weak [weak\*] Opial condition if and only if whenever  $(x_n)$  converges weakly [weak\*] to a non-zero limit  $x_\infty$  there is a  $\delta > 0$  so that eventually  $\mathcal{B}(x_n) \cap X_\infty \subset [\delta, \infty)$ .

Proof. ( $\Rightarrow$ ) Assume this were not the case,

\*) with  $w^*$ -seq  
compact ball

(and scaling)

by passing to a subsequence, we can find  $(x_n)$  converging weakly [weak\*] to  $x_\infty$  with  $1 = \|x_n\| \geq \|x_\infty\| > 0$  and  $f_n \in \mathcal{D}(x_n)$  such that  $\lim_n f_n(x_\infty) \leq 0$ .

$$\begin{aligned} \text{But } 1 &= \liminf \|x_n - 0\| \\ &> \liminf \|x_n - x_\infty\| \\ &\geq \liminf f_n(x_n - x_\infty) \\ &= \liminf (1 - f_n(x_\infty)) \\ &= 1 - \lim f_n(x_\infty) \end{aligned}$$

whence  $\lim f_n(x_\infty) > 0$ , a contradiction.

( $\Leftarrow$ ) [a modification of the proof in Gossez and Lami-Dore 1972.]

Using the integral representation for the convex function  $t \mapsto \frac{1}{2} \|x + ty\|^2$  [Roberts and Varberg, 1973, 12 Theorem A] we have

$$\frac{1}{2} \|x + y\|^2 = \frac{1}{2} \|x\|^2 + \int_0^1 g^+(x + ty; y) dt$$

$$\text{where } g^+(u; y) := \lim_{h \rightarrow 0^+} \frac{\frac{1}{2} \|u + hy\|^2 - \frac{1}{2} \|u\|^2}{h}.$$

To establish the weak [weak\*] Opial condition it suffices to show that if  $y_n$  converges weakly [weak\*] to  $y_\infty \neq 0$ , then  $\liminf_n \frac{1}{2} \|y_n\|^2 > \liminf_n \frac{1}{2} \|y_n - y_\infty\|^2$ .

$$\text{Now, } \frac{1}{2} \|y_n\|^2 = \frac{1}{2} \|y_n - y_\infty\|^2 + \int_0^1 g^+(y_n - y_\infty + ty_\infty; y_\infty) dt$$

So

$$\liminf_n \frac{1}{2} \|y_n\|^2 \geq \liminf_n \frac{1}{2} \|y_n - y_\infty\|^2 + \liminf_n \int_0^1 g^+(y_n - y_\infty + t y_\infty; y_\infty) dt$$

By Fatou's lemma [see for example, Halmos 1950] it is therefore sufficient to prove that

$$\liminf_n g^+(y_n - y_\infty + t y_\infty; y_\infty) > 0$$

for each  $t \in (0, 1)$ .

But, by a well known characterization of the upper Gateaux derivative [see for example, Barbu and Precupanu, 1978, §2.1 example 2° and proposition 2.3] we have

$g^+(y_n - y_\infty + t y_\infty; y_\infty) = \max \{ f(y_\infty) : f \in \mathcal{D}(y_n - y_\infty + t y_\infty) \}$  and so since  $y_n - y_\infty + t y_\infty$  converges weakly [weak\*] to  $t y_\infty \neq 0$  we have for  $n$  sufficiently large and some  $\delta > 0$  that

$f(t y_\infty) > \delta$  for all  $f \in \mathcal{D}(y_n - y_\infty + t y_\infty)$ . That is, for  $n$  sufficiently large (depending on  $t$ )  $g^+(y_n - y_\infty + t y_\infty; y_\infty) \geq \delta/t > 0$ .  $\blacksquare$

(3.1.3 a) REMARK: For the ( $\Rightarrow$ ) part of the above proof we see it is only necessary that  $X$  satisfy If  $(x_n)$  converges weakly [weak\*] to  $x_\infty \neq 0$  we have  $\liminf_n \max \{ f(x_\infty) : f \in \mathcal{D}(x_n) \} > 0$ .



Thus for a space satisfying this we also have  
 $\liminf_n \min \{ f(x_\infty) : f \in \mathcal{D}(x_n) \} > 0.$

### (3.1.4) EXAMPLES.

1) For  $1 \leq p < \infty$  the space  $l_p$  satisfies the weak Opial condition. For  $p=1$  this follows by the Schur property. For  $p > 1$  the duality map is single valued and given by  
 $x = (x(1), x(2), \dots) \mapsto \|x\|_p^{p-2} (|x(1)|^{p-1} \operatorname{sgn} x(1), \dots)$

Thus, if  $x_n \xrightarrow{w} x_\infty \neq 0$  we have

$$\|x_n\|_p^{p-2} f_n \xrightarrow{w} \|x_\infty\|_p^{p-2} f_\infty \quad \text{where } f_i = \mathcal{D}(x_i).$$

Consequently, since  $\liminf_n \|x_n\|_p \geq \|x_\infty\|_p$ , we have

$$\liminf_n f_n(x_\infty) \geq \|x_\infty\|_p^2 > 0.$$

Further, if  $p=1$  and  $x_n \xrightarrow{w^*} x_\infty \neq 0$ , choosing  $f_n \in \mathcal{D}(x_n)$  so that

$$f_n(i) = |x_n(i)| \operatorname{sgn} x_n(i)$$

we see that:

given  $\varepsilon > 0$  there exist  $n_0$  so that  $\sum_{i=n_0+1}^{\infty} |x_\infty(i)| < \varepsilon$

and so

$$f_n(x_\infty) \geq \sum_{i=1}^{n_0} f_n(i) x_\infty(i) - \|f_n\| \varepsilon.$$

Since  $\{\|f_n\|\}_{n=1}^{\infty}$  is bounded and  $x_n(i) \rightarrow x_\infty(i)$  it follows that

$$\liminf_n f_n(x_\infty) \geq \|x_\infty\|^2.$$

Thus  $\lim_n f_n(x_\infty) = \|x_\infty\|^2 = f_\infty(x_\infty) > 0$   
and so

$l_1$  satisfies the weak\* Opial condition.

In particular  $l_1$  has the  $w^*$ -F.P.P.

2) For  $p \neq 2$  the space  $L_p[0,1]$  fails to satisfy the weak Opial Condition. The case  $p=1$  has already been considered. The same example

works in  $L_p[0,1]$  for all  $p \neq 2$ . Indeed for the sequence  $f_n$  defined previously we have for any number  $c \in [-2,1]$

$$\|f_n + c\|_p^p = \frac{1}{3}(2+c)^p + \frac{2}{3}(1-c)^p$$

is a minimum at  $c_0$  satisfying

$$\frac{2+c_0}{1-c_0} = 2^{1/p-1}.$$

That is;

$$p=1 \Rightarrow c_0=1$$

$$1 < p < 2 \Rightarrow 0 < c_0 < 1$$

$$p=2 \Rightarrow c_0=0$$

$$p > 2 \Rightarrow -\frac{1}{2} \leq c_0 < 0.$$

In particular then for  $p \neq 2$

$$\|f_n + c_0\|_p < \|f_n\| \quad (\text{as } c_0 \neq 0)$$

and so the space fails to satisfy the weak Opial condition.

(3.1.5) REMARKS: 1) Relation to U.C.E.D.

The uniformly convex space  $L_4[0,1]$  of example

2) above amply demonstrates that:

U.C.E.D. is not sufficient for the weak Opial

condition to be satisfied.

On the other hand  $l_1$  has the weak  $\sigma$ ial condition but is not even strictly convex, so weak  $\sigma$ ial  $\not\Rightarrow$  U.C.E.D.

Thus U.C.E.D. and the weak [weak\*]  $\sigma$ ial conditions are effectively independent conditions sufficient to ensure weak [weak\*] normal structure.

2) van Dulst [1982] has shown that every separable Banach space admits an equivalent norm with respect to which the weak  $\sigma$ ial condition is satisfied.

He also gives an equivalent renorming for any separable dual space with respect to which the space satisfies the weak\*  $\sigma$ ial condition. (This was the basis for remark (2.11.2) 3).

### (3.2) Uniform Kadec - Klee Conditions

The material of this section is a development of ideas in van Dulst - Sims [1983] which are based on notions introduced by Huff [1980].

Recall a Banach space has the property of Kadec - Klee (Property H, perhaps more properly termed the

Radon-Riesz property) if whenever  $x_n \xrightarrow{w} x$  and  $\|x_n\| \rightarrow \|x\|$  we have  $\|x_n - x\| \rightarrow 0$ .

This may be reformulated as stating: every weakly compact subset of the unit sphere;

$S_X := \{x \in X : \|x\| = 1\}$ ,  
is norm compact.

Define the measure of compactness of a subset  $S$  by

$$\gamma(S) := \sup_{(x_n) \subseteq S} \inf_{m \neq n} \|x_m - x_n\|$$

(The supremum is taken over all infinite sequences of points in  $S$ ).

REMARKS: 1)  $\gamma$  is equivalent to the "usual" measure of compactness;

$K(S) := \inf \{ \varepsilon > 0 : S \text{ has a finite } \varepsilon\text{-cover} \}$ ,  
indeed  $K(S) \leq \gamma(S) \leq 2K(S)$ .

2)  $\gamma$  enjoys the following properties.

(a)  $\gamma(S) = 0$  if and only if  $S$  is (norm) compact.

(b) If  $S_1 \subseteq S_2$  then  $\gamma(S_1) \leq \gamma(S_2)$ .

(c)  $\gamma(S_1 \cup S_2) = \max \{ \gamma(S_1), \gamma(S_2) \}$ .

(d) [Kuratowski] If  $S_1 \supseteq S_2 \supseteq \dots \supseteq S_n \supseteq \dots$  is a nested sequence of (non-empty sets with  $\gamma(S_n) \rightarrow 0$ , then closed)

(i)  $K = \bigcap_{n=1}^{\infty} S_n$  is non-empty and compact.

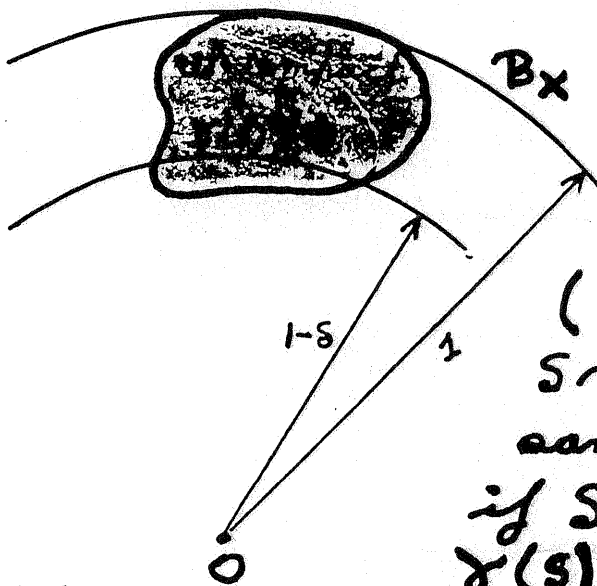
and

(ii) For any  $\varepsilon > 0$  and  $n$  sufficiently large  $S_n \subseteq K + \varepsilon B_X$ .

3) By theorem (1.16) a diametral set  $D$  has  $\gamma(D) = \text{diam}(D)$ .

4) In terms of  $\gamma$  the Kadec-Klee property becomes: If  $S$  is a weakly compact subset of  $B_X$  with  $\gamma(S) > 0$ , then  $\text{dist}(S, 0) \leq 1$ .

Given  $\varepsilon \in (0, 1)$  we shall say that  $X$  is  $\varepsilon$ -Uniformly Kadec-Klee ( $\varepsilon$ -UKK) if there exists  $\delta > 0$  so that whenever  $S$  is a weakly compact subset of  $B_X$  with  $\gamma(S) > \varepsilon$  we have  $\text{dist}(S, 0) \leq 1 - \delta$  (or equivalently  $S \cap (1 - \delta)B_X \neq \emptyset$ ).



REMARK:  $\varepsilon$ -UKK may be compared to the notion of  $\varepsilon$ -inquadrate, where if  $S$  is "metrically big" ( $\text{diam } S > \varepsilon$ ) we have  $S \cap (1 - \varepsilon)B_X \neq \emptyset$ . Here the same conclusion follows if  $S$  is "topologically large",  $\gamma(S) > \varepsilon$ .

Note: Our  $\varepsilon$ -UKK is the  $w$ -UKK of van Dulst-Simo [83].

(3.2.1) Proposition: For a Banach space  $X$   
t.f.a.e.

i)  $X$  is  $\varepsilon$ -UKK

ii) whenever  $C \subseteq B_X$  is a weak compact convex set with  $\delta(C) > \varepsilon$  we have

$$C \cap (1-\delta)B_X \neq \emptyset.$$

iii) whenever  $(x_n) \subset B_X$  has  $\text{sep}(x_n) := \inf_{m \neq n} \|x_n - x_m\| > \varepsilon$  and

$$x_n \xrightarrow{w} x \text{ we have } \|x\| \leq 1-\delta.$$

Proof. Clearly, i)  $\Rightarrow$  ii) and iii)  $\Rightarrow$  i).

ii)  $\Rightarrow$  iii) Suppose there exists  $(x_n) \subset B_X$   
with  $\text{sep}(x_n) > \varepsilon$ ,  $x_n \xrightarrow{w} x$  and  $\|x\| > 1-\delta$ .

Let  $f$  be a norm one linear functional which strictly separates  $x$  from  $(1-\delta)B_X$  and let  $n_0$  be such that for  $n \geq n_0$  we have

$$f(x_n) \geq \frac{1}{2}(f(x) + 1-\delta).$$

By Krein-Smirnov's

Then,  $C = \overline{\text{co}} \{x_n\}_{n=n_0}^{\infty}$  is a weak compact convex set with  $\delta(C) > \varepsilon$  and  $f(y) \geq \frac{1}{2}(f(x) + 1-\delta) > 1-\delta$  for all  $y \in C$ . That is  $C \cap (1-\delta)B_X = \emptyset$ . ■

If  $X$  is  $\varepsilon$ -UKK for all  $\varepsilon \in (0, 1)$  we say  $X$  is UKK. Huff's original definition of this notion is the equivalent reformulation resulting from proposition (3.2.1, iii).

(3.2.2) THEOREM [van Dulst-Sims, 83]:

If  $X$  is  $\varepsilon$ -UKK, then  $X$  has  $w$ -normal structure. In particular  $X$  has the  $w$ -F.P.P.

Proof. Suppose  $X$  contains a weak compact convex diametral set containing more than one point. Then, by Theorem (1.16) and the ensuing remarks (1.18), there exists  $(x_n) \subset X$  with  $x_n \xrightarrow{w} 0$  and  $\lim_n \text{diam}(x_{n+1}, \text{co}\{x_k\}_{k=1}^n) = 1$ . Since  $0 \in \overline{\text{co}}\{x_k\}_{k=1}^\infty$ , by Mazur, it follows that  $\|x_n\| \rightarrow 1$ . Let  $\delta$  be as in the definition of  $\varepsilon$ -UKK and choose  $n_0$  so that for  $n \geq n_0$  we have  $\text{diam}(x_{n+1}, \text{co}\{x_k\}_{k=1}^n) > \varepsilon$  and  $\|x_n\| > 1 - \delta$ . Let  $y_n = x_{n_0+n} - x_{n_0}$ , then  $\|y_n\| \leq 1$ ,  $\text{Sep}(y_n) > \varepsilon$  and  $y_n \xrightarrow{w} -x_{n_0}$ . But  $\|x_{n_0}\| > 1 - \delta$ , contradicting  $\varepsilon$ -UKK. by part (ii) of the previous proposition. ▣

The above theorem may be strengthened as follows.

(3.2.3) THEOREM [van Dulst-Sims, 83]:

Let  $X$  be UKK and let  $C$  be a weak compact convex set. Then, the Chebyshev centre of  $C$ ,  $C(C)$ , is norm compact

(convex and non-empty).

Proof. Suppose  $\mathcal{C}(C)$  is not compact, then it contains a sequence  $(x_n)$  with  $\text{sep}(x_n) \geq \varepsilon_0$ , for some  $\varepsilon_0 > 0$ . By passing to a subsequence we may assume  $x_n \xrightarrow{w} x$ . Let  $\delta$  be as in the definition of  $\varepsilon_0/\text{rad} C$ -UKK and fix  $y \in C$ , and let  $y_n = (x_n - y)/\text{rad}(C)$ . Then  $\|y_n\| \leq 1$ ,  $\text{sep}(y_n) \geq \varepsilon_0/\text{rad} C$  and  $y_n \xrightarrow{w} (x - y)/\text{rad}(C)$ , so by UKK  $\|x - y\| \leq (1 - \delta)\text{rad} C$ . Since  $y$  is arbitrary this gives  $\text{rad} C \leq (1 - \delta)\text{rad} C$ , a clear contradiction.  $\blacksquare$

### 3.2.4 EXAMPLES.

- 1) Vacuously every finite dimensional space and every Schur space has UKK. In particular  $l_1$  has UKK. Indeed any  $l_1$  sum of finite dimensional spaces has UKK [Huff, 80]. This shows that in general UKK does not imply U.C.E.D. The next example establishes the essential independence of these two properties even in the presence of reflexivity.
- 2) By theorem (2.11) the space  $X := (l_2 \oplus l_3 \oplus \dots \oplus l_n \oplus \dots)_2$



is a reflexive space which can be given an equivalent U.C.E.D. norm. However, as we now show, it admits no equivalent U.K.K. norm [Huff, 1980].

For any Banach space  $X$  and  $\varepsilon > 0$  define for  $S \subseteq X$  by

$$\beta_\varepsilon(S) := \left\{ x : \text{there exists } (x_n) \in S \text{ with } \text{sep}(x_n) > \varepsilon \text{ and } x_n \xrightarrow{w} x \right\}$$

Claim: If  $X$  has an equivalent U.K.K. norm, then for each  $\varepsilon > 0$  there exists  $n_0$  such that  $\beta_\varepsilon^{n_0}(B_X) = \emptyset$ .

Since the conclusion is isomorphically invariant we might as well assume that the U.K.K. norm is the given one. Now let  $S$  be that associated with  $\varepsilon$  in the definition of U.K.K., then by (3.2.1) (iii)

$\beta_\varepsilon(B_X) \subseteq (1-\delta)B_X$ , iterating  
 $\beta_\varepsilon^n(B_X) \subseteq (1-\delta)^n B_X$ . Choosing  $n_0$  so that  $(1-\delta)^{n_0} < \varepsilon/2$  we see from the definition of  $\beta_\varepsilon(S)$  that  $\beta_\varepsilon^{n_0}(B_X)$  must be empty ( $\beta_\varepsilon^{n_0-1}(B_X)$  has diameter less than  $\varepsilon$  and so cannot contain any sequence with a separation constant of  $\varepsilon$  or more).

To see that  $X = (l_2 \oplus \dots \oplus l_n \oplus \dots)_2$  cannot be equivalently renormed to be U.K.K.

it suffices, in the light of the above claim, to show

$$\beta_{\frac{1}{2}}^{2^p}(B_{l_p}) \neq \emptyset.$$

Let  $e_{n_1}, \dots, e_{n_{2^p-1}}$  be any  $2^p-1$  basis vectors in  $l_p$ , then for  $m > \max\{n_1, \dots, n_{2^p-1}\}$

$$y_m = \frac{1}{2}(e_{n_1} + \dots + e_{n_{2^p-1}} + e_m)$$

is such that

$$\|y_m\|_p = 1, \quad \|y_m - y_n\|_p = \frac{1}{2}\|e_m - e_n\|_p > \frac{1}{2}$$

and  $y_m \xrightarrow{w} \frac{1}{2}(e_{n_1} + \dots + e_{n_{2^p-1}})$ .

Thus one-half the sum of any  $2^p-1$  basis vectors is in  $\beta_{\frac{1}{2}}(B_{l_p})$ .

An identical calculation yields that one-half the sum of any  $2^p-2$  basis vectors is in  $\beta_{\frac{1}{2}}^2(B_{l_p})$ .

Continuing in this way we eventually arrive at  $\frac{1}{2}e_n \in \beta_{\frac{1}{2}}^{2^p-1}(B_{l_p})$  for any  $n$

and so  $0 = W\text{-}\lim_n \frac{1}{2}e_n \in \beta_{\frac{1}{2}}^{2^p}(B_{l_p})$ .

3) The space  $L_4[0,1]$  shows that u.k.k. need not imply the weak Opial condition.

The previous example shows that the converse implication may also fail:

$X := (l_2 \oplus \dots \oplus l_n \oplus \dots)_2$  has the weak-Opial Condition.

Let  $x_n = (x_n^{(k)})$ ,  $x_n^{(k)} \in l_k$ , converge weakly to  $x_0 \neq 0$ . Let  $f_n^{(k)}$  be the unique (by smoothness) element of  $\mathcal{D}(x_n^{(k)})$ , then  $f_n := (f_n^{(k)})$  is the unique element of  $\mathcal{D}(x_n)$ . Choose  $k_0$  such that  $x_0^{(k_0)} \neq 0$ , then  $x_n^{(k_0)} \xrightarrow{w} x_0^{(k_0)}$  and so by theorem (3.1.3) we can find  $n_0$  and  $\delta > 0$  so that for  $n > n_0$  we have  $f_n^{(k_0)}(x_0^{(k_0)}) > \delta$ .

Now we can find a finite subset  $N$  of  $\mathbb{N}$  so that  $k_0 \in N$ ,

$$\left( \sup_n \|f_n\| \right) \cdot \left( \sum_{k \in N} \|x_0^{(k)}\|^2 \right)^{\frac{1}{2}} < \delta/2$$

and, again by theorem (3.1.3), there is an  $n_1 \geq n_0$  so that  $n \geq n_1$  implies

$$f_n^{(k)}(x_0^{(k)}) \geq 0, \quad k \in N.$$

It now follows that for  $n > n_1$

$$\begin{aligned} f_n(x_0) &= \sum f_n^{(k)}(x_0^{(k)}) \\ &\geq \sum_{k \in N} f_n^{(k)}(x_0^{(k)}) - \delta/2 \\ &\geq f_n^{(k_0)}(x_0^{(k_0)}) - \delta/2 \\ &\geq \delta/2. \end{aligned}$$

That  $X$  has the weak Opial condition now follows from (3.1.3).

we now turn to

The Weak\*-case, when  $X$  is a dual space.

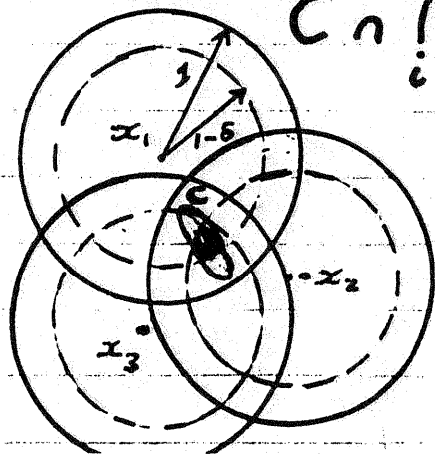
In view of the previous discussion it seems natural to say for  $\epsilon > 0$  that  $X$  is  $\epsilon$ - $uKK^*$  if there exists  $\delta > 0$ , so that whenever  $C$  is a  $w^*$ -compact convex subset of  $B_X$  with  $\gamma(C) > \epsilon$  we have  $C \cap (1-\delta)B_X \neq \emptyset$ .

$X$  is  $uKK^*$  if it is  $\epsilon$ - $uKK^*$  for all  $\epsilon > 0$ .

The appeal to Mazur's theorem in (3.2.2) precludes a similar argument for the  $w^*$ -case, none-the-less the conclusions remain valid. To see this we make use of the following.

(3.2.5) LEMMA. Let  $X$  be an  $\epsilon$ - $uKK^*$  dual space and let  $\delta$  be that associated with  $\epsilon$  by the definition of  $\epsilon$ - $uKK^*$ . Then, if  $C$  is a  $w^*$ -compact convex subset of  $X$  with  $\gamma(C) > \epsilon$  and if  $x_1, x_2, \dots, x_n$  are points of  $X$  with  $C \subseteq B_{1-\delta}[x_i]$  for  $i=1, 2, \dots, n$  we have

$$C \cap \bigcap_{i=1}^n B_{1-\delta}[x_i] \neq \emptyset.$$



Proof: By the definition of  $\varepsilon$ -UKK\* the result is true for  $n=1$ . Suppose the result were to fail, then there is a largest  $n$  ( $\geq 1$ ) for which it is true. Denote this largest  $n$  by  $n_0$ , then there exists a  $w^*$ -compact convex set  $C \subseteq X$  with  $\delta(C) > \varepsilon$  and points  $x_0, x_1, \dots, x_{n_0}$  with  $C \subseteq B_{\frac{1}{2}}[x_i]$  for  $i=0, 1, \dots, n_0$  but for which

$$C \cap \bigcap_{i=0}^{n_0} B_{1-\delta}[x_i] = \emptyset.$$

Let  $C_0 := C \cap \bigcap_{i=0}^{n_0} B_{1-\delta}[x_i]$ , then by the definition of  $n_0$ ,  $C_0 \neq \emptyset$ . Further  $C_0 \cap B_{1-\delta}[x_0] = \emptyset$  so there exists a  $w^*$ -continuous linear functional  $f$  and  $k$  with

$$\inf f(C_0) > k > \sup f(B_{1-\delta}[x_0]).$$

Let  $C_1 := \{x \in C : f(x) \geq k\}$  and let

$$C_2 := \{x \in C : f(x) \leq k\}.$$

Then,  $C_2 \subseteq C \subseteq B_{\frac{1}{2}}[x_0]$

while  $C_1 \cap B_{1-\delta}[x_0] = \emptyset$ .

Since  $C_1$  is  $w^*$ -compact and convex it follows from  $\varepsilon$ -UKK\* that  $\delta(C_1) \leq \varepsilon$ . and as since  $C = C_1 \cup C_2$  we must have (by <sup>(p. 73)</sup> remark 2(c)) that  $\delta(C_2) > \varepsilon$ .

But then,  $C_2$  is a  $w^*$ -compact convex set with  $\delta(C_2) > \varepsilon$  such that

$$C_2 \cap \bigcap_{i=0}^{n_0} B_{1-\delta}[x_i] \subseteq C_2 \cap C_0 = \emptyset$$

contradicting our choice of  $n_0$  as the largest value for which the implication held.  $\blacksquare$

(3.2.6) THEOREM [van Dulst - Suijs, 83]:

If  $X$  is a dual space with the  $\varepsilon$ -UKK\* property for some  $\varepsilon \in (0, 1)$ , then  $X$  has  $w^*$ -normal structure and hence in particular  $X$  has the  $w^*$ -F.P.P.

Proof. Suppose not, then we can find a diametral  $w^*$ -compact convex subset of  $X$ ,  $K$ , with  $\text{diam } K = 1$ . Then  $\delta(K) = 1 > \varepsilon$  and for each  $x \in K$ ,  $K \subset B_1[x]$ .

Let  $E_x = K \cap B_{1-\varepsilon}[x]$ , then  $E_x$  is a  $w^*$ -compact subset of  $K$  which is non-empty by the  $\varepsilon$ -UKK\* property.

Further the above lemma ensures that the family  $E_x$  has the finite intersection property, and so by the  $w^*$ -compactness of  $K$  there exists  $x_0 \in \bigcap_{x \in K} E_x$ ,

but then for any  $x \in K$  we have

$$x_0 \in E_x \subset B_{1-\varepsilon}[x].$$

So  $\|x - x_0\| \leq 1 - \varepsilon$ , contradicting the diametrality of  $K$ .  $\blacksquare$

Indeed the stronger analogue of theorem (3.2.3) is true.

(3.2.7) THEOREM [van Dulst - Siering, 83]:

\* Let  $X$  be a  $UKK^*$  dual space and  $C$  be a weak\* compact convex subset of  $X$ .  
Then,  $\mathcal{B}(C)$  is norm-compact.  
(stronger than FPP\*)

Proof. Suppose this were not the case, then we can find a weak\* compact convex subset of  $X$  with  $\text{rad } C = 1$  and  $\chi(\mathcal{B}(C)) > \varepsilon_0$  for some  $\varepsilon_0 > 0$ . From the definition of  $\text{rad } C$  it follows that

$$\mathcal{B}(C) \subseteq B_1[x] \quad \text{for each } x \in C.$$

Let  $\delta$  correspond with  $\varepsilon_0$  in the definition of  $UKK^*$  then

$$E_x := \mathcal{B}(C) \cap B_{1-\delta}[x]$$

is a non-empty weak\* compact convex subset of  $C$  for each  $x \in C$ . The argument now proceeds along the same lines as those of the last part of the proof for theorem (3.2.6). ■

We now consider necessary and sufficient conditions for a dual space to be  $\varepsilon$ - $UKK^*$ . Some conditions will be sufficient others necessary.

Our first result shows that for the  $w^*$ -compact convex sets in the definition it is sufficient to consider " $w^*$ -slices" of  $B_X$ .

(3.2.8) PROPOSITION: A dual space  $X$  has the  $\epsilon$ - $uKK^*$  property if and only if there exists  $k \in (0, 1)$  such that for every norm one weak\*-continuous linear functional  $f$  the (weak\*-) slice of the unit ball

$$S[f, k] := \{x \in B_X : f(x) \geq k\}$$

has  $\delta(S[f, k]) \leq \epsilon$ .

Proof. ( $\Rightarrow$ ) Obvious, since for any  $k > 1 - \delta$ , where  $\delta$  is that given in the definition of  $\epsilon$ - $uKK^*$ ,  $S[f, k]$  is a weak\*-closed convex subset of  $B_X$  disjoint from  $B_{1-\delta}[0]$ .

( $\Leftarrow$ ) Let  $C$  be a weak\*-compact convex subset of  $B_X$  with  $\delta(C) > \epsilon$  we show that  $C \cap (1-\delta)B_X \neq \emptyset$  where  $\delta = 1 - k$ . Suppose not, then there exists a norm one weak\*-continuous linear functional separating  $C$  from  $(1-\delta)B_X$ . That is,  $\inf f(C) > \sup f((1-\delta)B_X) = 1 - \delta = k$ .

Thus  $C \subseteq S[f, k]$  and so  $\delta(S[f, k]) \geq \delta(C) > \epsilon$  contradicting our hypothesis. ■

Our next result depends on the characterization of upper-semi-continuity of the duality map in terms of slices given in Giles, Gregory & Sims [1978].



Recall the duality map

$$\mathcal{D} : x \mapsto \mathcal{D}(x) := \{ f \in X^* : f(x) = \|f\|^2 = \|x\|^2 \}$$

is norm to norm upper semi-continuous if given  $\varepsilon > 0$  and  $x \in S_X$  there exists  $\delta > 0$  such that for all  $y \in S_X$  with  $\|x - y\| < \delta$  we have  $\mathcal{D}(y) \subseteq \mathcal{D}(x) + B_\varepsilon[0]$ .  $\mathcal{D}$  is uniformly norm to norm upper semi-continuous if there exists a common  $\delta$  for all  $x \in S_X$ .

(3.2.9) LEMMA:  $\mathcal{D}$  is norm to norm upper semi-continuous if and only if for each  $\varepsilon > 0$  and  $x \in S_X$  there exists a  $k \in (0, 1)$  so that the slice  $S[x, k] \subseteq \mathcal{D}(x) + B_\varepsilon[0]$  (The 'continuity' is uniform if and only if  $k$  may be chosen independent of  $x \in S_X$ .)

Proof. ( $\Leftarrow$ ) Suppose  $\mathcal{D}(x) + B_\varepsilon[0]$  contains the slice  $S[x, k]$  determined by  $x$ . Then, setting  $\delta = 1 - k$ , for  $y \in B_\delta[x] \cap S_X$  we have  $|f(x) - 1| = |f(x) - f(y)| < \delta$  for all  $f \in \mathcal{D}(y)$ . That is,  $\mathcal{D}(y) \subseteq S[x, k]$  and the result follows.

( $\Rightarrow$ ) Suppose  $\mathcal{D}$  is norm to norm upper semi-continuous then there exists  $\delta' > 0$  so that  $\mathcal{D}(y) \subseteq \mathcal{D}(x) + B_{\frac{\varepsilon}{2}}[0]$  whenever  $y \in B_{\delta'}(x) \cap S_X$ .

Let  $\delta = \min \{ \delta', \frac{\varepsilon}{2} \}$  and let  $k = 1 - \delta^2/4$ .

Then, for  $f \in S[x, k]$  we have  $|f(x) - 1| \leq \delta^2/4$  so  $f \in \mathcal{D}(x) + B_{\frac{\varepsilon}{2}}[0]$ .

Bollobás's theorem, there exists  $y \in S(x)$  and  $g \in \mathcal{D}(y)$  such that  $\|x - y\| < \delta$  and  $\|f - g\| < \delta$ . But then,  $\mathcal{D}(y) \subseteq \mathcal{D}(x) + B_{\frac{\epsilon}{2}}[0]$  and so

$$f \in g + B_{\frac{\epsilon}{2}}[0] \subseteq \mathcal{D}(y) + B_{\frac{\epsilon}{2}}[0] \\ \subseteq \mathcal{D}(x) + B_{\epsilon}[0].$$

That is  $S[x, \epsilon] \subseteq \mathcal{D}(x) + B_{\epsilon}[0]$ . ■

(3.2.10) COROLLARY: For the conditions listed below we have  $i) \Rightarrow ii) \Rightarrow iii)$ .

i) (a)  $\mathcal{D}$  is norm to norm uniformly upper semi-continuous

and

(b) For each  $x \in S_X$ ,  $\mathcal{D}(x)$  is norm compact.

ii)  $X^*$  has  $UKK^*$ .

iii) (a)  $\mathcal{D}$  is norm to norm upper semi-continuous and

(b) For each  $x \in S_X$ ,  $\mathcal{D}(x)$  is norm compact.

Proof.  $i) \Rightarrow ii)$  Given any  $\epsilon > 0$ , from (3.2.9) there exists  $\delta \in (0, 1)$  such that for all  $x \in S_X$   $S[x, \delta] \subseteq \mathcal{D}(x) + B_{\epsilon}[0]$ , from this and  $i)(b)$  it follows easily that  $\delta(S[x, \delta]) < \epsilon$  and hence  $ii)$  follows by (3.2.8).

ii)  $\Rightarrow$  iii) From ii) via (3.2.8) we have  
 $\delta(S[x, 1 - \frac{1}{n}]) \rightarrow 0$  as  $n \rightarrow \infty$ , hence  
 by (d) of Remark 1)

$\mathcal{D}(x) = \bigcap_{n=1}^{\infty} S[x, 1 - \frac{1}{n}]$  is noncompact  
 (giving iii)(b)) and further for any  $\epsilon > 0$   
 we have for  $n$  sufficiently large that  
 $S[x, 1 - \frac{1}{n}] \subseteq \mathcal{D}(x) + B_{\epsilon}[0]$ , from  
 which iii)(a) follows by (3.2.9).  $\blacksquare$

### (3.2.11) EXAMPLES.

Note Swan's theorem

$H_1$  is not  $UKK^*$ -able  
 (no equiv.  $UKK^*$  norm)  $\left\{ \begin{array}{l} \text{for } UKK \\ \text{norm} \end{array} \right.$

The most obvious example is

1)  $l_1$  has  $UKK^*$ : we prove this using the  
 characterization given in (3.2.8). First observe:  
 In  $l_1$  let  $f = (f_i)_{i=1}^{\infty} \in S[x, k]$  where  
 $x = (x_i) \in C_0$  with  $\|x\|_{\infty} = 1$ . That is;  
 $\sum_{i=1}^{\infty} |f_i| \leq 1$  and  $\sum_{i=1}^{\infty} x_i f_i \geq k$  where  $x_i \rightarrow 0$

and  $\max |x_i| = 1$ .

Given any  $\epsilon > 0$ , let  $M$  be such that  $|x_i| < \epsilon$   
 for  $i > M$ , then:

$$\sum_{i=M+1}^{\infty} |f_i| \leq 1 - \sum_{i=1}^M |f_i|$$

$$\leq 1 - \sum_{i=1}^M x_i f_i$$

$$\leq 1 - (k - \sum_{i=M+1}^{\infty} x_i f_i)$$

$$\leq (1-k) + \epsilon.$$

Qu.

Is  $L_{p,1}$   $UKK^*$   
 in its usual norm?

Now, let  $\underline{f}_n = (f_i^n)$  be a sequence in  $S[x, k]$  converging weak\* to  $\underline{f} \in S[x, k]$

Then  $|f_i^n - f_i^m| \rightarrow 0$  as  $m, n \rightarrow \infty$  and

$$\begin{aligned} \|\underline{f}_n - \underline{f}_m\| &= \sum_{i=1}^M |f_i^n - f_i^m| + \sum_{i=M+1}^{\infty} |f_i^n - f_i^m| \\ &\leq \sum_{i=1}^M |f_i^n - f_i^m| + 2(1-k+\varepsilon). \end{aligned}$$

Thus  $\inf_{m, n} \|\underline{f}_n - \underline{f}_m\| \leq \limsup_{m, n} \|\underline{f}_n - \underline{f}_m\| \leq 2(1-k+\varepsilon)$ .

Since  $\varepsilon$  was arbitrary it follows that

$$\delta(S[x, k]) \leq 2(1-k) \quad \text{[index of } \mathcal{L}'\text{]}$$

Given  $\eta \in (0, 2)$  set  $k = 1 - \frac{\eta}{2}$   $k \in (0, 1)$  & so  $\mathcal{L}_1$  is  $\eta$ -UKK\*  $\forall \eta \in (0, 2) \Rightarrow \mathcal{L}_1$  is UKK\*.

2) An easy calculation establishes that if  $(X, \|\cdot\|_1)$  has the  $\varepsilon$ -UKK [ $\varepsilon$ -UKK\*] property (with corresponding  $\delta$ ), and  $\|\cdot\|_2$  is an equivalent norm on  $X$  with  $m\|x\|_1 \leq \|x\|_2 \leq M\|x\|_1$ , then  $(X, \|\cdot\|_2)$  has the  $\varepsilon'$ -UKK [ $\varepsilon'$ -UKK\*] property where  $\varepsilon' = \frac{m}{M}\varepsilon$  (corresponding  $\delta' = 1 - \frac{m}{M}(1-\delta)$ ), provided  $\varepsilon$  and  $1-\delta$  are both less than  $\frac{m}{M}$ .

In particular it follows that the space  $X_\alpha := (\mathcal{L}_2, \|\cdot\|_\alpha)$  where  $\|x_\alpha\| := \alpha\|x\|_2 \vee \|x\|_1$  is  $\varepsilon$ -UKK (some  $\varepsilon > 0$ , which varies with  $\alpha$ )

for  $1 \geq \alpha > \frac{2}{\sqrt{5}}$ .

REMARKS: 1) The space  $l_1$  shows that the implication ii)  $\Rightarrow$  i) of Corollary (3.2.10) is not generally valid. The space  $X = (l_2 \oplus l_3 \oplus \dots + l_n \oplus \dots)$  provides a counter example to iii)  $\Rightarrow$  ii) of the same corollary.

2) The conclusions of theorems (3.2.6) and (3.2.7) for  $l_1$  were first proved by Linn [1980]. Indeed for this space he obtains a stronger conclusion than that of (3.2.7), namely that the asymptotic centres with respect to a non-empty subset of  $l_1$  are norm compact.

For  $C$  a non-empty subset of a Banach space  $X$  and  $(A_\alpha : \alpha \in \Delta)$  a decreasing net of bounded non-empty subsets of  $X$ , let

$$r(x) := \inf_{\alpha} \text{rad}(A_\alpha, x) = \lim_{\alpha} \text{rad}(A_\alpha, x),$$

$$r := \inf_{x \in C} r(x)$$

and

$$\mathcal{A} := \{x \in C : r(x) = r\}.$$

$\mathcal{A}$  is the asymptotic centre of  $(A_\alpha : \alpha \in \Delta)$  with respect to  $C$ .

If  $A_\alpha \equiv C$  we obtain the Chebyshev centre of  $C$ ,  $\mathcal{C}(C)$ .

We say  $X$  has  $w$  ( $w^*$ )-asymptotic

normal structure if for every non-empty  $\omega(\omega^*)$  compact convex subset  $K$  of  $X$  containing more than one point the asymptotic centre of any decreasing net of non-empty subsets of  $K$  with respect to  $K$  is a proper subset of  $K$ . Since  $K$  is diametral if and only if  $E(K) = K$  we see that  $\omega(\omega^*)$ -asymptotic normal structure implies  $\omega(\omega^*)$ -normal structure.

In 1974 Lima proved the equivalence of  $\omega$ -asymptotic normal structure and  $\omega$ -normal structure, however no such equivalence seems known in the  $\omega^*$ -case. More-the-less Lima's 1980 result verifies that  $l_1$  has  $\omega^*$ -asymptotic normal structure. This suggests;

\* [ QUESTION: Does  $UKK^*$  imply  $\omega^*$ -asymptotic normal structure? ]

3) We note that from corollary (3.2.10) and the results of section 3 in Giles, Gregory and Sims [1978] we have that  $X^*$  has the Radon-Nikodym Property whenever  $X^*$  has  $UKK^*$ . Further  $X$  is reflexive whenever  $X^{**}$  has  $UKK^*$ .

We also remark that a result of Lima [1981] establishes a connection between  $UKK^*$  and approximation theory (more precisely, the theory of  $M$ -ideals).

4) Based on the analogy with  $l_1(S)$  Lau and Mah [1986] ask the following.

QUESTION: Does the trace-class of operators on a Hilbert space,  $\mathcal{T}(H)$ , with the trace norm (which can be identified with  $K(H)^*$ , where  $K(H)$  is the ideal of compact operators) have  $UKK^*$ ,  $w^*$ -normal structure or the  $w^*$ -F.P.P.?

It is known that  $\mathcal{T}(H)$  has the Kadet-Klee property [Arazy, 1981]. Lau and Mah show that  $\mathcal{T}(H)$  has  $w^*$ -quasi-normal structure as introduced by Suardi [1972]. A dual space has  $w^*$ -quasi-normal structure if for every  $w^*$ -compact convex subset with more than two points, there exists  $x \in C$  so that

$$\|x - y\| < \text{diam } C \quad \text{for all } y \in C.$$

has ANS if and only if  $1 \geq \alpha > \frac{1}{2}$ , while it has normal structure if and only if  $1 \geq \alpha > \frac{1}{\sqrt{2}}$ . Thereby establishing that ANS is genuinely weaker than normal structure.

They also establish the F.P.P. for  $X_{\frac{1}{2}}$ . Since we will obtain the F.P.P. for all  $\alpha \in [1, 0)$  in chapter  , we will not peruse the details here.

Byrnum [1980 and ?] shows that the reflexive space

$$l_{p,\infty} = (l_p, \|\cdot\|), \text{ where } \|\|x\|\| = \|x^+\|_p \vee \|x^-\|_p$$

lacks ANS for all  $p$ , though it has the F.P.P. for  $1 < p < \infty$ .



$(e_n)$  is diametral in  $l_2$  with the Smith-Turett renorming:

Recall; Days renorming of  $C_0$  is given by

$$\|x\|_D := \|Dx\|_2$$

where

$$Dx(n) = \begin{cases} x(n_k)/2^k & \text{if } n = n_k \text{ for some } k \\ 0 & \text{otherwise} \end{cases}$$

here  $(n_k)_{k=1}^\infty$  is an enumeration of the support of  $x$  so that  $|x(n_k)| \geq |x(n_{k+1})|$ .

Since,  $\frac{1}{2} \|x\|_{C_0} \leq \|x\|_D \leq \frac{1}{\sqrt{3}} \|x\|_{C_0}$ , we have

$$\frac{1}{4} \|x\|_2 \leq \frac{1}{2} \|x\|_{C_0} \leq \|x\| \leq \frac{1}{\sqrt{3}} \|x\|_{C_0} \leq \frac{1}{\sqrt{3}} \|x\|_2$$

Now for  $n < m$  we have

$$\|e_n - e_m\| = \left\| \underbrace{\left( \frac{1}{\sqrt{2}}, 0, \dots, \overbrace{1, 1, \dots, 1}^n, 0, \dots, 0, \underbrace{-1, -1, \dots, -1}_m, 0, \dots \right)}_x \right\|_2$$

$$\|Dx\|_2 = \left\| \left( \frac{1}{2^{n+m}}, \frac{1}{\sqrt{2}}, 0, \dots, \frac{1}{2}, \frac{1}{4}, \dots, \frac{1}{2^{n+1}}, 0, \dots, 0, \frac{-1}{2^{m+1}}, \dots, \frac{-1}{2^{n+m}}, \dots \right) \right\|_2$$

$$= \left( \sum_{k=1}^{n+m} \frac{1}{4^k} + \frac{1}{2 \cdot 4^{n+m+1}} \right)^{\frac{1}{2}}$$

$$\leq \left( \sum_{k=1}^{\infty} \frac{1}{4^k} \right)^{\frac{1}{2}} = \frac{1}{\sqrt{3}}$$

So  $\text{diam} \overline{\{e_n\}_{n=1}^\infty} \leq \frac{1}{\sqrt{3}}$ .

On the other hand for  $x_1, x_2, \dots, x_n \geq 0$   $\sum_1^n x_k$  we have

$$\left\| \sum_1^n x_k e_k - e_{n+1} \right\| \leq \frac{1}{\sqrt{2}}$$

$$= \left\| \left( \frac{1}{2} \left\| \sum x_k e_k - e_{n+1} \right\|_2, \underbrace{x_1, x_2, x_2, \dots, x_n}_{n}, \dots, \underbrace{-1, -1}_{n+1}, \dots \right) \right\|_2$$

$$\geq \sum_{k=1}^{n+1} \frac{1}{4} k$$

there are at least  $n+1$  entries of abs. value  $\geq 1$  (and all others have smaller abs. values).

$$\begin{aligned} \text{So } \text{rad } \cos(e_n) &\geq \sum_n \sum_{k=1}^{n+1} \frac{1}{4} k \\ &= \frac{1}{\sqrt{3}} \end{aligned}$$

A sample:

(K)

Let  $P_n: \ell^2 \rightarrow \ell^2$  be defined by

$$P_n(\alpha_1, \alpha_2, \dots) = (\underbrace{0, \dots, 0}_{n-1}, \alpha_n, \alpha_{n+1}, \dots)$$

Define a norm  $\|\cdot\|$  on  $\ell^2$  by

$$\|x\| = \sqrt{\sum_{n=1}^{\infty} 2^{-n} [\|(P_n x)^+\|_2^2 \vee \|(P_n x)^-\|_2^2]}$$

Then  $\|\cdot\|$  is not strictly convex. For, if

$$x = (\alpha_1, \alpha_2, \dots) \text{ where } \begin{cases} \alpha_{2n-1} = 2^{-n} \\ \alpha_{2n} = 0 \end{cases},$$

and

$$y = (\beta_1, \beta_2, \dots) \text{ where } \begin{cases} \beta_{2n-1} = 2^{-n} \\ \beta_{2n} = -10^{-n} \end{cases},$$

$$\text{then } \|x\| = \|y\| = \left\| \frac{x+y}{2} \right\|.$$

### (3.3) The asymptotic Normal Structure of Baillon and Schönberger.

In 1981 Baillon & Schönberger introduced a weakening of normal structure which they also called asymptotic normal structure (cf. Remark 2 at the end of (3.3)):

The Banach space  $X$  [dual space] has  $w(w^*)$ -ANS if whenever  $C$  is a non-trivial weak\* compact convex subset of  $X$  and  $(x_n) \subset C$  is a sequence satisfying  $\|x_{n+1} - x_n\| \rightarrow 0$ , then there exists an  $x \in C$  such that  $\liminf_n \|x_n - x\| < \text{diam } C$ .

Clearly  $w(w^*)$ -normal structure implies  $w(w^*)$ -ANS.

Since an approximate fixed point sequence  $(x_n)$  in a  $w(w^*)$ -compact convex minimal invariant set (for a non-expansive mapping) satisfies  $\|x_{n+1} - x_n\| \rightarrow 0$  (Proposition 1.3) but  $\lim_n \|x - x_n\| = \text{diam } C$  (Theorem 1.8),

we have:-

(3.3.1) PROPOSITION:  $w(w^*)$ -ANS implies the  $w(w^*)$ -F.P.P.

Baillon & Schönberger [1981] show that the reflexive space

$X_\alpha := (l_2, \|\cdot\|_\alpha)$ , where  $\|x\|_\alpha = \alpha \|x\|_2 \vee \|x\|_\infty$