

# A new characterization of the Fibonacci sequence

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Motivation

Introduction

Technical definitions

Linear equations in recurrences

General Result

Recurrences of order 2 and 3

van der Corput 1939: there are infinitely many arithmetic progressions of primes of length 3

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Heath-Brown 1981: there are infinitely many four-term progressions consisting of three primes and a number that is either a prime or product of two (possibly equal) primes

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$$f_{n+d} = a_{d-1}f_{n+d-1} + \cdots + a_0f_n$$

with  $a_i \in \mathbb{C}$  for  $i = 0, \dots, d-1$ ,  $a_0 \neq 0$  and the sequence does not satisfy such an equation with fewer sumands.

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Let  $\alpha_1, \dots, \alpha_r$  be the zeros of the companion polynomial  $P$  and assume that  $\alpha_j$  is a zero of multiplicity  $\sigma_j$ . Then we can write

$$f_n = \sum_{i=1}^r p_i(n)\alpha_i^n,$$

where  $p_i(n)$  are polynomials of degree  $\sigma_i - 1$ .



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- ▶ Can there be arbitrary many arithmetic progressions?  
Answer: Yes! But the recurrences with this property are very special (see the talk).

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- ▶ A sequence is called degenerate if  $\alpha_i/\alpha_j$  with  $i \neq j$  is a root of unity.
- ▶ A sequence is called unitary if  $\alpha_i$  is a root of unity for some  $i$ .

- ▶ We call  $f_n$  symmetric if  $r$  is even and the zeros  $\alpha_1, \dots, \alpha_r$  can be arranged such that  $(\alpha_i \alpha_{i+1})^M = 1$  for each odd  $1 \leq i < r$ .



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- ▶ We call  $f_n$  exceptional if there exists an integer  $N > 0$  such that each  $\alpha_i$  is an rational power of  $N$ , each  $|\alpha_i| > 1$  or each  $|\alpha_i| < 1$  and  $p_i(n) = \gamma_i(n - \gamma)$  with  $\gamma \in \mathbb{Q}$ .

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Note that a recurrence cannot be both symmetric and exceptional.

If  $(f_k, f_m, f_n)$  is a three-term arithmetic progression, then

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Schmidt and Schlickewei (1993) considered linear equations in recurrences in detail:

Consider the equation

$$Af_n + Bf_m + Cf_k = 0, \quad f_n f_m f_k \neq 0,$$

then all but finitely many solutions

- ▶ are contained in finitely many families  $\mathcal{F}_j$  of the form

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- ▶ if  $f_n$  is exceptional, solutions may also be contained in finitely many families, e.g. of type  $\mathcal{E}_j^{(n)}$  of the form

$$n = c_j N^s + \gamma, \quad m = c_j N^s + as + b_j, \quad k = c_j N^s + a's + b_j'$$



## Theorem (Ziegler, P 2012)

*Let  $(f_n)$  be a non-degenerate and non-unitary recurrence sequence with companion polynomial  $P$ . Then there is a finite set  $S_0 \subset \mathbb{N}^3$  such that all three-term arithmetic progressions  $(f_m, f_n, f_k)$  with  $f_n \neq 0$  satisfy  $(m, n, k) \in S_0$  (isolated solutions) or one of the following three cases occurs:*



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- ▶ *The indices for which  $(f_m, f_n, f_k)$  is an arithmetic progression are of the form  $m = k + a, n = k + b$ , with  $a, b \in \mathbb{Z}$  such that  $P(X) \mid (X^a - 2X^b + 1)X^{-\min\{a,b,0\}}$ .*

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- ▶ *The recurrence is of the form*

$$f_n = \sum_{i=1}^r c_i \left( \alpha_{2i-1}^n + \alpha_{2i}^n \frac{\alpha_{2i-1}^{a+c} + \alpha_{2i-1}^{b+c}}{2} \zeta_i^c \right), \text{ with}$$

$$0 = (\zeta_i^a + \zeta_i^b - 4\zeta_i^c) + \zeta_i^a \alpha_j^{b-a} + \zeta_i^b \alpha_j^{a-b} \quad \text{or}$$

$$f_n = \sum_{i=1}^r c_i \left( \alpha_{2i-1}^n + \alpha_{2i}^n (\alpha_{2i-1}^{a+c} + 2\alpha_{2i-1}^{b+c}) \zeta_i^c \right), \text{ with}$$

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$$0 = (4\zeta_i^a + \zeta_i^b - \zeta_i^c) - 2\zeta_i^a \alpha_j^{b-a} - 2\zeta_i^b \alpha_j^{a-b}$$

where  $j = 2i - 1, 2i$ ,  $c_i \in \mathbb{C}$ ,  $\alpha_{2i-1}\alpha_{2i} = \zeta_i$  is an  $M$ -th root of unity with  $M$  minimal for all  $i = 1, \dots, r/2$  and the indices are of the form  $m = Mt + a, n = Mt + b, k = -Mt + c$ .

- ▶ The recurrence is of the form

$$f_n = C(n - \gamma)2^{n/K} \zeta_K^n$$

where  $\zeta_K$  is a  $K$ -th root of unity, with  $\gamma, K \in \mathbb{Z}$  and  $C \in \mathbb{C}$ . Then  $f_n, f_m$  and  $f_k$  form an arithmetic progression (arranged in some order) if

$n = c2^s + \gamma, m = c2^s + as + b, k = c2^s + a's + b'$  with  $a, a', b, b', c$  integers. Moreover  $K$  and  $c$  can only be both positive if  $K$  is even and  $\zeta_K$  is a root of  $-1$ .

Conclusion: If  $f_n$  contains infinitely many arithmetic progressions, then:

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Example: Let  $f_n$  be the Fibonacci sequence

$f_{n+2} = f_{n+1} + f_n$  and  $f_0 = 0, f_1 = 1$ , then  $(f_n, f_{n+2}, f_{n+3})$  is an arithmetic progression. Note  $X^2 - X - 1 \mid X^3 - 2X^2 + 1$ .

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Example: Let  $f_{n+2} = 4f_{n+1} + f_n, f_0 = -3, f_1 = 2$ , then  $f_n$  is defined over the integers and contains infinitely many arithmetic three-term progressions  $(f_{2n+2}, f_{-2n+1}, f_{2n+1})$ .



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The first and the second case can occur in one sequence! Note the Fibonacci sequence with  $f_0 = 0$  and  $f_1 = 1$  also contains the infinite family  $(f_{2n+2}, f_{-2n-1}, f_{2n-1})$  of arithmetic three term progressions.

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## Corollary

*Let  $f_n$  be defined over the integers and assume  $f_n$  contains infinitely many three-term arithmetic progressions  $(f_m, f_n, f_k)$  with  $n, m, k \geq 0$ , then  $P(X)$  is a factor of  $\frac{X^a - 2X^b + 1}{X^d - 1}$  or  $\frac{X^a + X^b - 2}{X^d - 1}$  with  $a > b > 0$  and  $d = \gcd(a, b)$ .*

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### Lemma (Schinzel 1963)

*The polynomial*

$$\frac{X^a - 2X^b + 1}{X^{\gcd(a,b)} - 1}$$

*is irreducible over  $\mathbb{Q}$ , except  $a = 7k$  and  $b = 5k$  or  $b = 2k$  and in this case the polynomial factors into*

$$(X^{3k} + X^{2k} - 1)(X^{3k} + X^k - 1) \text{ or} \\ (X^{3k} + X^{2k} + 1)(X^{3k} - X^k - 1).$$

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### Lemma (Schinzel in Ziegler, P 2012)

*The polynomial*

$$\frac{X^a + X^b - 2}{X^{\gcd(a,b)} - 1}$$

*is irreducible over  $\mathbb{Q}$ .*

## Theorem (Ziegler, P 2012)

*Let  $f_n$  be a non-degenerate and non-unitary binary recurrence, which is defined over the rationals and contains infinitely many three-term arithmetic progressions. Then  $f_n$  fulfills one of the following conditions:*



## Theorem (Ziegler, P 2012)

*Let  $f_n$  be a non-degenerate and non-unitary binary recurrence, which is defined over the rationals and contains infinitely many three-term arithmetic progressions. Then  $f_n$  fulfills one of the following conditions:*

- ▶ *The binary recurrence  $f_n$  is of the form  $f_n = R(n - \gamma)2^{\pm n}$ , with  $R \in \mathbb{Q}^*$  and  $\gamma \in \mathbb{Z}$ .*

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- ▶ *The sequence is listed in some table (symmetric cases). Moreover, the zeros of the companion polynomial are  $\pm 2 \pm \sqrt{5}$ ,  $\frac{\pm 1 \pm \sqrt{5}}{2}$  or  $\pm 1 \pm \sqrt{2}$*
- ▶ *The companion polynomial of the recurrence  $f_n$  is listed in a finite table.*

**Table:** Companion polynomials for which  $f_{n+a}$ ,  $f_{n+b}$  and  $f_n$  are in arithmetic progression.

$a$	$b$	$P(X)$
3	1	$X^2 + X - 1$
		$X^2 + X + 2$
		$2X^2 + 2X + 1$
3	2	$X^2 - X - 1$
		$X^2 + 2X + 2$
		$2X^2 + X + 1$

## Corollary (Ziegler, P 2012)

*The only increasing, simple, non-degenerate, non-unitary, binary recursion  $f_n$  for  $n \geq 0$  defined over the rationals that contains infinitely many three-term arithmetic progressions  $(f_m, f_n, f_k)$  with  $m, n, k \geq 0$ , which additionally satisfies  $f_0 = 0$  and  $f_1 = 1$  is the Fibonacci sequence.*

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*Omitting the condition non-unitary but assuming to be defined over the integers we have  $f_n = \frac{2^n - (-1)^n}{3}$  or  $f_n$  is the Fibonacci sequence.*

## Theorem (Ziegler, P 2012)

*Let  $f_n$  be a non-degenerate, non-unitary, ternary recurrence, which is defined over the rationals and contains infinitely many arithmetic progressions. Then  $f_n$  has companion polynomial listed in the table next slide.*

**Table:** Companion polynomials for which  $f_{n+a}$ ,  $f_{n+b}$  and  $f_n$  are in arithmetic progression.

$a$	$b$	$P(X)$
4	1	$X^3 + X^2 + X - 1$
		$X^3 + X^2 + X + 2$
		$2X^3 + 2X^2 + 2X + 1$
4	3	$X^3 - X^2 - X - 1$
		$X^3 + 2X^2 + 2X + 2$
		$2X^3 + X^2 + X + 1$
7	2	$X^3 + X^2 + 1$
		$X^3 - X - 1$
7	5	$X^3 + X^2 - 1$
		$X^3 + X - 1$