

# PROPERTIES $(U\tilde{A}_2)^*$ AND $(W\tilde{A}_2)$ IN ORLICZ SEQUENCE SPACES AND SOME OF THEIR CONSEQUENCES

YUNAN CUI<sup>(1)</sup>, HENRYK HUDZIK, AND BRAILEY SIMS<sup>(2)</sup>

ABSTRACT. *In this paper, we introduce a new geometric property  $(U\tilde{A}_2)^*$  and we show that if a separable Banach space has this property, then both  $X$  and its dual  $X^*$  have the weak fixed point property. We also prove that a uniformly Gateaux differentiable Banach space has property  $(U\tilde{A}_2)$  and that if  $X^*$  has property  $(U\tilde{A}_2)^*$ , then  $X$  has the  $(UKK)$ -property. Criteria for Orlicz spaces to have the properties  $(UA_2^\varepsilon)$ ,  $(UA_2^\varepsilon)^*$  and  $(NUS^*)$  are given.*

**Keywords and Phrases:** Orlicz space, Property  $(A_2^\varepsilon)$ , Fixed point property,  $(UKK)$ -property, Weak fixed point property, The weak Banach-Saks property.

**Classification:** 46B20, 46E30, 47H09

## § 1. INTRODUCTIONS

We will denote by  $\mathcal{N}$  and  $\mathcal{R}$  the sets of natural and real numbers, respectively. Let  $X$  be a Banach space and let  $S(X)$  and  $B(X)$  denote the unit sphere and the unit ball of  $X$ , respectively.

Given any element  $x \in S(X)$  and any positive number  $\delta$ , we define a  $w^*$ -slice by,

$$S^*(x, \delta) = \{x^* \in B(X^*) : x^*(x) \geq 1 - \delta\}.$$

Let  $A$  be a bounded subset of  $X$ . Its Kuratowski measure of noncompactness,  $\alpha(A)$ , is defined as the infimum of all numbers  $d > 0$  such that  $A$  may be covered by a finite family of sets with diameters smaller than  $d$ .

A Banach space  $X$  is said to be  $NUS^*$  [14] (equivalently, its dual is  $UKK^*$ , [17]) if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that if  $x \in S(X)$ , then  $\alpha(S^*(x, \delta)) \leq \varepsilon$ .

A Banach space  $X$  is said to have the weak Banach-Saks property whenever given any weak null sequence  $\{x_n\}$  in  $X$  there exists a subsequence  $\{z_n\}$  of  $\{x_n\}$  such that the sequence  $\{\frac{1}{k}(z_1 + z_2 + \cdots + z_k)\}$  converges strongly to zero.

A Banach space  $X$  is said to have property  $(A_2)$  if there exists a number  $\Theta \in (0, 2)$  such that for each weak null sequence  $\{x_n\}$  in  $S(X)$ , there are  $n_1, n_2 \in \mathcal{N}$  satisfying  $\|x_{n_1} + x_{n_2}\| < \Theta$ . It is well known that if  $X$  has property  $(A_2)$  then  $X$  has the weak Banach-Saks property (see [7]).

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(1) Supported by the Chinese National Science Foundation Grant.

(2) Supported by the ARC Centre for Complex Dynamic Systems and Control.

A Banach space  $X$  is said to have property  $(\tilde{A}_2)$  if for each  $\varepsilon > 0$  there exists a number  $\delta > 0$  such that for any  $t \in (0, \delta)$  and each weak null sequence  $\{x_n\}$  in  $S(X)$ , there is  $k \in \mathcal{N}$  satisfying  $\|x_1 + tx_k\| < 1 + t\varepsilon$  (see [14] and [15]).

Now, we introduce the notions of the  $(U\tilde{A}_2)$ ,  $(U\tilde{A}_2)^*$  and  $(W\tilde{A}_2)$  properties.

A Banach space  $X$  is said to have property  $(U\tilde{A}_2)$  if for each  $\varepsilon > 0$  there exists a number  $\delta > 0$  such that for each weak null sequence  $\{x_n\}$  in  $S(X)$ , there is  $k \in \mathcal{N}$  satisfying  $\|x_1 + tx_k\| < 1 + t\varepsilon$  for all  $t \in (0, \delta)$ .

The dual space  $X^*$  of a Banach space  $X$  is said to have property  $(U\tilde{A}_2)^*$  if for each  $\varepsilon > 0$  there exists a number  $\delta > 0$  such that for each weak\* null sequence  $\{x_n^*\}$  of  $S(X^*)$ , there is  $k \in \mathcal{N}$  satisfying  $\|x_1^* + tx_k^*\| < 1 + t\varepsilon$  for all  $t \in (0, \delta)$ .

Notice that for reflexive Banach spaces the properties  $(U\tilde{A}_2)$  and  $(U\tilde{A}_2)^*$  coincide.

Prus (see [15]) has proved that  $X$  is  $NUS^*$  if and only if  $X$  has property  $(U\tilde{A}_2)$  and  $X$  contains no copy of  $l_1$ . He also proved that if  $X$  is  $NUS^*$ , then  $X$  has the weak Banach-Saks property (see [14] and [15]).

A natural generalization of this notion is the following property  $(W\tilde{A}_2)$  defined below.

We say a Banach space  $X$  has property  $(W\tilde{A}_2)$  whenever it satisfies the condition from the definition of property  $(U\tilde{A}_2)$  with ‘for some  $\varepsilon \in (0, 1)$ ’ in place of ‘for every  $\varepsilon > 0$ ’.

Let  $C$  be a nonempty subset of  $X$ . A mapping  $T : C \rightarrow C$  is said to be nonexpansive whenever the inequality  $\|Tx - Ty\| \leq \|x - y\|$  holds for every  $x, y \in C$ .

We will say that  $X$  has the weak fixed point property (**WFPP** for short) if every nonexpansive mapping  $T : K \rightarrow K$  from a nonempty weakly compact convex subset  $K$  of  $X$  into itself has a fixed point.

R. Browder, D. Gohde, W. A. Kirk (see [9]) and other authors have established many conditions of a geometric nature on the norm of  $X$  that guarantee the **WFPP**. Uniform rotundity, uniform rotundity in every direction and normal structure are examples of such conditions.

To obtain a geometric property of a Banach space  $X$  that guarantees it has the weak fixed point property, García-Falset [7] introduced the coefficient  $R(X)$  defined by the formula:

$$R(X) = \sup \left\{ \liminf_{n \rightarrow \infty} \|x_n + x\| : \{x_n\} \subset B(X), x_n \xrightarrow{w} 0, x \in B(X) \right\}.$$

He proved in [7] that a Banach space  $X$  with  $R(X) < 2$  has the weak fixed point property. This coefficient was also considered in [20].

A Banach space  $X$  with property  $(W\tilde{A}_2)$  has  $R(X) < 2$  (see Note 1 below). Therefore, a Banach space  $X$  with property  $(W\tilde{A}_2)$  has the weak fixed point property.

We say that a norm  $\|\cdot\|$  on  $X$  is uniformly *Frechet* differentiable (a **UF**-norm for short) if the limit

$$\lim_{t \rightarrow 0} \frac{\|x + ty\| - \|x\|}{t}$$

exists uniformly with respect to  $x$  and  $y$  in  $S(X)$ .

Let  $(G, \Sigma, \mu)$  be a measure space with a finite and non-atomic measure  $\mu$ . Denote by  $L^0$  the set of all  $\mu$ -equivalence classes of real valued measurable functions defined on  $G$ . Let  $l^0$  stand for the space of all real sequences.

A map  $\Phi : \mathcal{R} \rightarrow [0, \infty)$  is said to be an *Orlicz function* if it is even, convex, vanishes at 0, and it is not identically equal to 0.

An Orlicz function is called an *N-function* if

$$\lim_{u \rightarrow \infty} \frac{\Phi(u)}{u} = \infty.$$

By the *Orlicz function space*  $L_\Phi$  we mean the space

$$L_\Phi = \left\{ x \in L^0 : I_\Phi(cx) = \int_G \Phi(cx(t)) d\mu < \infty \text{ for some } c > 0 \right\}.$$

Analogously, we define the *Orlicz sequence space*

$$l_\Phi = \left\{ x \in l^0 : I_\Phi(cx) = \sum_{i=1}^{\infty} \Phi(cx(i)) < \infty \text{ for some } c > 0 \right\}.$$

The spaces  $L_\Phi$  and  $l_\Phi$  are equipped with the so-called *Luxemburg norm*

$$\|x\| = \inf \left\{ \varepsilon > 0 : I_\Phi\left(\frac{x}{\varepsilon}\right) \leq 1 \right\}$$

or with the equivalent one

$$\|x\|_0 = \inf_{k > 0} \frac{1}{k} (1 + I_\Phi(kx)),$$

called the *Orlicz* or the *Amemiya norm*. It is well known that if  $\Phi$  is an *N-function*, then for any  $x \neq 0$  there exists a number  $k > 0$  such that

$$\|x\|_0 = \frac{1}{k} (1 + I_\Phi(kx)).$$

(see [1]).

To simplify notations, we put  $L_\Phi = (L_\Phi, \|\cdot\|)$ ,  $l_\Phi = (l_\Phi, \|\cdot\|)$ ,  $L_\Phi^0 = (L_\Phi, \|\cdot\|_0)$  and  $l_\Phi^0 = (l_\Phi, \|\cdot\|_0)$ .

For any Orlicz function  $\Phi$  we define its *complementary function*  $\Psi : \mathcal{R} \rightarrow [0, \infty]$  by the formula

$$\Psi(v) = \sup_{u > 0} \{u|v| - \Phi(u)\},$$

for every  $v \in \mathcal{R}$ . The complementary function  $\Psi$  of an Orlicz function is also a convex function vanishing at zero.

For  $x \in L_{\Phi}^0$  (respectively  $l_{\Phi}^0$ ) we denote by  $k(x)$  the set of those  $k > 0$  such that  $\|x\|_0 = \frac{1}{k}(1 + I_{\Phi}(kx))$ . It is known (see [1], [2] and [19]) that  $k(x) = [k * (x), k ** (x)]$ , whenever  $k ** (x) < \infty$ , where,

$$k * (x) = \inf\{\lambda > 0 : I_{\Psi}(p(\lambda|x|)) \geq 1\}, \quad k ** (x) = \sup\{\lambda > 0 : I_{\Psi}(p(\lambda|x|)) \leq 1\}$$

and  $Psi$  is the function complementary to  $Phi$ . In the case when  $k ** (x) = \infty$  and  $k * (x) < \infty$ , we have  $k(x) = [k * (x), k ** (x)]$ . When  $k * (x) = \infty$ ,

$$\|x\|_0 = \lim_{k \rightarrow \infty} \frac{1}{k} (1 + I_{\Phi}(kx)) = \lim_{k \rightarrow \infty} \frac{1}{k} I_{\Phi}(kx).$$

We say an Orlicz function  $\Phi$  satisfies the  $\Delta_2$ -condition ( $\delta_2$ -condition) if there exist constants  $k \geq 2$  and  $u_0 > 0$  such that  $\Phi(u_0) < \infty$  (respectively,  $\Phi(u_0) > 0$ ) and

$$\Phi(2u) \leq k\Phi(u),$$

for every  $|u| \geq u_0$  (respectively, for every  $|u| \leq u_0$ ), (see [1], [11], [12], [14] and [16]).

We say an Orlicz function  $\Phi$  satisfies the  $\nabla_2$ -condition (respectively,  $\bar{\delta}_2$ -condition) if its complementary function  $\Psi$  satisfies the  $\Delta_2$ -condition (respectively,  $\delta_2$ -condition).

An Orlicz function  $\Phi$  is said to be *uniformly convex* in  $[0, u_0]$ , if for all  $\varepsilon > 0$ , there exists  $\delta > 0$  such that

$$\Phi\left(\frac{u+v}{2}\right) \leq (1-\delta)\frac{\Phi(u) + \Phi(v)}{2}$$

for all  $u, v \in [0, u_0]$  satisfying  $|u - v| \geq \varepsilon \max\{u, v\}$ .

We say an Orlicz function  $\Phi$  is *strictly convex* in  $\mathbb{R}$  if for any  $u, v \in \mathbb{R}$ ,  $u \neq v$ , and  $\alpha \in (0, 1)$  we have

$$\Phi(\alpha u + (1-\alpha)v) < \alpha\Phi(u) + (1-\alpha)\Phi(v).$$

For more details on Orlicz functions and Orlicz spaces we refer to [1], [11], [12], [14] and [18].

## §2. GENERAL RESULTS

We begin with the following observation. **Note 1.** *Property  $(W\tilde{A}_2)$  of a Banach space  $X$  implies that  $R(X) < 2$ .*

**Proof.** Take any weak null sequence  $\{x_n\}$  in  $S(X)$  and  $x \in S(X)$ . Then we have that the sequence  $\{x, x_1, x_2, \dots\} \subset S(X)$  is weakly null. So, by property  $(W\tilde{A}_2)$ , for some  $\varepsilon > 0$  and  $\delta$  which we may take to be in  $(0, 1)$  we can find a  $k_1$  such that  $\|x + \delta x_{k_1}\| \leq 1 + \delta\varepsilon$ . Consider next the weak null sequence  $\{x, x_{k_1+1}, x_{k_1+2}, \dots\}$ . There is a  $k_2 > k_1$  such that  $\|x + \delta x_{k_2}\| \leq 1 + \delta\varepsilon$ . In this way we can inductively construct a sequence

$$k_1 < k_2 < \dots < k_l < \dots$$

of natural numbers such that  $\|x + \delta x_{k_l}\| \leq 1 + \delta\varepsilon$  for all  $l \in N$ . Therefore,  $\|x + x_{k_l}\| = \|x + \delta x_{k_l} + (1 - \delta)x_{k_l}\| \leq 1 + \delta\varepsilon + (1 - \delta) = \eta(\varepsilon) \in (1, 2)$ . Since  $\eta(\varepsilon)$  is independent of  $x \in S(X)$  and independent of the weakly convergent sequence  $\{x_n\}$  in  $S(X)$ , the proof is complete.

**Theorem 1.** If  $\|\cdot\|$  is a **UF**-norm in a Banach space  $X$ , then  $X$  has property  $(U\tilde{A}_2)$ .

**Proof.** Since  $\|\cdot\|$  is a **UF**-norm in  $X$ , it follows that  $X$  is Gateaux differentiable; that is,  $X$  is smooth. Let  $f_x \in S(X^*)$  denote the unique supporting functional at  $x \in S(X)$ . It is known that the norm  $\|\cdot\|$  is uniformly Fréchet differentiable on the space  $X$  if and only if

$$\lim_{t \rightarrow 0} \frac{\|x + ty\| - \|x\|}{t} = f_x(y)$$

exists uniformly with respect to  $x, y \in S(X)$ .

Now, for any  $\varepsilon > 0$  and each weak null sequence  $\{x_n\}$  in  $S(X)$ , there exists  $n_0 \in \mathcal{N}$  such that

$$|f_x(x_n)| < \frac{\varepsilon}{2}$$

for all  $n \geq n_0$ . Since the norm  $\|\cdot\|$  is (by assumption) **UF** on  $X$ , there exists a  $\delta > 0$  such that

$$\left| \frac{\|x + tx_{n_0}\| - \|x\|}{t} - f_x(x_{n_0}) \right| < \frac{\varepsilon}{2}$$

whenever  $|t| < \delta$ , whence

$$\|x + tx_{n_0}\| - \|x\| < \frac{t\varepsilon}{2} + |f_x(x_{n_0})|t < t\varepsilon$$

uniformly with respect to  $x \in S(X)$ . This means that  $X$  has property  $(U\tilde{A}_2)$ , as required.

**Theorem 2.** Suppose that a Banach space  $X$  has property  $(W\tilde{A}_2)$ . Then  $X$  has the weak Banach-Saks property and the weak fixed point property.

**Proof.** Since  $X$  has the property  $(W\tilde{A}_2)$ , there exist  $\varepsilon \in (0, 1)$  and  $\delta > 0$  such that for any  $t \in [0, \delta]$  and any weak null sequence  $\{x_n\}$  in  $B(X)$  there exists  $k \in N, k > 1$ , such that  $\|x_1 + tx_k\| < 1 + \varepsilon\delta$ . Hence

$$\begin{aligned} \|x_1 + x_k\| &= \|x_1 + \delta x_k + (1 - \delta)x_k\| \\ &\leq \|x_1 + \delta x_k\| + (1 - \delta) \leq 1 + \varepsilon\delta + 1 - \delta = 2 - \delta(1 - \varepsilon), \end{aligned}$$

which means that a Banach space with property  $(W\tilde{A}_2)$  has property  $(A_2)$ . Consequently, a Banach space with property  $(W\tilde{A}_2)$  has the weak Banach-Saks property.

Moreover, we have by the above estimate that  $R(X) \leq 2 - \delta(1 - \varepsilon) < 2$ , so  $X$  enjoys the weak fixed point property (see [7]).

Let us recall that for a Banach space  $X$  with basis  $\{x_i\}$ , the basis constant of the space is the number  $M = \sup \|P_n\|$ , where  $P_n$  are the projections defined by  $P_n(x) = \sum_{i=1}^n a_i x_i$ , where  $x = \sum_{i=1}^{\infty} a_i x_i$ .

**Theorem 3.** Let  $X$  be a separable Banach space. If its dual space  $X^*$  has property  $(U\tilde{A}_2)^*$ , then  $X$  has the  $(UKK)$ -property.

**Proof.** Let  $\{x_n\}$  be a sequence in  $S(X)$  with  $sep(\{x_n\}) := \inf_{m \neq n} \|x_m - x_n\| > \varepsilon$  and  $x_n \xrightarrow{w} x \in B(X)$ . Deleting at most one element of the sequence, we can assume that  $sep(\{x_n - x\}) > \varepsilon$ . For any  $\varepsilon_1 > 0$  let  $M = 1 + \varepsilon_1$ . By the Bessaga-Pelczynski selection principle, there exists a subsequence  $\{z_n\}$  of the sequence  $\{x_n - x, x\}$  with  $z_1 = x$ , being a basic sequence with the basis constant less than or equal to  $M$  (see [5], p. 46).

Let us consider the sequence  $\{z_n^*\}$  of the *Hahn-Banach* extensions of the coefficient functionals of the basic sequence  $\{\frac{z_n}{\|z_n\|}\}$  and put  $X_0 = \overline{span}\{z_n : n = 1, 2, \dots\}$ . Then we can prove that  $\langle z_n^*, z \rangle \rightarrow 0$  for any  $z \in X_0$  as  $n \rightarrow \infty$ . Namely, for any  $z \in X_0$  we have  $z = \sum_{i=1}^{\infty} z_i^*(z) z_i$ , whence

$$\begin{aligned} |\langle z_n^*, z \rangle| &= \|z_n^*(z) z_n\| = \left\| \sum_{i=n}^{\infty} z_i^*(z) z_i - \sum_{i=n+1}^{\infty} z_i^*(z) z_i \right\| \\ &\leq \left\| \sum_{i=n}^{\infty} z_i^*(z) z_i \right\| + \left\| \sum_{i=n+1}^{\infty} z_i^*(z) z_i \right\| \rightarrow 0. \end{aligned}$$

Since  $X$  is separable, we can assume that  $z_n^* \xrightarrow{w^*} z^*$  as  $n \rightarrow \infty$ .

Let us now take any  $\varepsilon_2 \in (0, 1)$ . Since  $X^*$  has property  $(WU\tilde{A}_2)^*$ , there exists  $0 < \delta_2 \leq 1$  and  $k \in \mathbb{N}$ ,  $k > 1$ , such that for any  $t \in (0, \delta_2)$

$$(1) \quad \left\| \frac{z_1^*}{\|z_1^*\|} + t \frac{(z_k^* - z^*)}{\|z_k^* - z^*\|} \right\| < 1 + t\varepsilon_2.$$

It is easy to see that:

(2) For all  $k \in \mathbb{N}$ ,  $\langle z^*, z_k \rangle = 0$  and  $\langle z_k^*, z_k \rangle = \|z_k\|$ . In particular  $\langle z^*, x \rangle = 0$ ,

(3) For all  $k \geq 2$ ,  $\|x + z_k\| = 1$  and  $\langle z_k^*, x \rangle = 0$ ,

(4) For all  $k \in \mathbb{N}$ ,  $\|z_k^* - z^*\| \leq 4M$  and  $\|z_1^*\| \leq M$ .

Since  $sep(\{x_n\}) > \varepsilon$  we can assume that  $\|z_n\| \geq \frac{\varepsilon}{2}$  for  $n \geq 2$ . Let  $k > 1$  be a natural number for which (1) holds for all  $t \in (0, \delta_2)$ . Then by conditions (2)-(4) and the fact that  $z_1 = x$ , we obtain

$$\|x\| = \langle z_1^*, x \rangle = \|z_1^*\| \left\langle \frac{z_1^*}{\|z_1^*\|}, x \right\rangle = \|z_1^*\| \left[ \left\langle \frac{z_1^*}{\|z_1^*\|}, x + z_k \right\rangle \right]$$

$$\begin{aligned}
&= \|z_1^*\| \left[ \left\langle \frac{z_1^*}{\|z_1^*\|}, x + z_k \right\rangle + t \left\langle \frac{z_k^* - z^*}{\|z_k^* - z^*\|}, x + z_k \right\rangle - t \left\langle \frac{z_k^* - z^*}{\|z_k^* - z^*\|}, x + z_k \right\rangle \right] \\
&= \|z_1^*\| \left[ \left\langle \frac{z_1^*}{\|z_1^*\|} + t \frac{z_k^* - z^*}{\|z_k^* - z^*\|}, x + z_k \right\rangle - \frac{t \|z_k\|}{\|z_k^* - z^*\|} \right] \\
&\leq \|z_1^*\| \left[ \left\| \frac{z_1^*}{\|z_1^*\|} + t \frac{z_k^* - z^*}{\|z_k^* - z^*\|} \right\| - \frac{t \|z_k\|}{\|z_k^* - z^*\|} \right] \\
&\leq M \left[ (1 + t\varepsilon_2) - \frac{t\varepsilon}{2\|z_k^* - z^*\|} \right] \leq M \left[ (1 + t\varepsilon_2) - \frac{t\varepsilon}{8M} \right].
\end{aligned}$$

So, we have  $\|x\| \leq M(1 + t\varepsilon_2 - \frac{t\varepsilon}{8M})$ . Using  $M = 1 + \varepsilon_1$ , and taking the limit as  $\varepsilon_1 \rightarrow 0$ , we obtain

$$\|x\| \leq 1 + t(\varepsilon_2 - \frac{\varepsilon}{8}).$$

Now taking  $\varepsilon_2 = \frac{\varepsilon}{16}$ , and  $t = \frac{\delta_2}{2}$ , we get

$$\|x\| \leq 1 - \frac{\delta_2\varepsilon}{32},$$

completing the proof.

*Remark 1.* It is worth noticing that separability of  $X$  in the last theorem is only necessary to ensure that  $w^*$ - compact subsets of  $X$  are  $w^*$ -sequentially compact. We can relax the assumption of separability of  $X$ , requiring for example that  $X$  admits an equivalent smooth norm (see [10]).

The next result follows directly from our Theorems 2 and 3.

**Corollary 1.** Let  $X$  be a separable Banach space. If its dual space  $X^*$  has property  $(U\tilde{A}_2)^*$ , then both  $X$  and  $X^*$  have the weak fixed point property.

### § 3. THE CASE OF ORLICZ SPACES

**Corollary 2.** Let  $X$  be the Orlicz space  $L_M$  or  $L_M^0$ . Then the following statements are equivalent:

- (1)  $X$  is uniformly smooth;
- (2)  $X$  is nearly uniformly smooth;
- (3)  $X$  is  $(NUS^*)$ ;
- (4)  $X$  has property  $(U\tilde{A}_2)$  ;
- (5)  $\Psi \in \Delta_2$ ,  $\Psi$  is strictly convex on the whole real line and  $\Phi$  is uniformly convex outside a neighborhood of zero.

**Proof.** This follows from our Theorem 3 and Theorem 3.15 in [1].

**Lemma 1.** Suppose  $\Phi \in \delta_2$ . Then for any  $\varepsilon > 0$  and  $L > 0$  there exists  $\delta > 0$  such that,

$$I_\Phi(x + ty) - I_\Phi(x) < t\varepsilon,$$

whenever  $I_\Phi(x) \leq L$ ,  $I_\Phi(y) \leq \delta$  and  $t \in (0, 1)$ .

**Proof.** Since  $\Phi \in \delta_2$ , for any  $\varepsilon > 0$  and  $L > 0$  there exists  $\delta \in (0, 1)$  such that,

$$I_\Phi(x + y) - I_\Phi(x) < \varepsilon$$

whenever  $I_\Phi(x) \leq L$  and  $I_\Phi(y) \leq \delta$  (see [4]). So for any  $t \in (0, \delta)$ , we have,

$$\begin{aligned} I_\Phi(x + ty) &= I_\Phi(tx + ty + (1 - t)x) \\ &\leq tI_\Phi(x + y) + (1 - t)I_\Phi(x) \\ &\leq t(I_\Phi(x) + \varepsilon) + (1 - t)I_\Phi(x) = I_\Phi(x) + t\varepsilon, \end{aligned}$$

whenever  $I_\Phi(x) \leq L$  and  $I_\Phi(y) \leq \delta$ .

**Lemma 2.** Suppose  $\Phi \in \bar{\delta}_2$ . Then for any  $\varepsilon > 0$  and  $u_0 > 0$  there exists  $\delta > 0$  such that

$$\Phi(tu) \leq t\varepsilon\Phi(u),$$

whenever  $|u| \leq u_0$  and  $t \in (0, \delta)$ .

**Proof.** Suppose that  $\Phi \in \bar{\delta}_2$ . Then for any  $u_0 > 0$  there exists  $\theta \in (0, 1)$  such that

$$\Phi\left(\frac{u}{2}\right) \leq \frac{\theta}{2}\Phi(u)$$

whenever  $|u| \leq u_0$  (see [1] and [16]). Take  $n \in \mathcal{N}$  such that  $\theta^n \leq \varepsilon$ . Then for  $\delta = \frac{1}{2^n}$ , we have

$$\Phi(\delta u) = \Phi\left(\frac{u}{2^n}\right) \leq \left(\frac{\theta}{2}\right)^n \Phi(u) \leq \delta\varepsilon\Phi(u),$$

whenever  $|u| \leq u_0$ .

Hence, for any  $t \in (0, \delta)$ , we have

$$\Phi(tu) = \Phi\left(\frac{t}{\delta}\delta u\right) \leq \frac{t}{\delta}\delta\varepsilon\Phi(u) = t\varepsilon\Phi(u),$$

whenever  $|u| \leq u_0$ , which finishes the proof.

From here on we will make use of the following parameter for an Orlicz function  $\Phi$ :

$$m(\Phi) = \sup \left\{ n \in \mathbb{N} : \sum_{i=1}^n \Psi(A) < 1 \right\},$$

where  $A := \lim_{u \rightarrow \infty} (\Phi(u)/u)$  and  $\Psi$  is the function complementary to  $\Phi$  in the sense of Young.

For any  $x \in l_\Phi^0$ , put  $N(x) = \{i \in \mathbb{N} : x(i) \neq 0\}$  and define  $D(l_\Phi^0) = \{x = (x(i)) \in B(l_\Phi^0) : N(x) \text{ is finite}\}$ .



**Lemma 4.** Let  $\Phi$  be an Orlicz function with  $\Phi \in \delta_2$ ,  $m(\Phi) \leq 1$  and  $\Phi \in \bar{\delta}_2$ . Then for any  $\varepsilon > 0$  there exists  $\delta > 0$  such that for every weak null sequence  $\{x_n\}$  in  $B(l_\Phi^0)$  and every  $x \in D(l_\Phi^0)$  there is a natural number  $k > 1$  such that

$$\|x + tx_k\|^0 \leq 1 + t\varepsilon,$$

whenever  $t \in (0, \delta)$ .

**Proof.** Case I. Assume that  $\lim_{u \rightarrow \infty} \frac{\Phi(u)}{u} = +\infty$ . Let  $\varepsilon > 0$  be given. By  $\Phi \in \bar{\delta}_2$ , the set  $Q = \{k_x : \frac{1}{2} \leq \|x\|_0 \leq 1 \text{ and } \|x\|^0 = \frac{1}{k_x}(1 + I_\Phi(k_x x))\}$  is bounded; that is, there exists  $\mathbf{k} > 1$  such that  $1 \leq k_x \leq \mathbf{k}$  whenever  $\frac{1}{2} \leq \|x\|_0 \leq 1$  (see [1]). By Lemma 2, we know that there exists  $\delta \in (0, 1)$  such that

$$\Phi(tu) \leq t\delta\Phi(u)$$

whenever  $t \in (0, \delta)$  and  $|u| \leq \Phi^{-1}(\mathbf{k})$ . By Lemma 1, there exists  $\theta > 0$  such that

$$|I_\Phi(x + ty) - I_\Phi(x)| < t\varepsilon,$$

whenever  $I_\Phi(x) \leq L$ ,  $I_\Phi(y) \leq \theta$  and  $t \in (0, 1)$ .

Fix  $t \in (0, \frac{\delta}{\mathbf{k}})$  and let  $\{x_n\}$  be an arbitrary weak null sequence in  $S(l_\Phi^0)$ . For any  $x \in D(l_\Phi^0)$ , take  $i_0 \in \mathcal{N}$  such that  $x(i) = 0$  for  $i > i_0$ . Since  $x_n \xrightarrow{w} 0$ , we conclude that  $x_n \rightarrow 0$  coordinatewise, and so there exists  $n_0 \in \mathcal{N}$  such that  $\sum_{i=1}^{i_0} \Phi(x_n(i)) < \theta$  for all  $n \geq n_0$ . Hence, we get for  $l \geq 1$  satisfying  $\|x\| = \frac{1}{l}(1 + I_\Phi(lx))$  that:

$$\begin{aligned} \|x + tx_n\|^0 &\leq \frac{1}{l} [1 + I_\Phi(l(x + tx_n))] \\ &= \frac{1}{l} \left[ 1 + \sum_{i=1}^{i_0} \Phi(l(x(i) + tx_n(i))) + \sum_{i=i_0+1}^{\infty} \Phi(ltx_n(i)) \right] \\ &\leq \frac{1}{l} \left[ 1 + \sum_{i=1}^{i_0} \Phi(lx(i)) + t\varepsilon + \sum_{i=i_0+1}^{\infty} \Phi(ltx_n(i)) \right] \\ &\leq \frac{1}{l} \left[ 1 + \sum_{i=1}^{i_0} \Phi(lx(i)) + t\varepsilon + lt\varepsilon \sum_{i=i_0+1}^{\infty} \Phi(x_n(i)) \right] \\ &\leq \frac{1}{l} \left[ 1 + \sum_{i=1}^{i_0} \Phi(lx(i)) \right] + 2t\varepsilon \leq 1 + 2t\varepsilon. \quad \square \end{aligned}$$

Case II.

Assume that  $\lim_{u \rightarrow \infty} \frac{\Phi(u)}{u} = A < \infty$ . Let  $\{x_n\}$  be a weak null sequence in  $S(\ell_\Phi^0)$  and  $x$  be in  $D(\ell_\Phi^0)$ . Put,

$$y_m = \left( \frac{1}{A}, \frac{1}{m}, 0, 0, \dots \right),$$

where  $m := m(\Phi)$ . Since  $x_n \xrightarrow{w} 0$ , we may assume without loss of generality that  $x_n(i) = 0$  for  $i = 1, 2$  (because weak convergence to zero in  $\ell_\Phi^0$  implies

coordinatewise convergence to zero). By the condition  $m(\Phi) \leq 1$ , we know that there exists  $k_m > 0$  such that

$$\|y_m\|^0 = \frac{1}{k_n} (1 + I_\Phi(k_m y_m)) \quad \forall m \in \mathbb{N}.$$

It is clear that the sequence  $\{k_m\}$  is bounded. Hence, by virtue of Lemma 2,

$$\begin{aligned} \|x + tx_n\|^0 &\leq \|y_m + tx_n\|^0 \\ &\leq \frac{1}{k_n} \left( 1 + \sum_{i=1}^{\infty} \Phi(k_m (y_m(i) + tx_n(i))) \right) \\ &= \frac{1}{k_n} \left( 1 + \sum_{i=1}^2 \Phi(k_m y_m(i)) + \sum_{i=3}^{\infty} \Phi(k_m tx_n(i)) \right) \\ &\leq \|y_m\|^0 + t\varepsilon \sum_{i=3}^{\infty} \Phi(x_n(i)) \\ &\leq \|y_m\|^0 + t\varepsilon \end{aligned}$$

Passing to the limit as  $m$  tends to  $\infty$ , we obtain that

$$\|x + tx_n\|^0 \leq 1 + t\varepsilon,$$

as required.

**Theorem 4.** Let  $\Phi$  be an  $N$ -function and  $X = l_\Phi^0$  fail the Schur property. Then the following statements are equivalent:

- (1)  $X$  has property  $(U\tilde{A}_2)$ ;
- (2)  $X$  has property  $(W\tilde{A}_2)$ ;
- (3)  $R(X) < 2$ ;
- (4)  $\Phi \in \delta_2$ ,  $m(\Phi) \leq 1$  and  $\Phi \in \bar{\delta}_2$ .

**Proof.** That (1) implies (2) is clear and by Note 1, (2) implies (3).

To see that (3) implies (4), suppose that  $\Phi \notin \delta_2$ , then for any  $\varepsilon > 0$  there exists  $x \in S(l_\Phi^0)$  such that

$$1 - \varepsilon \leq \left\| \sum_{i=n}^{\infty} x(i)e_i \right\|^0 \leq 1$$

for all  $n \in \mathcal{N}$ . Take a sequence  $\{n_i\}$  in  $\mathcal{N}$  with  $n_1 < n_2 < \dots$  such that

$$\left\| \sum_{j=n_i+1}^{n_{i+1}} x(j)e_j \right\|^0 \geq 1 - 2\varepsilon \quad \text{for all } i \in \mathcal{N}.$$

Put  $x_i = \sum_{j=n_i+1}^{n_{i+1}} x(j)e_j$ . Since  $\Phi$  is an  $N$ -function,

$$\lim_{\lambda \rightarrow 0} \left( \sup_{i \in \mathcal{N}} \frac{I_\Phi(\lambda x_i)}{\lambda} \right) \leq \lim_{\lambda \rightarrow 0} \frac{I_\Phi(\lambda x)}{\lambda} = 0,$$

so we have that  $x_i \xrightarrow{lv} 0$ . Notice that every singular functional vanishes on any  $x_i$ . In consequence  $x_i \xrightarrow{w} 0$ .

But  $\liminf_{i \rightarrow \infty} \|x_i + x\|^0 \geq \liminf_{i \rightarrow \infty} 2 \|x_i\|^0 \geq 2(1 - 2\varepsilon)$ . By the arbitrariness of  $\varepsilon > 0$ , we get  $R(l_\Phi^0) = 2$ . Thus, we have proved that if  $\Phi \notin \delta_2$ , then (3) does not hold.

Now we need to prove the necessity of the condition  $m(\Phi) \leq 1$  for  $R(X) < 2$ . Let us assume that  $m(\Phi) \geq 2$  and for each  $n \in \mathbb{N}$  define

$$x_n = \left( 0, \dots, 0, \frac{1}{A}, 0, \dots \right),$$

where  $\frac{1}{A}$  is in the  $n$ 'th place and  $A := \lim_{u \rightarrow \infty} \frac{\Phi(u)}{u}$ . Then  $\|x_n\|^0 = 1$ , because  $m(\Phi) \leq 2$  yields  $k^*(x_n) = \infty$ , and so from our earlier discussion  $\|x_n\|^0 = \lim_{k \rightarrow \infty} (I_\Phi(kx_n)/k)$ . Since  $\ell_\Phi^0$  fails the Schur property, we have the equality  $\lim_{u \rightarrow \infty} (\Phi(u)/u) = 0$ . Consequently,

$$\lim_{\lambda \rightarrow 0} \left( \sup_n \frac{I_\Phi(\lambda x_n)}{\lambda} \right) = \lim_{\lambda \rightarrow 0} \frac{\Phi\left(\frac{\lambda}{A}\right)}{\lambda} = 0.$$

Therefore, by virtue of lemma 2.3 in [3](also see, Theorem 1.69 in [1]) and  $\Phi \in \delta_2$ , we conclude that  $\{x_n\}$  is a weak null sequence (also see the proof of Theorem 2.3 in [6]). Moreover,

$$\|x_n + x_1\|^0 = 2A \cdot \frac{1}{A} = 2,$$

so  $R(\ell_\Phi^0) = 2$ , which establishes the necessity of the condition  $m(\Phi) \leq 1$  for  $R(\ell_\Phi^0) < 2$ .

Suppose that  $\Phi \notin \bar{\delta}_2$ . Then the Kottman constant  $K(l_\Phi^0) = \sup\{d_x : x \in S(l_\Phi^0)\} = 2$  (see [1] and [18]). Hence for any  $\varepsilon > 0$  there exists  $x \in S(l_\Phi^0)$  such that  $d_x > 2 - \varepsilon$ . Furthermore, we have  $d_{x,k} \geq d_x > 2 - \varepsilon$  for all  $k > 1$ .

Put,

$$\begin{aligned} x_1 &= (x(1), 0, x(2), 0, x(3), 0, x(4), 0, x(5), 0, x(6), 0, \dots), \\ x_2 &= (0, x(1), 0, 0, 0, x(2), 0, 0, 0, 0, 0, 0, x(3), 0, 0, \dots), \\ x_3 &= (0, 0, 0, x(1), 0, 0, 0, 0, 0, 0, 0, 0, 0, x(2), 0, 0, 0, 0, \dots), \dots, \\ &\dots, \end{aligned}$$

so the supports of the  $x_n$  are pairwise disjoint and for any  $n \in \mathbb{N}$  the non-zero coordinates of  $x_n$  are precisely the coordinates of  $x$ .

Then,  $\|x_n\|^0 = 1$ , for any  $n \in \mathbb{N}$ ,  $x_n \xrightarrow{w} 0$  and for any  $k > 1$  we have

$$\begin{aligned} \frac{1}{k} \left( 1 + I_\Phi \left( \frac{k(x_n + x_1)}{d_x} \right) \right) &\geq \frac{1}{k} \left( 1 + I_\Phi \left( \frac{k(x_n + x_1)}{d_{x,k}} \right) \right) \\ &= \frac{1}{k} \left( 1 + I_\Phi \left( \frac{kx}{d_{x,k}} \right) + I_\Phi \left( \frac{kx}{d_{x,k}} \right) \right) = \frac{1}{k} \left( 1 + \frac{k-1}{2} + \frac{k-1}{2} \right) = 1. \end{aligned}$$

So, we get  $\left\| \frac{x_n + x_1}{d_x} \right\|^0 \geq 1$ ; that is,  $\liminf_{n \rightarrow \infty} \|x_n + x_1\|^0 \geq d_x - \varepsilon$ . By the arbitrariness of  $\varepsilon > 0$ , we get  $R(l_\Phi^0) = 2$ . Therefore, we have proved that  $\Phi \notin \bar{\delta}_2$  implies that (3) does not hold.

(4)  $\Rightarrow$  (1). By Lemma 4, for any  $\varepsilon > 0$  there exists a  $\delta > 0$  such that for every weak null sequence  $\{x_n\}$  in  $B(l_\Phi^0)$  and any  $x \in D(l_\Phi^0)$ , there exists a number  $m > 1$  such that

$$\|x + tx_m\|^0 \leq 1 + \frac{t\varepsilon}{2},$$

whenever  $t \in (0, \delta)$ .

Let  $t \in (0, \delta)$  be given arbitrarily. For any weak null sequence  $\{x_n\}$  in  $B(l_\Phi^0)$ , we only need to consider the case when  $N(x_1)$  is infinite. Take  $i_0$  large enough so that  $\left\| \sum_{i=i_0+1}^{\infty} x_1(i)e_i \right\|^0 \leq \frac{t\varepsilon}{2}$ . Then there exists  $m \in \mathcal{N}$  such that

$$\left\| \sum_{i=1}^{i_0} x_1(i)e_i + tx_m \right\|^0 \leq 1 + \frac{t\varepsilon}{2}.$$

Hence,

$$\|x_1 + tx_m\|^0 \leq \left\| \sum_{i=1}^{i_0} x_1(i)e_i + tx_m \right\|^0 + \frac{t\varepsilon}{2} \leq 1 + \frac{t\varepsilon}{2} + \frac{t\varepsilon}{2} = 1 + t\varepsilon.$$

**Corollary 3.** Let  $\Phi$  be any Orlicz function and  $X = l_\Phi^0$ . Then the following statements are equivalent:

- (1)  $X$  is  $(NUS^*)$ ;
- (2)  $X$  is nearly uniformly smooth;
- (3)  $\Phi \in \delta_2$ ,  $\Phi \in \bar{\delta}_2$  and  $m(\Phi) \leq 1$ .

**Proof.** (3)  $\Rightarrow$  (1). If  $\Phi \in \delta_2$ ,  $\Phi \in \bar{\delta}_2$  and  $m(\Phi) \leq 1$ , by Theorem 4,  $\ell_\Phi^0$  has property  $(U\tilde{A}_2)$ . Moreover,  $\ell_\Phi^0$  is then  $B$ -convex (see [1]), so  $\ell_\Phi^0$  contains no copy of  $\ell_1$ . Since a Banach space  $X$  has  $(NUS^*)$  if and only if has property  $(U\tilde{A}_2)$  and contains no copy of  $\ell_1$  (see [15]), condition (3) implies condition (1).

Again by our Theorem 4 and the result from [15] that we just mentioned, we have that (1)  $\Rightarrow$  (2), because condition (1) implies reflexivity of  $\ell_\Phi^0$  and we therefore also have (2)  $\Rightarrow$  (3).

The following theorem can be proved in a similar way as for  $X = \ell_\Phi^0$ , so we omit its proof.

**Theorem 5.** For any Orlicz function  $\Phi$  and  $X = \ell_\Phi$  the following statements are equivalent:

- (1)  $X$  has property  $(U\tilde{A}_2)$ ;
- (2)  $X$  has property  $(W\tilde{A}_2)$ ;

- (3)  $R(X) < 2$ ;
- (4)  $\Phi \in \delta_2$  and  $\Phi \in \bar{\delta}_2$ .

**Corollary 4.** Let  $\Phi$  and  $X$  be as in Theorem 5. The following statements are equivalent:

- (1)  $X$  is nearly uniformly smooth;
- (2)  $X$  is  $(NUS^*)$ ;
- (3)  $\Phi \in \delta_2$  and  $\Phi \in \bar{\delta}_2$ .

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YUNAN CUI: DEPARTMENT OF MATHEMATICS,, HARBIN UNIVERSITY OF SCIENCE  
AND TECHNOLOGY, HARBIN,150080, P.R. CHINA

*E-mail address:* cuiya@hrbust.edu.cn

HENRYK HUDZIK: FACULTY OF MATHEMATICS AND COMPUTER SCIENCE, ADAM  
MICKIEWICZ UNIWERSITY, POZNAŃ, POLAND

*E-mail address:* hudzik@amu.edu.pl

BRAILEY SIMS: SCHOOL OF MATHEMATICAL AND PHYSICAL SCIENCES, THE UNI-  
VERSITY OF NEWCASTLE, NSW 2308, AUSTRALIA

*E-mail address:* brailey.sims@newcastle.edu.au