

# $C^*$ -algebras arising from integral and rational dynamics

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Cuntz (2008) introduces  $\mathcal{Q}_{\mathbb{N}}$  as the universal  $C^*$ -algebra generated by isometries  $\{s_n\}_{n \in \mathbb{N}}$  and a unitary  $u$  satisfying

$$s_m s_n = s_{mn}, \quad s_n u = u^n s_n, \quad \text{and} \quad \sum_{k=0}^{n-1} u^k s_n s_n^* u^{-k} = 1.$$

Larsen and Li (2012) define  $\mathcal{Q}_2$  as the universal  $C^*$ -algebra generated by an isometry  $s_2$  and a unitary  $u$  satisfying

$$s_2 u = u^2 s_2 \quad \text{and} \quad s_2 s_2^* + u s_2 s_2^* u^* = 1.$$

We think of  $\mathcal{Q}_{\mathbb{N}}$  as coming from the set  $S = \{\text{all primes}\}$ , and  $\mathcal{Q}_2$  as coming from  $S = \{2\}$ . It is computed that

$$K_0(\mathcal{Q}_{\mathbb{N}}) \cong \mathbb{Z}^{\infty} \cong K_1(\mathcal{Q}_{\mathbb{N}}) \quad \text{and} \quad K_0(\mathcal{Q}_2) \cong \mathbb{Z} \cong K_1(\mathcal{Q}_2).$$

The more general versions can have torsion in their  $K$ -groups.

## Definition

Let  $S$  be a set of mutually relatively prime numbers  $\geq 2$ .

Define the algebra  $\mathcal{Q}_S$  as the universal  $C^*$ -algebra generated by a unitary  $u$  and isometries  $\{s_p\}_{p \in S}$  satisfying

- (i)  $s_p^* s_q = s_q s_p^*$ ,
- (ii)  $s_p u = u^p s_p$ , and
- (iii)  $\sum_{k=0}^{p-1} e_{k+p\mathbb{Z}} = 1$

for all  $p, q \in S$ , where  $e_{k+p\mathbb{Z}} := u^k s_p s_p^* u^{-k}$ .

Let  $(\xi_n)_{n \in \mathbb{Z}}$  denote the standard orthonormal basis for  $\ell^2(\mathbb{Z})$ . If we define

$$U \xi_n = \xi_{n+1} \quad \text{and} \quad S_p \xi_n = \xi_{pn},$$

then  $U$  and  $\{S_p\}_{p \in S}$  satisfy (i)–(iii). This representation on  $\ell^2(\mathbb{Z})$  is faithful, so we can also think of  $\mathcal{Q}_S$  as a subalgebra of  $B(\ell^2(\mathbb{Z}))$ .

# Crossed product description

Let  $H^+$  be the submonoid of  $\mathbb{N}^\times$  generated by  $S$ . Then

$$\mathcal{Q}_S \cong (\mathcal{D}_S \rtimes \mathbb{Z}) \rtimes^e H^+ \cong \mathcal{D}_S \rtimes^e (\mathbb{Z} \rtimes H^+),$$

where

$$\mathcal{D}_S = C^*\{e_{k+q\mathbb{Z}} : q \in S, k \in \mathbb{Z}\}.$$

Set  $N = \mathbb{Z}[\{\frac{1}{p} : p \in S\}] \subseteq \mathbb{Q}$  and let  $H$  be the subgroup of  $\mathbb{Q}_+^\times$  generated by  $S$ . Then it follows from the dilation theory of Laca that

$$\mathcal{Q}_S \sim_M C_0(\Omega) \rtimes N \rtimes H,$$

where  $\Omega$  is the completion of  $N$  w.r.t. to the subgroup topology generated by  $\{h\mathbb{Z} : h \in H\}$ , and the action is the natural  $ax + b$ -action.

Let  $\Delta$  be the closure of  $\mathbb{Z}$  in  $\Omega$ , then  $\mathcal{D}_S \cong C(\Delta)$ .

Moreover,  $\mathcal{Q}_S$  is isomorphic to the full corner of  $C_0(\Omega) \rtimes N \rtimes H$  cut down by the projection  $\chi_\Delta \in C_0(\Omega)$ .

If  $P = \{p \in \mathbb{N}^\times : p \text{ prime and } p|q \text{ for some } q \in S\}$ , then  $\Delta \simeq \prod_{p \in P} \mathbb{Z}_p$  and  $\Omega \simeq \prod'_{p \in P} \mathbb{Q}_p$ .

# Boundary quotients of semigroup $C^*$ -algebras

$\mathcal{Q}_S$  can also be constructed from either  $\mathbb{N} \rtimes H^+$  or  $\mathbb{Z} \rtimes H^+$  using the theory of boundary quotients of semigroup  $C^*$ -algebras.

Relatively primeness of  $S$  gives that  $\mathbb{N} \rtimes H^+$  and  $\mathbb{Z} \rtimes H^+$  are right LCM. Both are also left Ore semigroups with enveloping group  $N \rtimes H \subseteq \mathbb{Q} \rtimes \mathbb{Q}_+^\times$ , where still  $N = \mathbb{Z}[\{\frac{1}{p} : p \in S\}]$ .

First, note that  $(\mathbb{N} \rtimes H^+, N \rtimes H)$  forms a quasi-lattice ordered group. Hence we can form the Toeplitz algebra  $\mathcal{T}(\mathbb{N} \rtimes H^+, N \rtimes H)$  using the work of Nica, which coincides with  $C^*(\mathbb{N} \rtimes H^+)$ .

To define  $C^*(\mathbb{Z} \rtimes H^+)$ , we use Xin Li's theory of semigroup  $C^*$ -algebras, which generalizes Nica's approach.

Boundary quotients were introduced by Crisp and Laca for quasi-lattice ordered groups, and later generalized to right LCM semigroups by several people. In this setting

$$\text{BQ}(\mathbb{N} \rtimes H^+) = \text{BQ}(\mathbb{Z} \rtimes H^+) = \mathcal{Q}_S.$$

## Theorem

For every set  $S$  of relatively prime numbers, the algebra  $\mathcal{Q}_S$  is a unital Kirchberg algebra in the UCT class.

Consequently, the  $K$ -theory is a complete isomorphism invariant for  $\mathcal{Q}_S$  (Kirchberg-Phillips).

We can use that  $\mathcal{Q}_S$  is a full corner of  $C_0(\Omega) \rtimes N \rtimes H$  to see this:

The  $ax + b$ -action of  $N \rtimes H$  on  $\Omega$  is minimal, locally contractive, and topologically free, implying that  $C_0(\Omega) \rtimes N \rtimes H$  is purely infinite and simple (Archbold-Spielberg, Laca-Spielberg).

Separability, nuclearity, UCT hold because:

$N \rtimes H$  is discrete countable amenable,  $C_0(\Omega)$  is commutative separable, and the transformation groupoid of  $(\Omega, N \rtimes H)$  is amenable (Tu).

# Main theorem

Let  $S$  be a set of mutually relatively prime numbers. Define

$$g = \gcd\{p - 1 : p \in S\} = \max\{q \in \mathbb{N}^\times : q|p - 1 \text{ for all } p \in S\}.$$

If  $2 \in S$ , then  $g = 1$ .

## Theorem

$$K_i(\mathcal{Q}_S) \cong \mathbb{Z}^{2^{|S|-1}} \oplus T_i, \quad i = 0, 1,$$

where  $T_0$  and  $T_1$  are torsion groups, which are finite if  $S$  is finite.

Moreover, if  $g = 1$ , then  $T_0$  and  $T_1$  are both trivial.

Case  $|S| = 1$ , i.e.,  $S = \{r\}$  is previously studied (Hirshberg, Katsura).

These are graph  $C^*$ -algebras and their  $K$ -theory is given by

$$K_0(\mathcal{Q}_S) \cong \mathbb{Z} \oplus \mathbb{Z}/(r - 1), \quad [1]_0 = (0, 1), \quad K_1(\mathcal{Q}_S) = \mathbb{Z}.$$

Hence,  $\mathcal{Q}_{\{r\}} \cong \mathcal{Q}_{\{q\}}$  if and only if  $r = q$ .

# Two generator case and conjecture

## Theorem

Assume  $|S| = 2$ , i.e.,  $S = \{q, r\}$  and  $g = \gcd\{q - 1, r - 1\}$ . Then

$$K_0(Q_S) \cong \mathbb{Z}^2 \oplus \mathbb{Z}/g\mathbb{Z}, \quad [1]_0 = (0, 1), \quad K_1(Q_S) = \mathbb{Z}^2 \oplus \mathbb{Z}/g\mathbb{Z}.$$

E.g.  $Q_{\{4,13\}} \cong Q_{\{7,10\}}$ .

When  $|S| \geq 3$  and  $g > 1$ , we can only show that  $|T_i|$  divides  $g^{2^{|S|-2}}$ .

## Conjecture

For  $|S| \geq 2$  we have

$$T_0 \cong (\mathbb{Z}/g\mathbb{Z})^{2^{|S|-2}} \cong T_1,$$

and consequently,  $Q_S \cong Q_{S'}$  if and only if  $|S| = |S'|$  and  $g = g'$ .



## Definition

Let  $H \subseteq \mathbb{Q}^\times$ . Define the algebra  $\mathcal{Q}_H$  as the universal  $C^*$ -algebra generated by a unitary  $u$  and partial isometries  $\{s_h\}_{h \in H}$  satisfying

- (i)  $s_h^* = s_{h^{-1}}$  and  $s_h^* s_h s_g = s_h^* s_{hg}$  for all  $g, h \in H$ .
- (ii)  $s_h u^q = u^p s_h$  when  $h = p/q$ .
- (iii)  $\sum_{k=0}^{p-1} e_{k+p\mathbb{Z}} = 1$ ,

where  $e_{k+p\mathbb{Z}} := u^k s_h s_h^* u^{-k}$  and  $h = p/q$ .

Let  $(\xi_n)_{n \in \mathbb{Z}}$  denote the standard orthonormal basis for  $\ell^2(\mathbb{Z})$ . If we define

$$U\xi_n = \xi_{n+1} \quad \text{and} \quad S_h \xi_n = \xi_{hn} \text{ if } hn \in \mathbb{Z} \text{ and } 0 \text{ else,}$$

then  $U$  and  $\{S_h\}_{h \in H}$  satisfy (i)–(iii). This representation on  $\ell^2(\mathbb{Z})$  is faithful, so we can also think of  $\mathcal{Q}_H$  as a subalgebra of  $B(\ell^2(\mathbb{Z}))$ .

Moreover, if  $h = p/q$  with  $\gcd(p, q) = 1$ , then  $S_h = S_p S_q^*$  so that  $S_h^* S_h = E_{q\mathbb{Z}}$  and  $S_h S_h^* = E_{p\mathbb{Z}}$ .

Set  $N = \mathbb{Z}[\{h : h \in H\}] \subseteq \mathbb{Q}$  and let  $\Omega$  be the completion of  $N$  w.r.t. to the subgroup topology generated by  $\{h\mathbb{Z} : h \in H\}$ , i.e.,  $N$  is dense in  $\Omega$ . Let  $\Delta$  be the closure of  $\mathbb{Z}$  in  $\Omega$ .

## Proposition

The algebra  $\mathcal{Q}_H$  embeds into  $C_0(\Omega) \rtimes N \rtimes H$  as a full corner, cut down by the projection  $\chi_\Delta \in C_0(\Omega)$ .

Thus, when  $H$  is infinite,  $\mathcal{Q}_H$  is a UCT Kirchberg algebra, and its  $K$ -theory is a complete isomorphism invariant (Kirchberg-Phillips).

## Definition

A partial action of a group  $G$  on a set  $X$  is a collection  $\{D_g\}_{g \in G}$  of subsets of  $X$ , and a collection of maps  $\{\theta_g\}_{g \in G}$ ,  $\theta_g: D_{g^{-1}} \rightarrow D_g$  such that

$$D_e = X, \quad \theta_e = \text{id}_X$$
$$\theta_{gh} \text{ is an extension of } \theta_g \circ \theta_h$$

## Example

Every  $H \subseteq \mathbb{Q}^\times$  acts partially on  $\mathbb{Z}$  as follows: For  $h = p/q \in H$  with  $\gcd(p, q) = 1$ , set  $D_h = p\mathbb{Z}$ , and define  $\theta_h: q\mathbb{Z} \rightarrow p\mathbb{Z}$  by  $qn \rightarrow pn$ .

# Generalized partial $C^*$ -dynamical systems

Let  $\mathcal{B}_H$  be the  $C^*$ -subalgebra of  $\mathcal{Q}_H$  generated by  $u$  and projections  $\{e_{k+q\mathbb{Z}} : k \in \mathbb{Z}, 1/q \in N\}$ . Recall that  $\mathcal{B}_H \cong \mathcal{D}_H \rtimes \mathbb{Z}$  is a Bunce-Deddens algebra.

The group  $H$  acts partially by  $\alpha$  on  $\mathcal{B}_H$ , where each  $\alpha_h$  is a  $*$ -isomorphism from its domain  $D_{h^{-1}} = e_{q\mathbb{Z}}\mathcal{B}_H e_{q\mathbb{Z}}$  to its range  $D_h = e_{p\mathbb{Z}}\mathcal{B}_H e_{p\mathbb{Z}}$ . In terms of the generators  $u$  and  $e_X$ , the map  $\alpha_h$  for  $h = p/q$  with  $\gcd(p, q) = 1$  is defined by

- (i) for  $X \subseteq q\mathbb{Z}$  by  $\alpha_h(e_X) := e_{hX}$ , and
- (ii) for  $n \in q\mathbb{Z}$  by  $\alpha_h(u^n) = u^{hn}$ .

## Remark

In Exel's definition of a partial  $C^*$ -dynamical systems, the domains are required to be ideals, which is not the case here. We would still like to think about our  $\mathcal{Q}_H$  as a partial crossed product  $\mathcal{B}_H \rtimes_{\alpha}^{\text{part}} H$ .

# Main theorem

Let  $H \subseteq \mathbb{Q}_+^\times$  be nontrivial and choose a minimal generating set  $\{p_i/q_i\}_{i \in I}$  such that  $\gcd(p_i, q_i) = 1$  and  $p_i > q_i$  for all  $i \in I$ . Define

$$g = \gcd\{p_i - q_i : i \in I\} = \max\{r \in \mathbb{N}^\times : r \mid (p_i - q_i) \text{ for all } i \in I\}.$$

## Theorem

Let  $H \subseteq \mathbb{Q}_+^\times$  be nontrivial of rank  $m \geq 1$ , i.e.,  $H \cong \mathbb{Z}^m$ . Then

$$K_i(\mathcal{Q}_H) \cong \mathbb{Z}^{2^{m-1}} \oplus T_i, \quad i = 0, 1,$$

where  $T_0$  and  $T_1$  are torsion groups, which are finite if  $H$  is finitely-generated. If  $g = 1$ , then  $T_0 = T_1 = 0$ .

Moreover, there is a  $C^*$ -subalgebra  $\mathcal{A}_H$  of  $\mathcal{Q}_H$  such that

$$K_i(\mathcal{A}_H) = T_i \text{ for } i = 1, 2.$$

# Two-generator case and conjecture

## Remark (one-generator case)

Let  $H = \langle p/q \rangle$ , with  $\gcd(p, q) = 1$  and  $p > q$ .  
Then  $T_0 = \mathbb{Z}/(p - q)\mathbb{Z}$  and  $T_1 = 0$ .

## Theorem (two-generator case)

Assume  $H = \langle p_1/q_1, p_2/q_2 \rangle \cong \mathbb{Z}^2$ , and set  $g = \gcd\{p_1 - q_1, p_2 - q_2\}$ .  
Then

$$K_0(\mathcal{Q}_H) \cong \mathbb{Z}^2 \oplus \mathbb{Z}/g\mathbb{Z}, \quad [1]_0 = (0, 1), \quad K_1(\mathcal{Q}_H) = \mathbb{Z}^2 \oplus \mathbb{Z}/g\mathbb{Z}.$$

## Conjecture

When the rank  $m$  of  $H$  is at least 2, we have

$$K_i(\mathcal{A}_H) = T_i \cong (\mathbb{Z}/g\mathbb{Z})^{2^{m-2}} \quad \text{for } i = 1, 2,$$

and consequently,  $\mathcal{Q}_H \cong \mathcal{Q}_{H'}$  if and only if  $m = m'$  and  $g = g'$ .

# Techniques involved in the proof

Step 1 (comparing with real dynamics)

Again, let  $H$  be the subgroup of  $\mathbb{Q}^\times$ .

Recall that  $N = \mathbb{Z}[\{h : h \in H\}]$  and  $\Omega$  is a completion of  $N$ , and that  $\mathcal{Q}_H \sim_M C_0(\Omega) \rtimes N \rtimes H$ .

Then we apply a “duality theorem” (Kaliszewski-O.-Quigg, 14):

$$C_0(\Omega) \rtimes_{ax+b} (N \rtimes H) \sim_M C_0(\mathbb{R}) \rtimes_{ax+b} (N \rtimes H)$$

Hence, the problem is to compute the  $K$ -theory of  $C_0(\mathbb{R}) \rtimes N \rtimes H$ .

# Techniques involved in the proof

## Step 2 (decomposition)

The embedding  $C_0(\mathbb{R}) \rtimes H \hookrightarrow C_0(\mathbb{R}) \rtimes N \rtimes H$  induces an injection in  $K$ -theory onto the free abelian part of  $K_*(C_0(\mathbb{R}) \rtimes N \rtimes H) = K_*(\mathcal{Q}_H)$ . The action of  $H$  is homotopic to the trivial action, so

$$K_*(C_0(\mathbb{R}) \rtimes H) = K_*(C_0(\mathbb{R}) \otimes C^*(H)) = K_*(C_0(\mathbb{R}) \otimes C^*(\mathbb{Z}^m)) = \mathbb{Z}^{2^{m-1}}$$

There is a certain  $H$ -invariant subalgebra  $A \subset C_0(\mathbb{R}) \rtimes N$  such that

$$K_*(C_0(\mathbb{R}) \rtimes N \rtimes H) \cong K_*(C_0(\mathbb{R}) \rtimes H) \oplus K_*(A \rtimes H),$$

where  $K_*(A \rtimes H)$  is a torsion group.

(for this, one first shows that  $K_*(C_0(\mathbb{R}) \rtimes N) \cong K_*(C_0(\mathbb{R})) \oplus K_*(A)$ )



# Techniques involved in the proof

Step 3 (the torsion part)

The algebra  $\mathcal{A}_H$  can be described as follows:

Consider  $M_H \subset \mathcal{B}_H \cong C(\Delta) \rtimes \mathbb{Z} = \chi_\Delta(C_0(\Omega) \rtimes N)\chi_\Delta$ .

There is an  $H$ -invariant  $C^*$ -subalgebra  $B$  of  $C_0(\Omega) \rtimes N$ , such that  $M_H$  is a full corner of  $B$  cut down by  $\chi_\Delta$ .

Since  $\mathcal{Q}_H$  embeds as a full corner of  $C_0(\Omega) \rtimes N \rtimes H$  cut down by  $\chi_\Delta$ , we can find a  $C^*$ -subalgebra  $\mathcal{A}_H$  of  $\mathcal{Q}_H$  that embeds as a full corner of  $B \rtimes H$  cut down by  $\chi_\Delta$ .

There exists an  $H$ -equivariant isomorphism  $C_0(\Omega) \rtimes N \cong C_0(\mathbb{R}) \rtimes N$ , and then the  $A$  above is defined as the image of  $B$  under this map.

The partial action of  $H$  on  $\mathcal{B}_H$  restricts to a partial action on  $M_H \subset \mathcal{B}_H$ , where the domains become  $D_h = e_{q\mathbb{Z}} M_H e_{q\mathbb{Z}}$ . One might then think of  $\mathcal{A}_H$  as  $M_H \rtimes_\alpha^{\text{part}} H$ .

# Other descriptions of $\mathcal{A}_S$

In general, it remains to find good descriptions of  $\mathcal{A}_H$ , but in the original case where  $H$  is generated by a set  $S$  of mutually relatively prime numbers, we have that

$$\text{Define } \mathcal{A}_S = C^*\{u^m s_p \mid p \in S, 0 \leq m \leq p-1\} \subset \mathcal{Q}_S.$$

Moreover, recall that

$$\mathcal{Q}_S \cong (\mathcal{D}_S \rtimes \mathbb{Z}) \rtimes^e H^+,$$

and the UHF-algebra  $M_{d^\infty}$ , for  $d = \prod_{p \in S} p$ , is a subalgebra of  $\mathcal{D}_S \rtimes \mathbb{Z}$  invariant under  $H^+$ , so

$$\mathcal{A}_S \cong M_{d^\infty} \rtimes^e H^+,$$

and we can show that  $\mathcal{A}_S$  is a UCT Kirchberg algebra.

For  $|S| \geq 2$ , both  $K$ -groups of  $\bigotimes_{p \in S} \mathcal{O}_p$  are  $(\mathbb{Z}/g\mathbb{Z})^{2^{|S|-2}}$ .

Hence, our conjecture about  $K_*(\mathcal{Q}_S)$  is equivalent with the following:

**Conjecture (restated for  $\mathcal{Q}_S$ )**

The algebra  $\mathcal{A}_S$  is isomorphic to  $\bigotimes_{p \in S} \mathcal{O}_p$ .