

Locally normal subgroups of totally disconnected groups

(paper in preparation)

P-E. Caprace¹ C. D. Reid² G.A. Willis²

¹Université catholique de Louvain, Belgium

²University of Newcastle, Australia

AustMS Annual Meeting, Ballarat 2012

Topological groups

A **topological group** is a group that is also a topological space, such that $(x, y) \mapsto xy$ and $x \mapsto x^{-1}$ are continuous.

Any topological group G has a largest connected subgroup G_0 .
 G is **totally disconnected** if $G_0 = \{1\}$.

G is **locally compact** if there is a compact neighbourhood of 1.

Totally disconnected, locally compact (t.d.l.c.) groups arise in several contexts, e.g.

- Automorphism groups of locally finite graphs
- Galois groups (compact)
- Linear algebraic groups over local fields

Topological groups

A **topological group** is a group that is also a topological space, such that $(x, y) \mapsto xy$ and $x \mapsto x^{-1}$ are continuous.

Any topological group G has a largest connected subgroup G_0 . G is **totally disconnected** if $G_0 = \{1\}$.

G is **locally compact** if there is a compact neighbourhood of 1.

Totally disconnected, locally compact (t.d.l.c.) groups arise in several contexts, e.g.

- Automorphism groups of locally finite graphs
- Galois groups (compact)
- Linear algebraic groups over local fields

Topological groups

A **topological group** is a group that is also a topological space, such that $(x, y) \mapsto xy$ and $x \mapsto x^{-1}$ are continuous.

Any topological group G has a largest connected subgroup G_0 . G is **totally disconnected** if $G_0 = \{1\}$.

G is **locally compact** if there is a compact neighbourhood of 1.

Totally disconnected, locally compact (t.d.l.c.) groups arise in several contexts, e.g.

- Automorphism groups of locally finite graphs
- Galois groups (compact)
- Linear algebraic groups over local fields

Topological groups

A **topological group** is a group that is also a topological space, such that $(x, y) \mapsto xy$ and $x \mapsto x^{-1}$ are continuous.

Any topological group G has a largest connected subgroup G_0 . G is **totally disconnected** if $G_0 = \{1\}$.

G is **locally compact** if there is a compact neighbourhood of 1.

Totally disconnected, locally compact (t.d.l.c.) groups arise in several contexts, e.g.

- Automorphism groups of locally finite graphs
- Galois groups (compact)
- Linear algebraic groups over local fields

van Dantzig's theorem

Special case: compact + totally disconnected = **profinite**

Profinite groups are inverse limits of finite groups and can be well understood in terms of asymptotic properties of finite groups.

In particular they are **residually finite**, so have many normal subgroups.

Theorem (van Dantzig)

Let G be a totally disconnected, locally compact group. Then the open compact subgroups of G form a base of neighbourhoods of the identity.

van Dantzig's theorem

Special case: compact + totally disconnected = **profinite**
Profinite groups are inverse limits of finite groups and can be well understood in terms of asymptotic properties of finite groups.

In particular they are **residually finite**, so have many normal subgroups.

Theorem (van Dantzig)

Let G be a totally disconnected, locally compact group. Then the open compact subgroups of G form a base of neighbourhoods of the identity.

Lemma

Let G be a topological group and let U and V be open compact subgroups of G . Then $U \cap V$ is open, so has finite index in both U and V . In other words U and V are **commensurate**.

Corollary

Every non-discrete t.d.l.c. group has a distinguished **commensurability class** of infinite residually finite subgroups, namely its open compact subgroups.

Conversely, given any group Γ with a residually finite subgroup Δ , if all conjugates of Δ are commensurate to Δ then Γ can be embedded densely in a t.d.l.c. group G so that $\overline{\Delta}$ is open and compact in G . (Belyaev)

Lemma

Let G be a topological group and let U and V be open compact subgroups of G . Then $U \cap V$ is open, so has finite index in both U and V . In other words U and V are **commensurate**.

Corollary

Every non-discrete t.d.l.c. group has a distinguished **commensurability class** of infinite residually finite subgroups, namely its open compact subgroups.

Conversely, given any group Γ with a residually finite subgroup Δ , if all conjugates of Δ are commensurate to Δ then Γ can be embedded densely in a t.d.l.c. group G so that $\overline{\Delta}$ is open and compact in G . (Belyaev)

Lemma

Let G be a topological group and let U and V be open compact subgroups of G . Then $U \cap V$ is open, so has finite index in both U and V . In other words U and V are **commensurate**.

Corollary

Every non-discrete t.d.l.c. group has a distinguished **commensurability class** of infinite residually finite subgroups, namely its open compact subgroups.

Conversely, given any group Γ with a residually finite subgroup Δ , if all conjugates of Δ are commensurate to Δ then Γ can be embedded densely in a t.d.l.c. group G so that $\overline{\Delta}$ is open and compact in G . (Belyaev)

Every finitely generated group $\Gamma \neq 1$ has a **simple** quotient.

Analogue of finitely generated for t.d.l.c. groups is **compactly generated** (= generated by a compact subset).

G is **topologically simple** if there are no proper non-trivial **closed** normal subgroups.

Theorem (Caprace-Monod 2011)

Let G be a compactly generated t.d.l.c. group. Then exactly one of the following holds.

- (i) G has an infinite discrete quotient.
- (ii) G has a cocompact closed normal subgroup N such that N has no infinite discrete quotient, but N has exactly n non-compact topologically simple quotients, where $0 < n < \infty$.

Every finitely generated group $\Gamma \neq 1$ has a **simple** quotient.
Analogue of finitely generated for t.d.l.c. groups is **compactly generated** (= generated by a compact subset).
 G is **topologically simple** if there are no proper non-trivial **closed** normal subgroups.

Theorem (Caprace-Monod 2011)

Let G be a compactly generated t.d.l.c. group. Then exactly one of the following holds.

- (i) G has an infinite discrete quotient.
- (ii) G has a cocompact closed normal subgroup N such that N has no infinite discrete quotient, but N has exactly n non-compact topologically simple quotients, where $0 < n < \infty$.

Every finitely generated group $\Gamma \neq 1$ has a **simple** quotient.
Analogue of finitely generated for t.d.l.c. groups is **compactly generated** (= generated by a compact subset).
 G is **topologically simple** if there are no proper non-trivial **closed** normal subgroups.

Theorem (Caprace-Monod 2011)

Let G be a compactly generated t.d.l.c. group. Then exactly one of the following holds.

- (i) G has an infinite discrete quotient.
- (ii) G has a cocompact closed normal subgroup N such that N has no infinite discrete quotient, but N has exactly n non-compact topologically simple quotients, where $0 < n < \infty$.

Say a subgroup K of a t.d.l.c. group G is **locally normal** if K is compact and $N_G(K)$ is open.

General idea:

- Study t.d.l.c. groups via their locally normal subgroups
- Use structures that are invariant under commensurability
- Special interest in compactly generated, topologically simple groups

Say a subgroup K of a t.d.l.c. group G is **locally normal** if K is compact and $N_G(K)$ is open.

General idea:

- Study t.d.l.c. groups via their locally normal subgroups
- Use structures that are invariant under commensurability
- Special interest in compactly generated, topologically simple groups

Say a subgroup K of a t.d.l.c. group G is **locally normal** if K is compact and $N_G(K)$ is open.

General idea:

- Study t.d.l.c. groups via their locally normal subgroups
- Use structures that are invariant under commensurability
- Special interest in compactly generated, topologically simple groups

The structure lattice

Definition

Let G be a t.d.l.c. group. Given a subgroup K of G , write $[K]$ for the set of compact subgroups L of G such that $K \cap L$ is open in K and L (\Rightarrow finite index if K is compact). The **structure lattice** of G is the set

$$\mathcal{LN}(G) := \{[K] \mid K \text{ is locally normal in } G\},$$

equipped with a partial order: $[K] \leq [L]$ if $K \cap L$ is open in K .

This is a **lattice** in the sense that any pair of elements has a least upper bound and greatest lower bound.

Fixed points in $\mathcal{LN}(G)$

- Two 'trivial' elements of $\mathcal{LN}(G)$:
 $0 := [\{1\}]$ $\infty := \{\text{open compact subgroups}\}$.
- G acts on $\mathcal{LN}(G)$ by conjugation, fixing 0 and ∞ .
- It can happen that $|\mathcal{LN}(G)| = 2$, e.g. $G = \text{PSL}_n(\mathbb{Q}_p)$.

Proposition

If G is compactly generated and **abstractly** simple, then G has no fixed points in $\mathcal{LN}(G)$ other than 0 and ∞ .

What if G is only topologically simple?

Fixed points in $\mathcal{LN}(G)$

- Two 'trivial' elements of $\mathcal{LN}(G)$:
 $0 := [\{1\}]$ $\infty := \{\text{open compact subgroups}\}$.
- G acts on $\mathcal{LN}(G)$ by conjugation, fixing 0 and ∞ .
- It can happen that $|\mathcal{LN}(G)| = 2$, e.g. $G = \text{PSL}_n(\mathbb{Q}_p)$.

Proposition

If G is compactly generated and **abstractly** simple, then G has no fixed points in $\mathcal{LN}(G)$ other than 0 and ∞ .

What if G is only topologically simple?

Fixed points in $\mathcal{LN}(G)$

- Two 'trivial' elements of $\mathcal{LN}(G)$:
 $0 := [\{1\}]$ $\infty := \{\text{open compact subgroups}\}$.
- G acts on $\mathcal{LN}(G)$ by conjugation, fixing 0 and ∞ .
- It can happen that $|\mathcal{LN}(G)| = 2$, e.g. $G = \text{PSL}_n(\mathbb{Q}_p)$.

Proposition

If G is compactly generated and **abstractly** simple, then G has no fixed points in $\mathcal{LN}(G)$ other than 0 and ∞ .

What if G is only topologically simple?

Fixed points in $\mathcal{LN}(G)$

- Two 'trivial' elements of $\mathcal{LN}(G)$:
 $0 := [\{1\}]$ $\infty := \{\text{open compact subgroups}\}$.
- G acts on $\mathcal{LN}(G)$ by conjugation, fixing 0 and ∞ .
- It can happen that $|\mathcal{LN}(G)| = 2$, e.g. $G = \text{PSL}_n(\mathbb{Q}_p)$.

Proposition

If G is compactly generated and **abstractly** simple, then G has no fixed points in $\mathcal{LN}(G)$ other than 0 and ∞ .

What if G is only topologically simple?

Fixed points in $\mathcal{LN}(G)$

- Two 'trivial' elements of $\mathcal{LN}(G)$:
 $0 := [\{1\}]$ $\infty := \{\text{open compact subgroups}\}$.
- G acts on $\mathcal{LN}(G)$ by conjugation, fixing 0 and ∞ .
- It can happen that $|\mathcal{LN}(G)| = 2$, e.g. $G = \text{PSL}_n(\mathbb{Q}_p)$.

Proposition

If G is compactly generated and **abstractly** simple, then G has no fixed points in $\mathcal{LN}(G)$ other than 0 and ∞ .

What if G is only topologically simple?

Boolean algebras

A **Boolean algebra** is a uniquely complemented distributive lattice. It can be thought of as a collection of subsets of an ambient set, ordered by inclusion, that is closed under complementation and under pairwise unions and intersections.

For every Boolean algebra \mathcal{A} there is a corresponding compact topological space \mathfrak{S} , the **Stone space** of \mathcal{A} .

elements of $\mathcal{A} \leftrightarrow$ clopen subsets of \mathfrak{S}

automorphisms of $\mathcal{A} \leftrightarrow$ homeomorphisms of \mathfrak{S}

So if we can find a G -invariant Boolean algebra in $\mathcal{LN}(G)$, this will give an action of G on a compact space.

Boolean algebras

A **Boolean algebra** is a uniquely complemented distributive lattice. It can be thought of as a collection of subsets of an ambient set, ordered by inclusion, that is closed under complementation and under pairwise unions and intersections.

For every Boolean algebra \mathcal{A} there is a corresponding compact topological space \mathfrak{S} , the **Stone space** of \mathcal{A} .
elements of $\mathcal{A} \leftrightarrow$ clopen subsets of \mathfrak{S}
automorphisms of $\mathcal{A} \leftrightarrow$ homeomorphisms of \mathfrak{S}

So if we can find a G -invariant Boolean algebra in $\mathcal{LN}(G)$, this will give an action of G on a compact space.

Boolean algebras

A **Boolean algebra** is a uniquely complemented distributive lattice. It can be thought of as a collection of subsets of an ambient set, ordered by inclusion, that is closed under complementation and under pairwise unions and intersections.

For every Boolean algebra \mathcal{A} there is a corresponding compact topological space \mathfrak{S} , the **Stone space** of \mathcal{A} .

elements of $\mathcal{A} \leftrightarrow$ clopen subsets of \mathfrak{S}

automorphisms of $\mathcal{A} \leftrightarrow$ homeomorphisms of \mathfrak{S}

So if we can find a G -invariant Boolean algebra in $\mathcal{LN}(G)$, this will give an action of G on a compact space.

Local decomposition lattice

A **local factor** of G is a direct factor of an open compact subgroup of G .

The **quasi-centre** $QZ(G)$ of G is the set of elements that centralise an open subgroup of G .

Proposition

Let G be a t.d.l.c. group such that $QZ(G) = 1$. Then

$$\mathcal{LD}(G) := \{[K] \mid K \text{ is a local factor of } G\}$$

is a Boolean algebra.

Local decomposition lattice

A **local factor** of G is a direct factor of an open compact subgroup of G .

The **quasi-centre** $QZ(G)$ of G is the set of elements that centralise an open subgroup of G .

Proposition

Let G be a t.d.l.c. group such that $QZ(G) = 1$. Then

$$\mathcal{LD}(G) := \{[K] \mid K \text{ is a local factor of } G\}$$

is a Boolean algebra.

Local decomposition lattice

A **local factor** of G is a direct factor of an open compact subgroup of G .

The **quasi-centre** $QZ(G)$ of G is the set of elements that centralise an open subgroup of G .

Proposition

Let G be a t.d.l.c. group such that $QZ(G) = 1$. Then

$$\mathcal{LD}(G) := \{[K] \mid K \text{ is a local factor of } G\}$$

is a Boolean algebra.

Centraliser lattice

With an extra condition we can obtain another Boolean algebra that accounts for all direct decompositions of locally normal subgroups into locally normal factors.

Proposition

Let G be a t.d.l.c. group such that $\text{QZ}(G) = 1$ and suppose G has no non-trivial abelian locally normal subgroups. Then

$$\mathcal{LC}(G) := \{[C_G(K)] \mid K \text{ is locally normal in } G\}$$

is a Boolean algebra.

Centraliser lattice

With an extra condition we can obtain another Boolean algebra that accounts for all direct decompositions of locally normal subgroups into locally normal factors.

Proposition

Let G be a t.d.l.c. group such that $QZ(G) = 1$ and suppose G has no non-trivial abelian locally normal subgroups. Then

$$\mathcal{LC}(G) := \{[C_G(K)] \mid K \text{ is locally normal in } G\}$$

is a Boolean algebra.

Overview

$$\{0, \infty\} \subseteq \mathcal{LD}(G) \subseteq \mathcal{LC}(G) \subseteq \mathcal{LN}(G)$$

From now on G is a compactly generated, topologically simple t.d.l.c. group.

For some of our results we need no further assumptions. But we can show more in the case that $|\mathcal{LC}(G)| > 2$.

Most known examples have $|\mathcal{LC}(G)| > 2$ (excluding linear algebraic groups over local fields).

Quasi-centre and abelian subgroups

To define $\mathcal{LD}(G)$ and $\mathcal{LC}(G)$ we needed to impose some conditions. Fortunately:

Theorem (Barnea–Ershov–Weigel; CRW)

Let G be a compactly generated, topologically simple t.d.l.c. group. Then $\text{QZ}(G) = 1$ and G has no non-trivial abelian locally normal subgroups.

So $\mathcal{LD}(G)$ and $\mathcal{LC}(G)$ are always Boolean algebras in this context.

Quasi-centre and abelian subgroups

To define $\mathcal{LD}(G)$ and $\mathcal{LC}(G)$ we needed to impose some conditions. Fortunately:

Theorem (Barnea–Ershov–Weigel; CRW)

Let G be a compactly generated, topologically simple t.d.l.c. group. Then $\text{QZ}(G) = 1$ and G has no non-trivial abelian locally normal subgroups.

So $\mathcal{LD}(G)$ and $\mathcal{LC}(G)$ are always Boolean algebras in this context.

Dynamics on the centraliser lattice

Theorem (CRW)

Let \mathcal{A} be a G -invariant subalgebra of $\mathcal{LC}(G)$. Suppose $|\mathcal{A}| > 2$.

- (i) Every orbit of G on $\mathfrak{S}(\mathcal{A})$ is dense. (\Rightarrow faithful action)
- (ii) There exists $\alpha \in \mathcal{A} \setminus \{0\}$ such that for all $\beta \in \mathcal{A} \setminus \{0\}$ there is some $g \in G$ such that $g\alpha < \beta$.
- (iii) \mathcal{A} is infinite and has no atoms.
- (iv) G is not amenable.
- (v) There exists $g \in G$ with non-trivial contraction group.
- (vi) There exist $g, h \in G$ such that the submonoid of G generated by g and h is free on $\{g, h\}$.

Dynamics on the centraliser lattice

Theorem (CRW)

Let \mathcal{A} be a G -invariant subalgebra of $\mathcal{LC}(G)$. Suppose $|\mathcal{A}| > 2$.

- (i) Every orbit of G on $\mathfrak{S}(\mathcal{A})$ is dense. (\Rightarrow faithful action)
- (ii) There exists $\alpha \in \mathcal{A} \setminus \{0\}$ such that for all $\beta \in \mathcal{A} \setminus \{0\}$ there is some $g \in G$ such that $g\alpha < \beta$.
- (iii) \mathcal{A} is infinite and has no atoms.
- (iv) G is not amenable.
- (v) There exists $g \in G$ with non-trivial contraction group.
- (vi) There exist $g, h \in G$ such that the submonoid of G generated by g and h is free on $\{g, h\}$.

Dynamics on the centraliser lattice

Theorem (CRW)

Let \mathcal{A} be a G -invariant subalgebra of $\mathcal{LC}(G)$. Suppose $|\mathcal{A}| > 2$.

- (i) Every orbit of G on $\mathfrak{S}(\mathcal{A})$ is dense. (\Rightarrow faithful action)
- (ii) There exists $\alpha \in \mathcal{A} \setminus \{0\}$ such that for all $\beta \in \mathcal{A} \setminus \{0\}$ there is some $g \in G$ such that $g\alpha < \beta$.
- (iii) \mathcal{A} is infinite and has no atoms.
- (iv) G is not amenable.
- (v) There exists $g \in G$ with non-trivial contraction group.
- (vi) There exist $g, h \in G$ such that the submonoid of G generated by g and h is free on $\{g, h\}$.

Dense normal subgroups

Theorem (CRW)

Let \mathcal{A} be a G -invariant subalgebra of $\mathcal{LC}(G)$. Suppose $|\mathcal{A}| > 2$. Then there is a unique smallest dense normal subgroup D of G . D contains a compact subgroup K such that $[K] \in \mathcal{A} \setminus \{0\}$.

Corollary

If $|\mathcal{LD}(G)| > 2$ then G is abstractly simple.

Dense normal subgroups

Theorem (CRW)

Let \mathcal{A} be a G -invariant subalgebra of $\mathcal{LC}(G)$. Suppose $|\mathcal{A}| > 2$. Then there is a unique smallest dense normal subgroup D of G . D contains a compact subgroup K such that $[K] \in \mathcal{A} \setminus \{0\}$.

Corollary

If $|\mathcal{LD}(G)| > 2$ then G is abstractly simple.

Last slide

Thank you for your attention!