

# About Uniform Regularity of Collections of Sets in Hilbert Spaces

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# Outline

- 1 Uniform regularity
- 2 Metric and dual characterizations
- 3 Uniform regularity in Hilbert spaces
- 4 Alternating projections

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# Uniform regularity

$X$  – Banach space

$\Omega := \{\Omega_1, \dots, \Omega_m\}$  ( $m > 1$ )  $\bar{x} \in \bigcap_{i=1}^m \Omega_i$

## Definition

$\Omega$  is **uniformly regular** at  $\bar{x}$  if  $\exists \alpha, \delta > 0$  such that

$$\bigcap_{i=1}^m (\Omega_i - \omega_i - x_i) \cap (\rho \mathbb{B}) \neq \emptyset \quad \forall \rho \in (0, \delta)$$

$\forall \omega_i \in \Omega_i \cap B_\delta(\bar{x})$  and  $x_i \in X$  ( $i = 1, \dots, m$ ) with  $\max_{1 \leq i \leq m} \|x_i\| < \alpha \rho$

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$$\hat{\theta}[\Omega](\bar{x}) := \liminf_{\substack{\omega_i \rightarrow \bar{x} \\ \rho \downarrow 0}} \frac{\sup \left\{ r \geq 0 \mid \bigcap_{i=1}^m (\Omega_i - \omega_i - x_i) \cap (r\mathbb{B}) \neq \emptyset, \forall x_i \in r\mathbb{B} \right\}}{\rho} > 0$$

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# Metric characterizations

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$$\hat{\theta}[\Omega](\bar{x}) = \liminf_{\substack{x \rightarrow \bar{x} \\ x_i \rightarrow 0 \ (1 \leq i \leq m) \\ x \notin \bigcap_{i=1}^m (\Omega_i - x_i)}} \frac{\max_{1 \leq i \leq m} d(x, \Omega_i - x_i)}{d\left(x, \bigcap_{i=1}^m (\Omega_i - x_i)\right)}$$

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## Uniform regularity

$\Omega$  is **uniformly regular** at  $\bar{x}$   $\iff \exists \gamma, \delta > 0$  such that

$$\gamma d\left(x, \bigcap_{i=1}^m (\Omega_i - x_i)\right) \leq \max_{1 \leq i \leq m} d(x, \Omega_i - x_i)$$

for any  $x \in B_\delta(\bar{x})$ ,  $x_i \in \delta \mathbb{B}$  ( $i = 1, \dots, m$ )



# Collections of sets vs set-valued mappings

$X$  – Banach space

$$\Omega := \{\Omega_1, \dots, \Omega_m\} \quad (m > 1) \quad \bar{x} \in \bigcap_{i=1}^m \Omega_i$$

$$F : X \rightrightarrows X^m: \quad F(x) := (\Omega_1 - x) \times \dots \times (\Omega_m - x) \quad (\text{Ioffe, 2000})$$

## Proposition

$\Omega$  is *uniformly regular* at  $\bar{x}$   $\iff$   $F$  is *metrically regular* at  $(\bar{x}, 0)$ ,  
i.e.,  $\exists \gamma, \delta > 0$  such that

$$\gamma d(x, F^{-1}(y)) \leq d(y, F(x)) \quad \forall x \in B_\delta(\bar{x}), y \in \delta \mathbb{B}^m$$

# Collections of sets vs set-valued mappings

$X, Y$  – Banach spaces

$F : X \rightrightarrows Y, (\bar{x}, \bar{y}) \in \text{gph } F$

$\Omega_1 = \text{gph } F, \Omega_2 = X \times \{\bar{y}\} \in X \times Y, \mathbf{\Omega} := \{\Omega_1, \Omega_2\}$

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## Proposition

$F$  is metrically regular at  $(\bar{x}, \bar{y}) \iff \mathbf{\Omega}$  is uniformly regular at  $(\bar{x}, \bar{y})$

# Dual characterizations: Fréchet normals

$x \in \Omega$

Fréchet normal cone to  $\Omega$  at  $x$ :

$$N_{\Omega}(x) := \left\{ x^* \in X^* \mid \limsup_{u \rightarrow x, u \in \Omega \setminus \{x\}} \frac{\langle x^*, u - x \rangle}{\|u - x\|} \leq 0 \right\}$$

# Dual characterizations

$X$  – Asplund space,  $\Omega_1, \dots, \Omega_m$  – closed

$$\hat{\theta}[\Omega](\bar{x}) = \lim_{\delta \downarrow 0} \inf_{\substack{\sum_{i=1}^m \|x_i^*\| = 1 \\ \omega_i \in \Omega_i \cap B_\delta(\bar{x}), x_i^* \in N_{\Omega_i}(\omega_i) \ (i=1, \dots, m)}} \left\| \sum_{i=1}^m x_i^* \right\|$$

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## Uniform regularity

$\Omega$  is **uniformly regular** at  $\bar{x}$   $\iff \exists \alpha, \delta > 0$  such that

$$\left\| \sum_{i=1}^m x_i^* \right\| \geq \alpha$$

$\forall \omega_i \in \Omega_i \cap B_\delta(\bar{x}), x_i^* \in N_{\Omega_i}(\omega_i) \ (i = 1, \dots, m)$  with  $\sum_{i=1}^m \|x_i^*\| = 1$

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# Uniform regularity in Hilbert spaces

$X$  – Hilbert space,  $\Omega_1, \Omega_2$  – closed,  $\Omega := \{\Omega_1, \Omega_2\}$ ,  
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$\Omega$  is uniformly regular at  $\bar{x}$   $\iff \hat{\theta}[\Omega](\bar{x}) > 0$

$$\hat{\theta}[\Omega](\bar{x}) = \lim_{\delta \downarrow 0} \inf_{\substack{\|v_1\| + \|v_2\| = 1 \\ \omega_i \in \Omega_i \cap B_\delta(\bar{x}), v_i \in N_{\Omega_i}(\omega_i) (i=1,2)}} \|v_1 + v_2\|$$

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$$\begin{aligned}\hat{\theta}[\Omega](\bar{x}) &= \lim_{\delta \downarrow 0} \inf_{\substack{\|v_1\| + \|v_2\| = 1 \\ \omega_i \in \Omega_i \cap B_\delta(\bar{x}), v_i \in N_{\Omega_i}(\omega_i) (i=1,2)}} \|v_1 + v_2\| \\ &= \frac{1}{2} \lim_{\delta \downarrow 0} \inf_{\omega_i \in \Omega_i \cap B_\delta(\bar{x}), v_i \in N_{\Omega_i}(\omega_i) \cap \mathbb{S} (i=1,2)} \|v_1 + v_2\|\end{aligned}$$

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$\Omega$  is uniformly regular at  $\bar{x} \iff \hat{\theta}[\Omega](\bar{x}) > 0$

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$$\hat{c}[\Omega](\bar{x}) = - \lim_{\delta \downarrow 0} \inf_{\omega_i \in \Omega_i \cap B_\delta(\bar{x}), v_i \in N_{\Omega_i}(\omega_i) \cap \mathbb{S} (i=1,2)} \langle v_1, v_2 \rangle$$

$$2(\hat{\theta}[\Omega](\bar{x}))^2 + \hat{c}[\Omega](\bar{x}) = 1$$

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 $\bar{x} \in \text{bd } \Omega_1 \cap \text{bd } \Omega_2$

$\Omega$  is uniformly regular at  $\bar{x} \iff \hat{c}[\Omega](\bar{x}) < 1$

## Proposition

$\Omega$  is uniformly regular at  $\bar{x} \iff \exists c < 1, \delta > 0$  s.t.

$$-\langle v_1, v_2 \rangle < c \quad \forall v_1 \in N_{\Omega_1}(\omega_1) \cap \mathbb{S}, v_2 \in N_{\Omega_2}(\omega_2) \cap \mathbb{S}$$

when  $\omega_1 \in \Omega_1 \cap B_\delta(\bar{x}), \omega_2 \in \Omega_2 \cap B_\delta(\bar{x})$

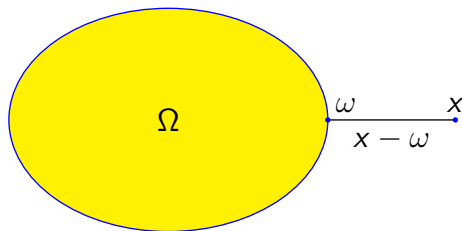
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# Projections

$X$  – Hilbert space,  $\Omega \neq \emptyset$  – closed,

$$P_{\Omega}(x) := \{\omega \in \Omega \mid \|x - \omega\| = d(x, \Omega)\}$$

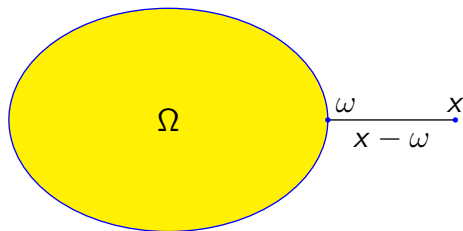




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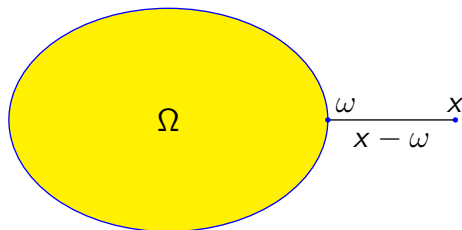


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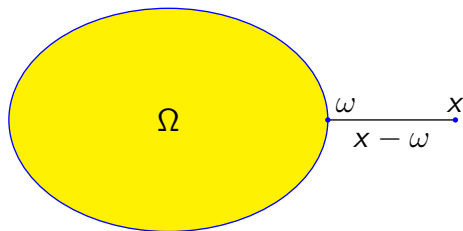


- 1 If  $\dim X < \infty$ , then  $P_{\Omega}(x) \neq \emptyset$
- 2 If  $\dim X < \infty$  and  $\Omega$  is convex, then  $P_{\Omega}(x)$  is a singleton

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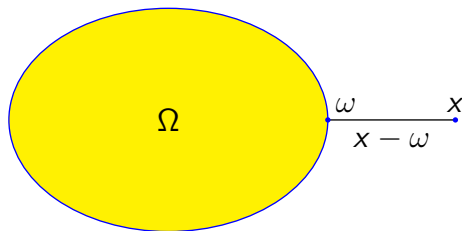


- 1 If  $\dim X < \infty$ , then  $P_{\Omega}(x) \neq \emptyset$
- 2 If  $\dim X < \infty$  and  $\Omega$  is convex, then  $P_{\Omega}(x)$  is a singleton
- 3  $\omega \in P_{\Omega}(x) \implies x - \omega \in N_{\Omega}(\omega)$

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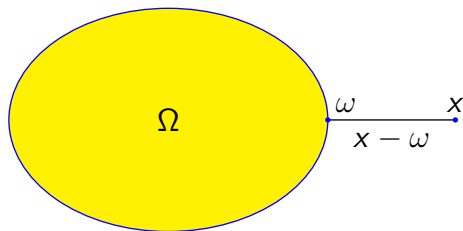


Proximal normal cone to  $\Omega$  at  $\omega \in \Omega$ :  $N_{\Omega}^p(\omega) := \text{cone} (P_{\Omega}^{-1}(\omega) - \omega)$

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$$N_{\Omega}^p(\omega) \subset N_{\Omega}(\omega)$$

# Super-regularity of a set

$X$  – Hilbert space,  $\Omega \neq \emptyset$  – closed,

Definition (Lewis, Luke, Malick, 2009)

$\Omega$  is **super-regular** at  $\bar{x} \in \Omega$  if

$$\langle x - \omega, u - \omega \rangle \leq \gamma \|x - \omega\| \|u - \omega\|$$

$\forall \gamma > 0, x \in X$  and  $u \in \Omega$  near  $\bar{x}, \omega \in P_{\Omega}(x)$

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Convexity  $\Rightarrow$  Super-regularity

# Alternating projections

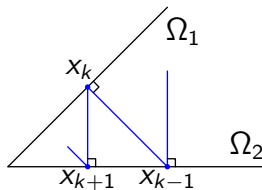
$X$  – Hilbert space,  $\Omega_1, \Omega_2$  – closed,  $\Omega := \{\Omega_1, \Omega_2\} \subset X$ ,  $\Omega_1 \cap \Omega_2 \neq \emptyset$

Problem: Find a point in  $\Omega_1 \cap \Omega_2$

## Definition

$(x_k)$  is generated by the **alternating projections** for  $\Omega$  if

$$x_{2k+1} \in P_{\Omega_1}(x_{2k}) \quad \text{and} \quad x_{2k+2} \in P_{\Omega_2}(x_{2k+1}) \quad (k = 0, 1, \dots)$$



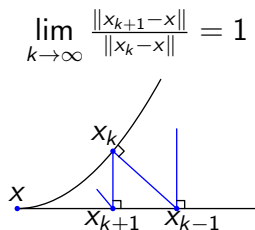
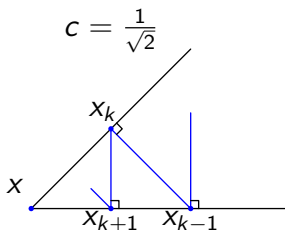


# Linear convergence

## Definition

$(x_k)$  **linearly converges** to  $x$  if there is a constant  $c \in (0, 1)$  s.t.

$$\|x_{k+1} - x\| \leq c \|x_k - x\| \quad \forall k \text{ sufficiently large}$$



# Alternating projections: linear convergence

$\dim X < \infty$ ,  $\Omega_1, \Omega_2$  – closed,  $\Omega := \{\Omega_1, \Omega_2\} \subset X$ ,  $\Omega_1 \cap \Omega_2 \neq \emptyset$

Problem: Find a point in  $\Omega_1 \cap \Omega_2$

## History

- $\Omega_1, \Omega_2$  are **convex** and  $\Omega$  is **subregular**  
(Bauschke, Borwein, 1993)

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- $\Omega_1$  is **super-regular** and  $\Omega$  is **uniformly regular**  
(Lewis, Luke, Malick, 2009)

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- $\Omega_1$  is  **$\Omega_2$ -super-regular** and  $\Omega$  is **inherently transversal**  
(Bauschke, Luke, Phan, Wang, 2013)

# Alternating projections: linear convergence

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- $\Omega_1$  is  **$\Omega_2$ -super-regular** and  $\Omega$  is **inherently transversal**  
(Bauschke, Luke, Phan, Wang, 2013)
- $\Omega$  is **intrinsically transversal**  
(Drusvyatskiy, Ioffe, Lewis; preprint 2014)

# Alternating projections: linear convergence

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## Theorem (Lewis, Luke, Malick, 2009)

Suppose that  $\Omega$  is *uniformly regular* at  $\bar{x} \in \Omega_1 \cap \Omega_2$  and  $\Omega_1$  is *super-regular* at  $\bar{x}$ . Then, for any  $c \in (\hat{c}[\Omega_1, \Omega_2](\bar{x}), 1)$ , a sequence generated by alternating projections for  $\Omega$  *linearly converges* to a point in  $\Omega_1 \cap \Omega_2$  with rate  $\sqrt{c}$ , provided  $x_0$  is close enough to  $\bar{x}$

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Thank  
you