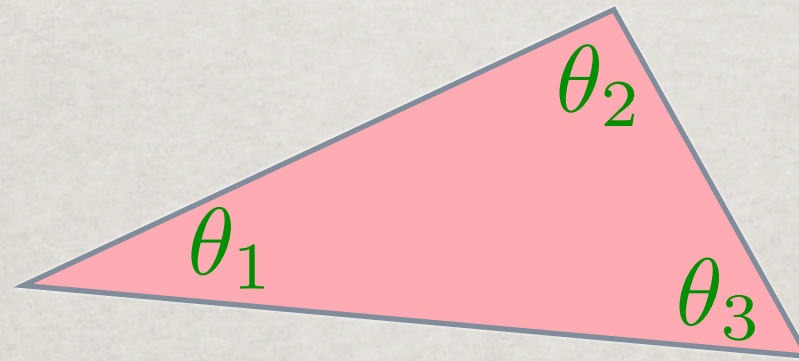


POLYHEDRAL CONES, THEIR  
THETA FUNCTIONS, AND  
WHAT THEY SAY ABOUT  
POLYTOPES

Colloquium, Newcastle University, Australia  
June 3, 2010

S I N A I R O B I N S  
N A N Y A N G T E C H N O L O G I C A L  
U N I V E R S I T Y , S I N G A P O R E

# OUR GRADE SCHOOL TRIANGLE EXPERIENCE:

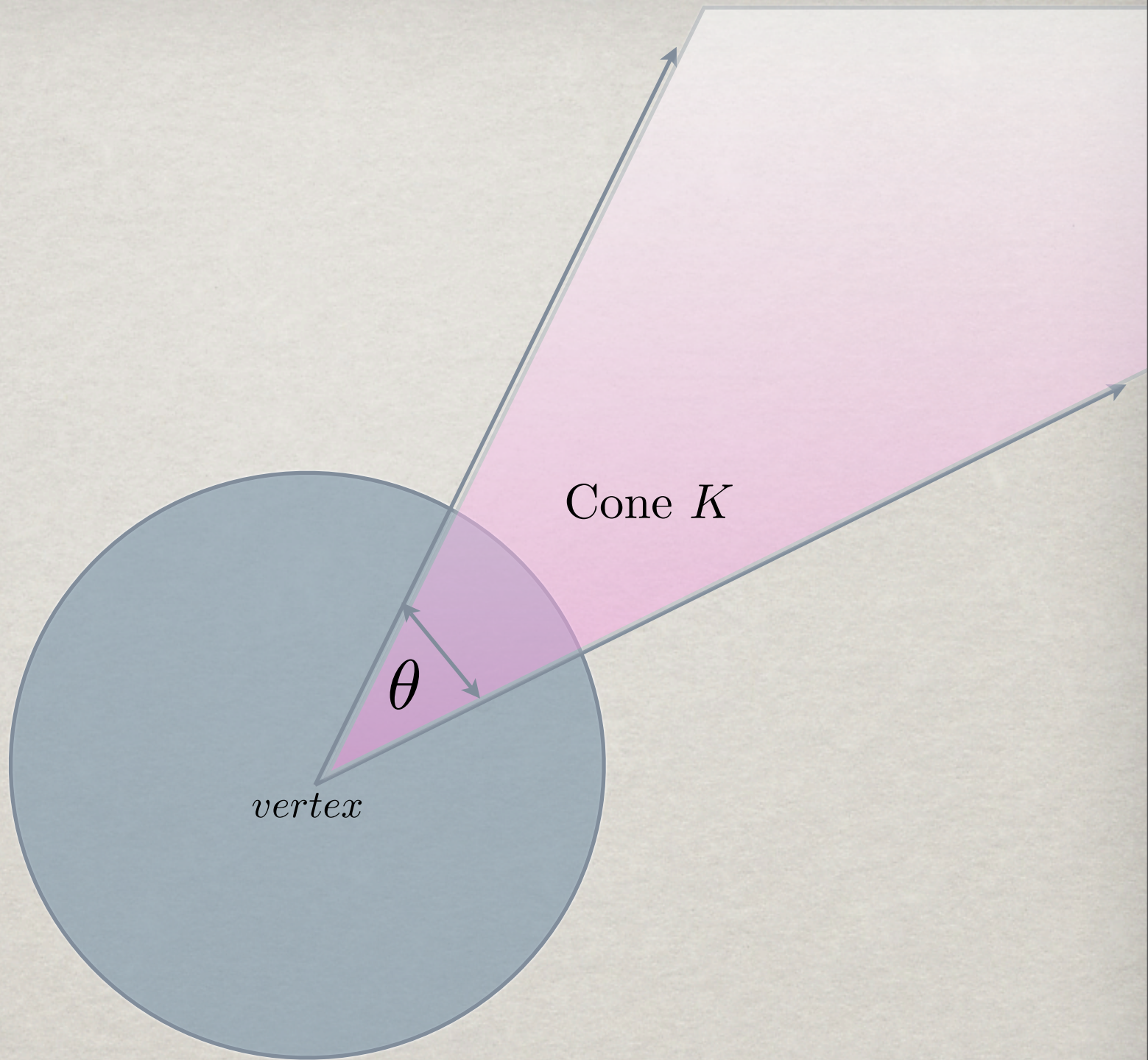


$$\theta_1 + \theta_2 + \theta_3 = \frac{1}{2}$$

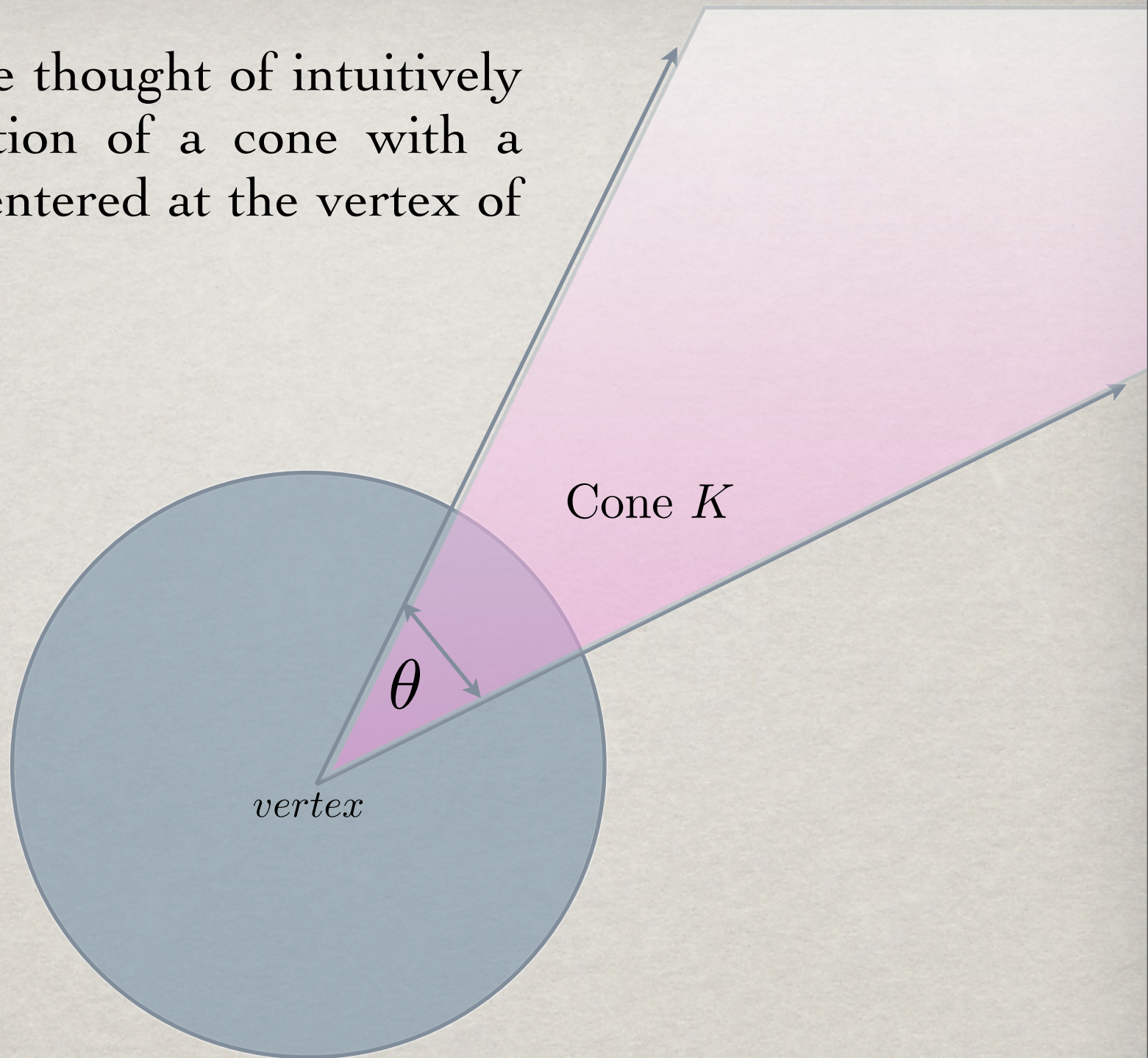
The sum of all three angles at the vertices of a triangle is equal to  $1/2$ .

How does this elementary result extend to higher dimensions?

1. What is a polygon in higher dimensions?
2. What is an angle in higher dimensions?
3. How do these relations among angles extend to higher dimensions?



An angle can be thought of intuitively as the intersection of a cone with a small sphere, centered at the vertex of the cone.



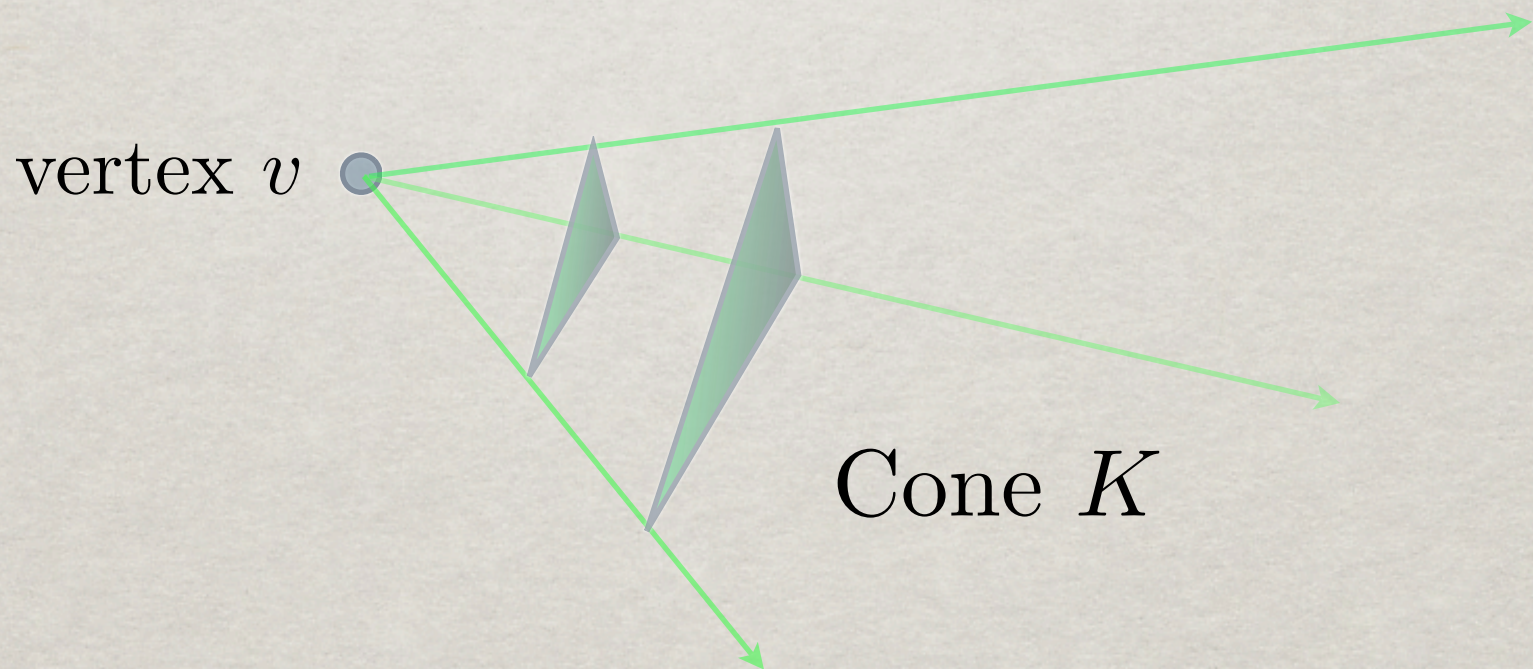
A cone  $K \subset \mathbb{R}^d$  is the nonnegative real span of a finite number of vectors in  $\mathbb{R}^d$ .

That is, a cone is defined by

$$K = \{\lambda_1 w_1 + \cdots + \lambda_d w_d \mid \text{all } \lambda_j \geq 0\},$$

where we assume that the edge vectors  $w_1, \dots, w_d$  are linearly independent in  $\mathbb{R}^d$ .

# EXAMPLE: A 3-DIMENSIONAL CONE.



**HOW DO WE DESCRIBE A  
HIGHER DIMENSIONAL  
ANGLE ANALYTICALLY,  
THOUGH?**



# A NICE ANALYTIC DESCRIPTION IS GIVEN BY:

$$\text{A two dim'l angle} = \int_K e^{-\pi(x^2+y^2)} dx dy$$

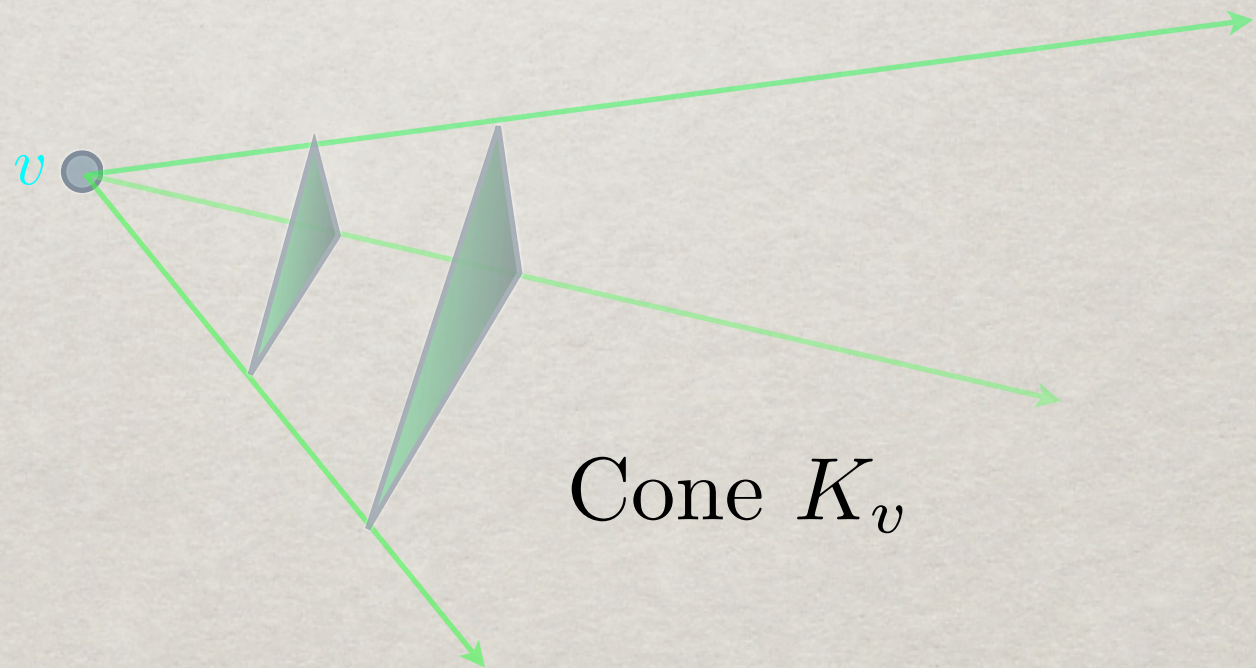
The solid angle at the vertex of a cone  $K$  is

$$\omega_K = \int_K e^{-\pi\|x\|^2} dx.$$

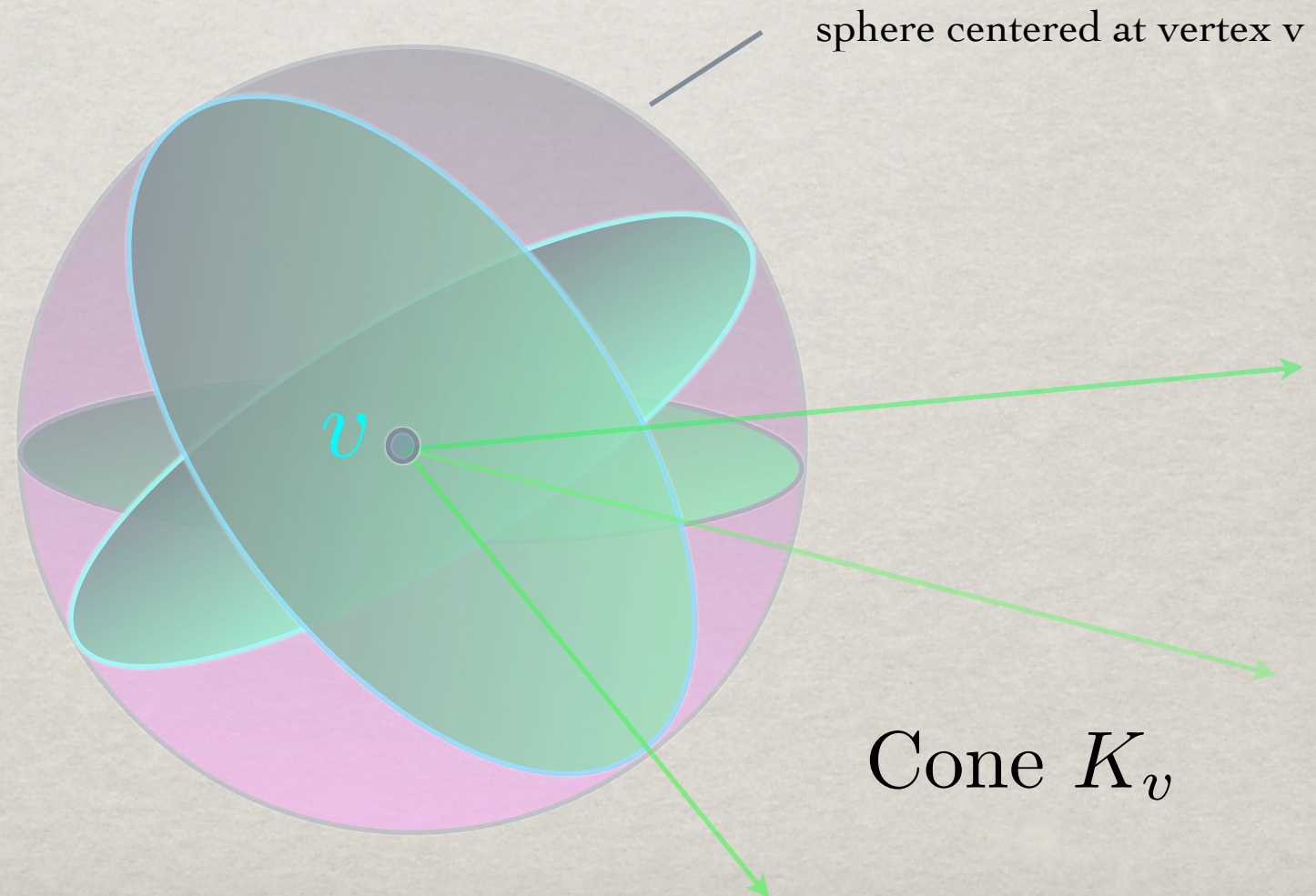
A **solid angle** in dimension  $d$  is equivalently:

1. The proportion of a sphere, centered at the vertex of a cone, which intersects the cone.
2. The probability that a randomly chosen point in Euclidean space, chosen from a fixed sphere centered at the vertex of  $K$ , will lie inside  $K$ .
3. A solid angle =  $\int_K e^{-\pi\|x\|^2} dx$
4. The volume of a spherical polytope.

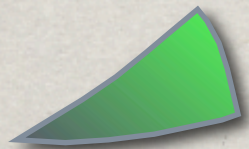
# EXAMPLE: DEFINING THE SOLID ANGLE AT A VERTEX OF A 3-DIMENSIONAL CONE.



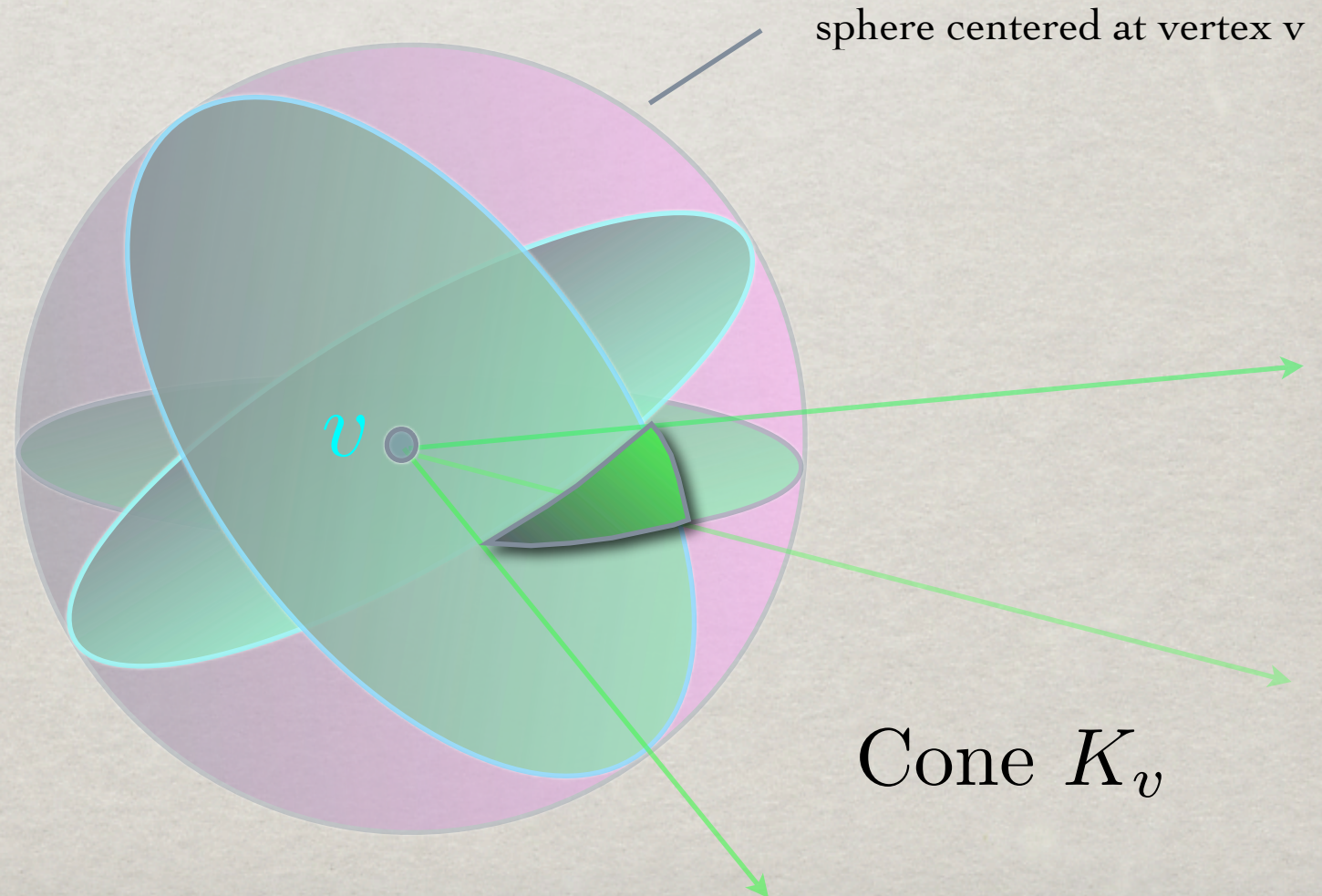
# EXAMPLE: DEFINING THE SOLID ANGLE AT A VERTEX OF A 3-DIMENSIONAL CONE.



# EXAMPLE: DEFINING THE SOLID ANGLE AT A VERTEX OF A 3-DIMENSIONAL CONE.



this is a geodesic triangle on the sphere, representing the solid angle at vertex  $v$ .



**THE MORAL:** A SOLID  
ANGLE IN HIGHER  
DIMENSIONS IS REALLY  
THE VOLUME OF A  
SPHERICAL POLYTOPE.

We need to extend the notion of a solid angle at a vertex of a cone to the more general notion of a solid angle at ANY point, relative to any polytope  $P$ .

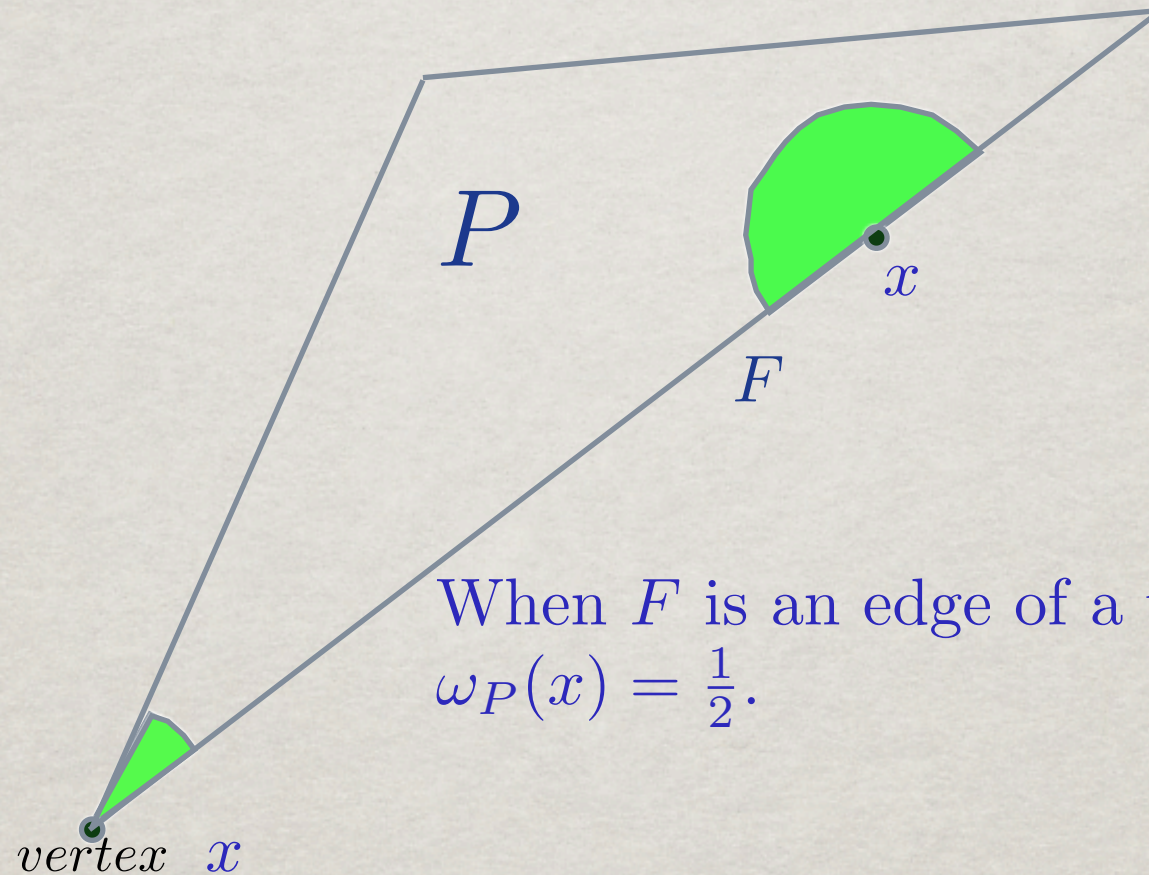
Given any convex polytope  $P$ , we define the solid angle

$$\omega_P(x) = \lim_{\epsilon \rightarrow 0} \frac{\text{vol}(S_\epsilon(x) \cap P)}{\text{vol}(S_\epsilon)}$$

where  $S_\epsilon$  is a sphere of radius  $\epsilon$ , centered at  $x$ .

This can also be thought of as the proportion of a small sphere, centered at  $x$ , that lies inside  $P$ .

Example. Let  $P$  be a triangle.



When  $F$  is an edge of a triangle, we get  
 $\omega_P(x) = \frac{1}{2}$ .

When  $F$  is a vertex of a triangle, we get  
 $\omega_P(x) = \theta$ .



**DEFINITION. THE SOLID ANGLE OF A FACE  $F$  OF A POLYTOPE  $P$  IS GIVEN BY THE PROPORTION OF A SMALL SPHERE, CENTERED AT ANY POINT**

**$x \in$  THE RELATIVE INTERIOR OF  $F$ .**

# JORGEN GRAM



1850-1916  
Born in Denmark

Yes, the same Gram, but a different theorem.

**THEOREM.** (J. GRAM, CIRCA 1860)

**(THE GRAM RELATIONS)**

Given a convex polytope  $P$ , we have the following linear equality for the solid angles of its faces:

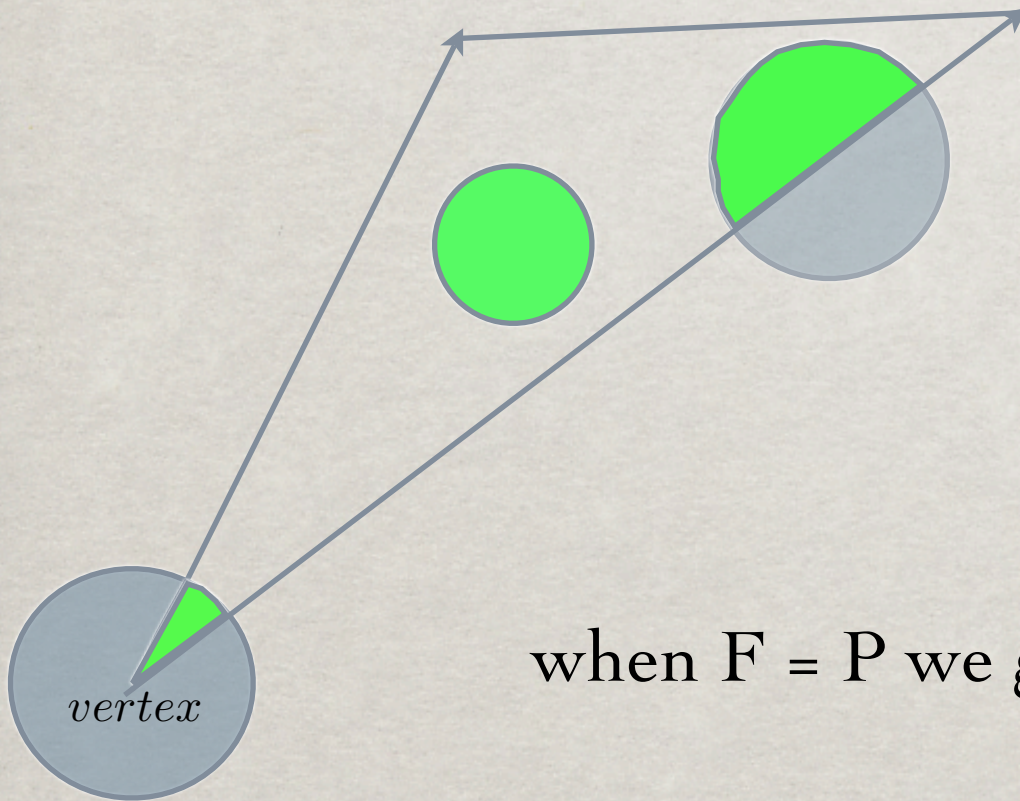
$$\sum_{F \subset P} (-1)^{\dim F} \omega_F = 0.$$

Note: all sums include the face  $F = P$ .

WHY IS THE **GRAM THEOREM** REALLY A  
D-DIMENSIONAL EXTENSION OF OUR  
2-DIMENSIONAL ELEMENTARY SCHOOL  
THEOREM?

For a triangle  $P$ , the Gram relations give

$$\begin{aligned} 0 &= (-1)^0(\omega_{v_1} + \omega_{v_2} + \omega_{v_3}) + (-1)^1(1/2 + 1/2 + 1/2) + (-1)^2(1) \\ &= \theta_1 + \theta_2 + \theta_3 - 1/2. \end{aligned}$$



When  $F$  is an edge, we get

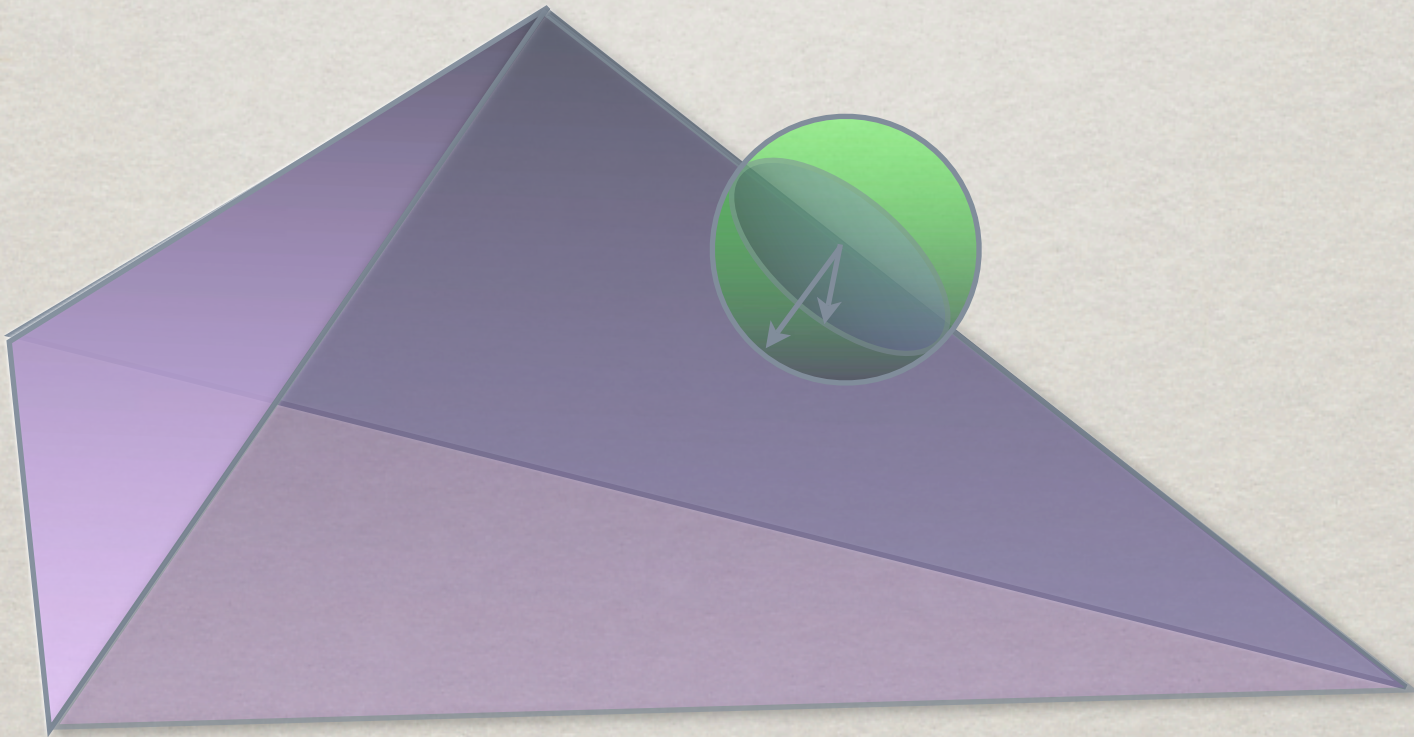
$$\omega_F = 1/2$$

when  $F = P$  we get  $\omega_P = 1$ .

When  $F$  is a vertex, we get  $\omega_F = \theta$ .

Example of a solid angle of a face  $F$  - an edge in this case - relative to a polytope  $P$ .

For a 3-simplex  $P$ , we have the following picture for the solid angles of one of its edges:



TO HELP US ANALYZE SOLID ANGLES,  
WE HAVE INTRODUCED THE FOLLOWING  
CONIC THETA FUNCTION FOR A ANY  
CONE  $K$ :

*Definition.*

$$\theta_K(\tau) = \sum_{m \in \mathbb{Z}^d \cap K} e^{\pi i \tau \|m\|^2},$$

where  $\tau$  is in the upper complex half plane.



Why do we define the conic theta function in this way?

One strong motivation comes from simply discretizing the integral that defines a solid angle!

WE OBSERVE THAT THERE IS A SIMPLE BUT VERY USEFUL **ANALYTIC LINK** BETWEEN SOLID ANGLES AND THESE CONIC THETA FUNCTIONS, GIVEN BY:

Lemma. For any cone  $K$  with vertex  $v$ ,

$$\theta_K(i\epsilon) \approx \epsilon^{-d/2} \omega_K(v),$$

asymptotically as  $\epsilon \rightarrow 0$ .

**ANOTHER MOTIVATOR / TEASER : THERE ARE MANY IDENTITIES AMONG THESE CONIC THETA FUNCTIONS. FOR EXAMPLE:**

Theorem. (R) For any convex polytope  $P$ ,

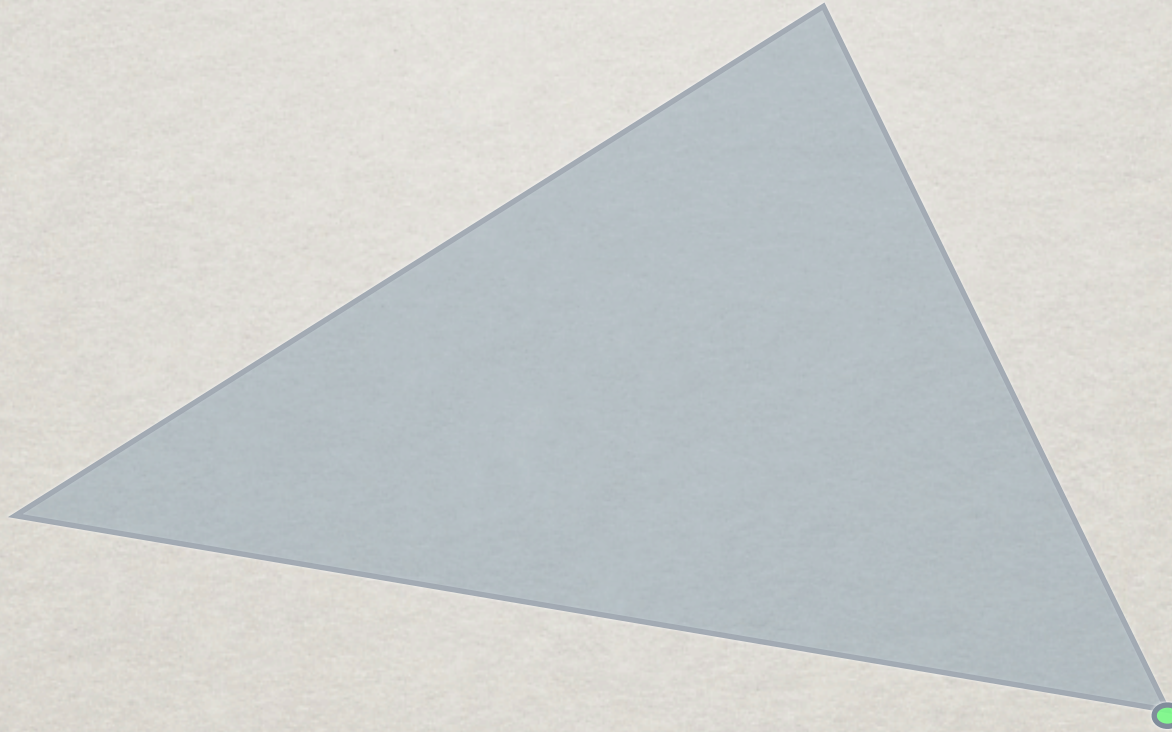
$$\theta_P(i\epsilon) = \sum_{F \subset P} (-1)^{\dim F} \theta_{K_F}(i\epsilon)$$

where  $K_F$  is the tangent cone to the face  $F$ .

# WHAT ARE TANGENT CONES ?

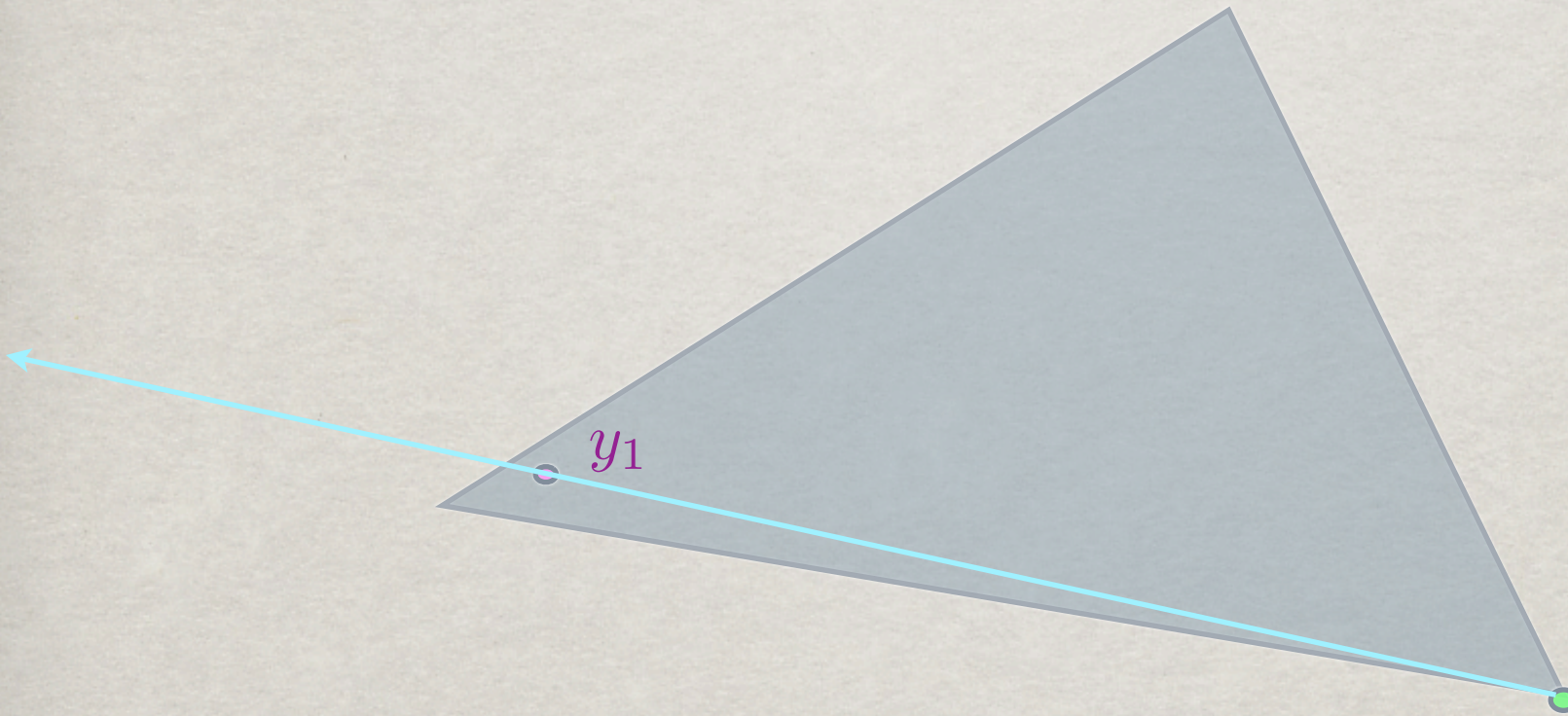
## A QUICK TUTORIAL

Example: If the face  $F$  is a vertex, what does the tangent cone at the vertex look like?

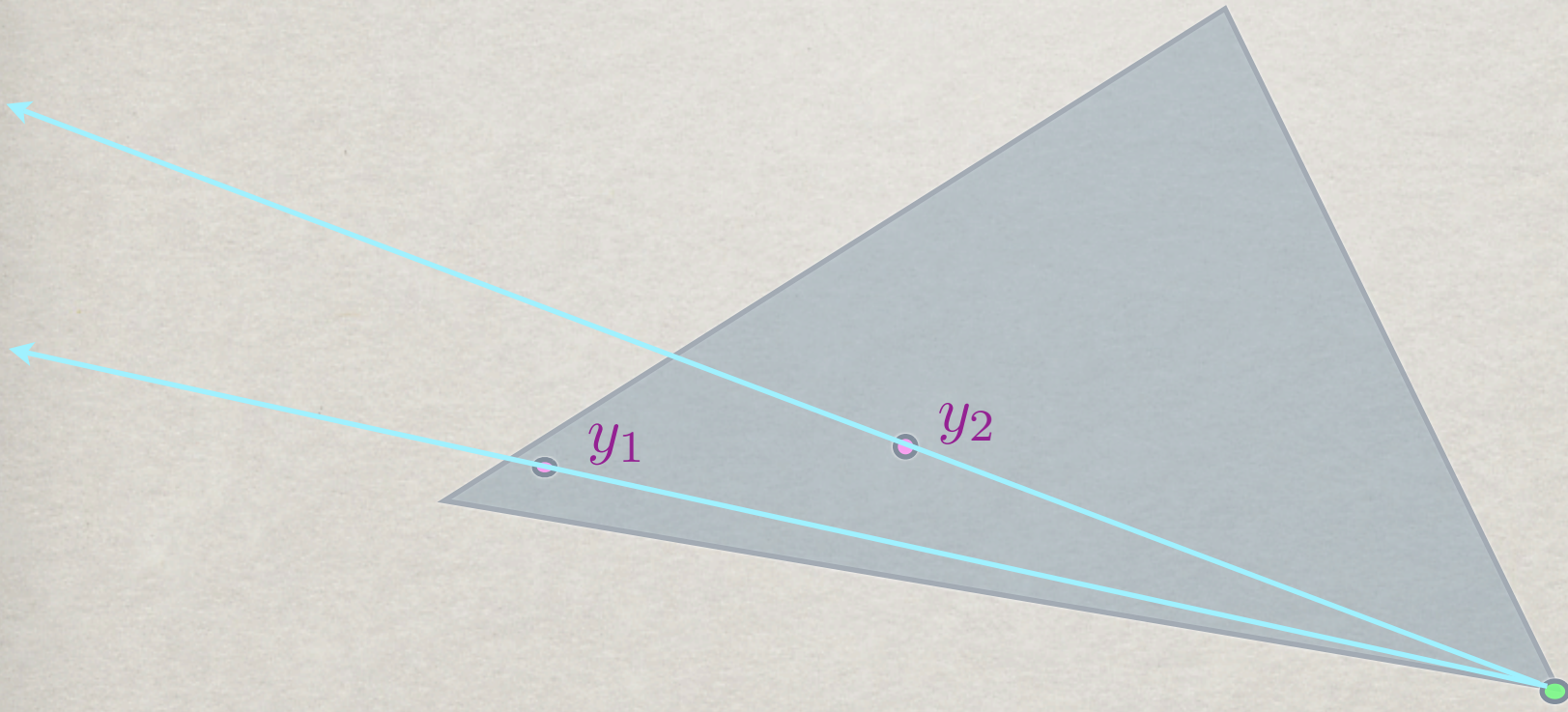


Face =  $v$ , a vertex

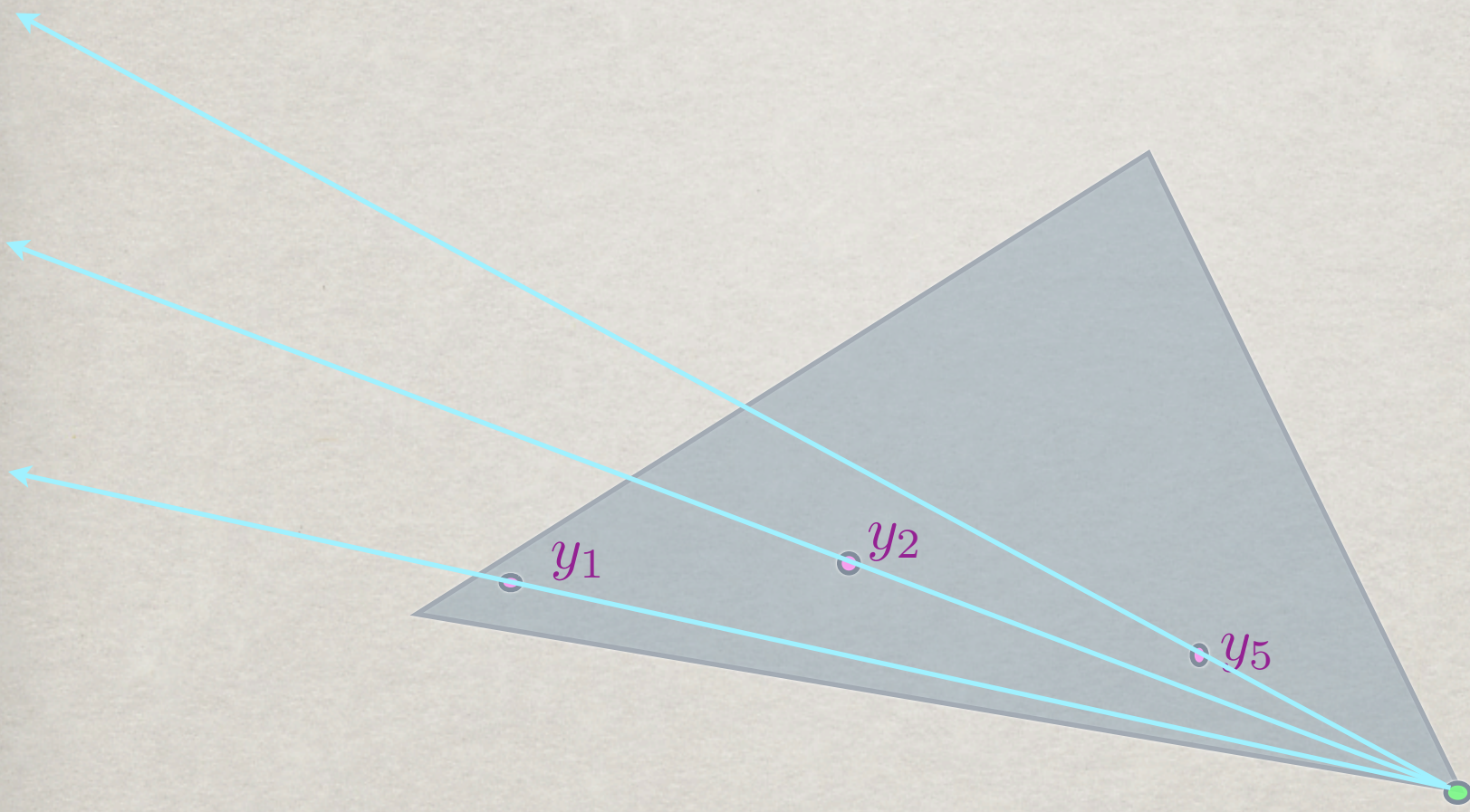
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Face =  $v$ , a vertex



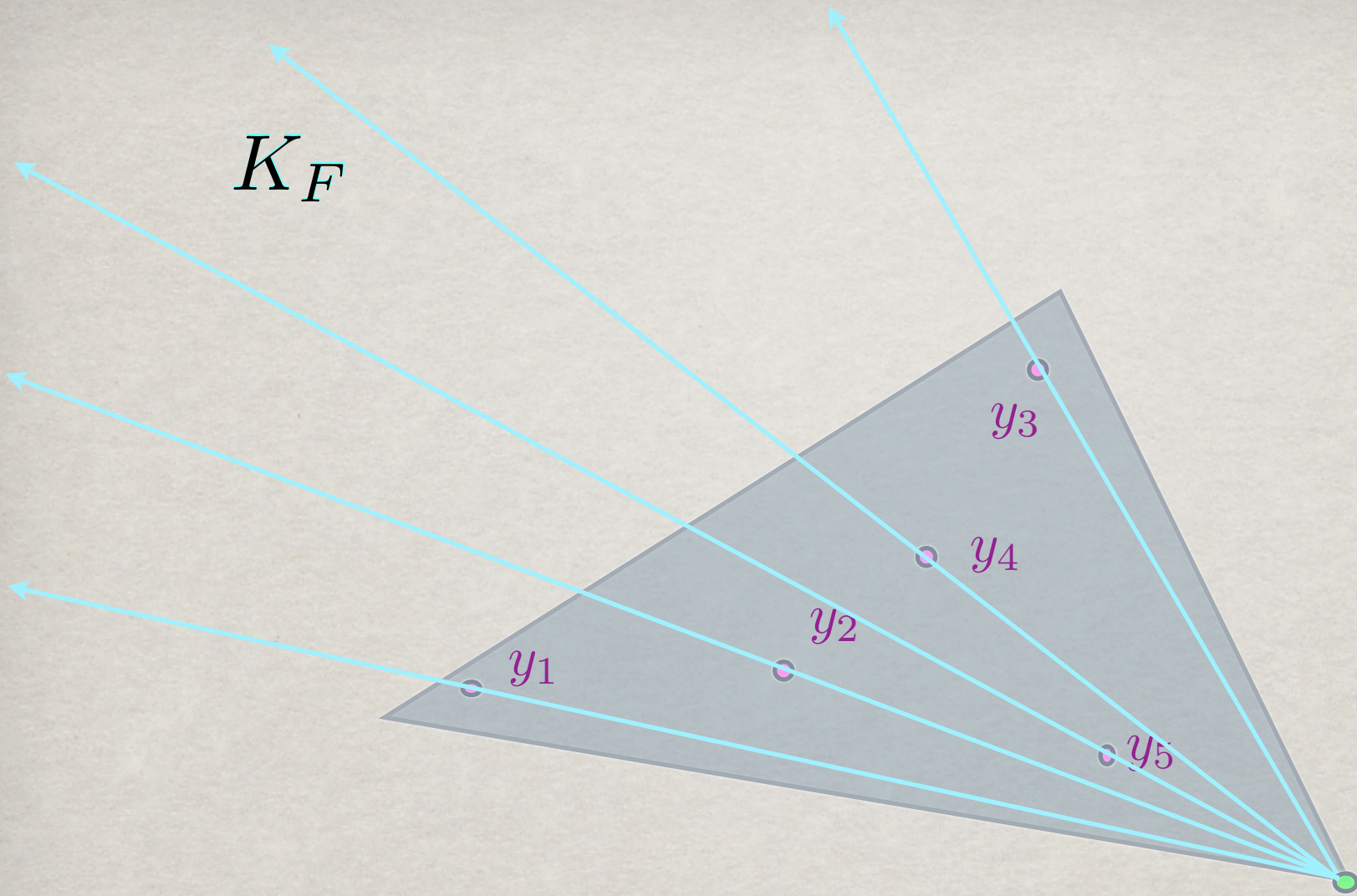
Face =  $v$ , a vertex



Face =  $v$ , a vertex



$K_F$



Face =  $v$ , a vertex

$K_F$

A diagram illustrating a geometric configuration. A large, light blue polygonal region is labeled  $K_F$ . A smaller, darker blue triangular region is attached to the right side of  $K_F$ , sharing a common vertex. This shared vertex is marked with a small green circle.

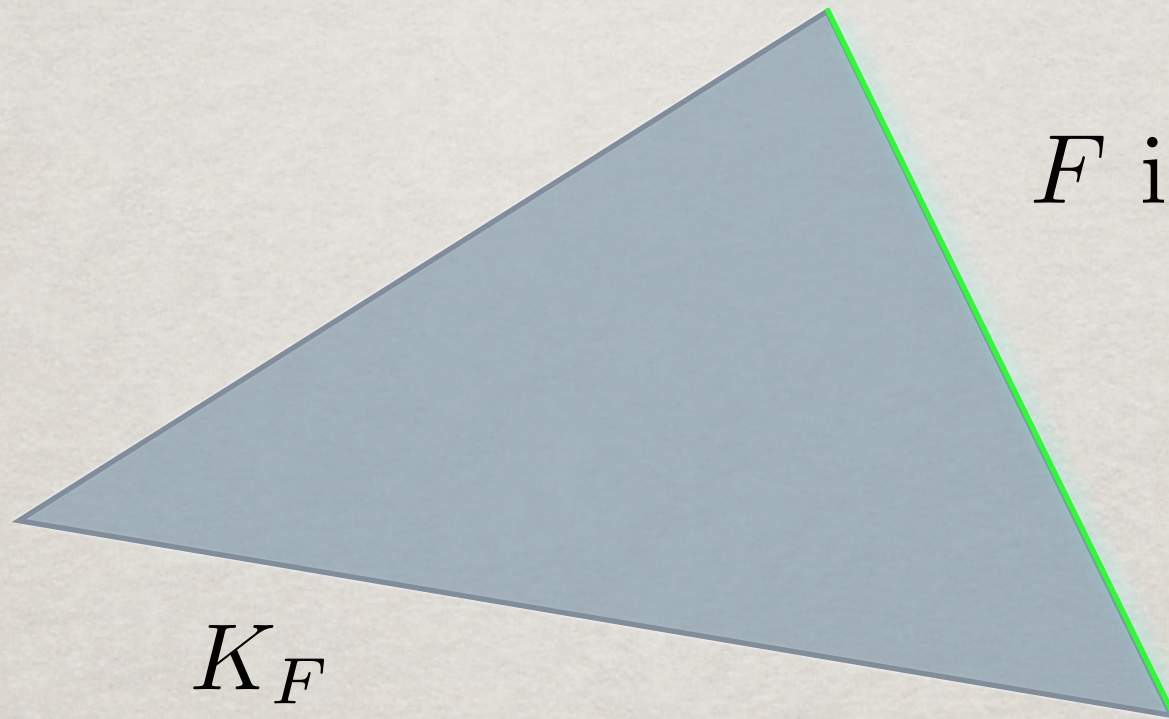
Face =  $v$ , a vertex

Definition. The tangent cone  $K_F$  of a face  $F \subset P$  is defined by

$$K_F = \{x + \lambda(y - x) \mid x \in F, y \in P, \text{ and } \lambda \geq 0\}.$$

Intuitively, the tangent cone of  $F$  is the union of all rays that have a base point in  $F$  and point 'towards  $P$ '.

We note that the tangent cone of  $F$  contains the affine span of the face  $F$ .



$F$  is an edge

$K_F$

Example. when the face is a 1-dimensional edge of a polygon, its tangent cone is a half-plane.



$K_F$

There is a wonderful and very useful identity, known as the “Brianchon-Gram” identity.

It has an Euler characteristic flavor, and it relates all of the tangent cones of any convex closed polytope as follows:

Theorem. (Brianchon-Gram)

$$1_P = \sum_{F \subset P} (-1)^{\dim F} 1_{K_F}$$

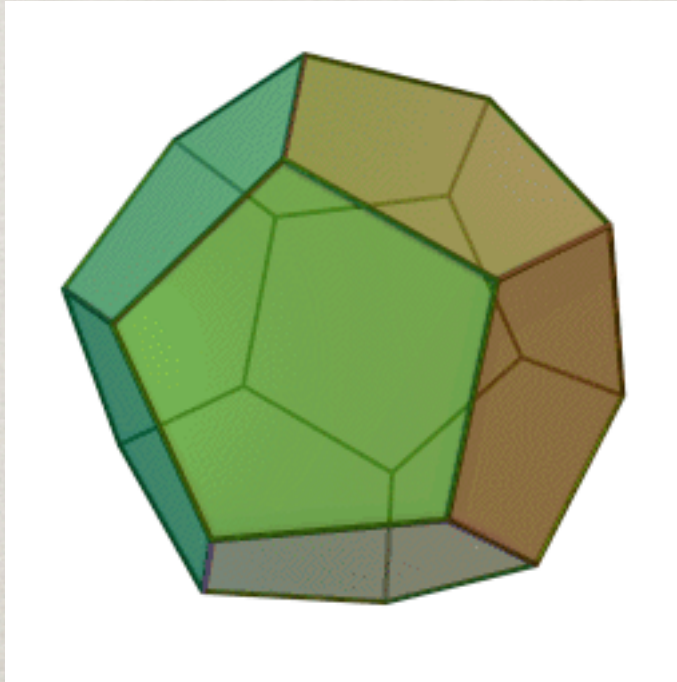
where  $1_{K_F}$  is the indicator function of the tangent cone to  $F$ .

REMARKS. THE BRIANCHON  
IDENTITY FOR INDICATOR  
FUNCTIONS ALLOWS US TO  
TRANSFER THE COMPUTATION OF  
A FUNCTION  $f$  OVER A POLYTOPE  
 $P$  TO THE **LOCAL** COMPUTATION OF  
 **$f$  OVER EACH TANGENT CONE OF  $P$ .**

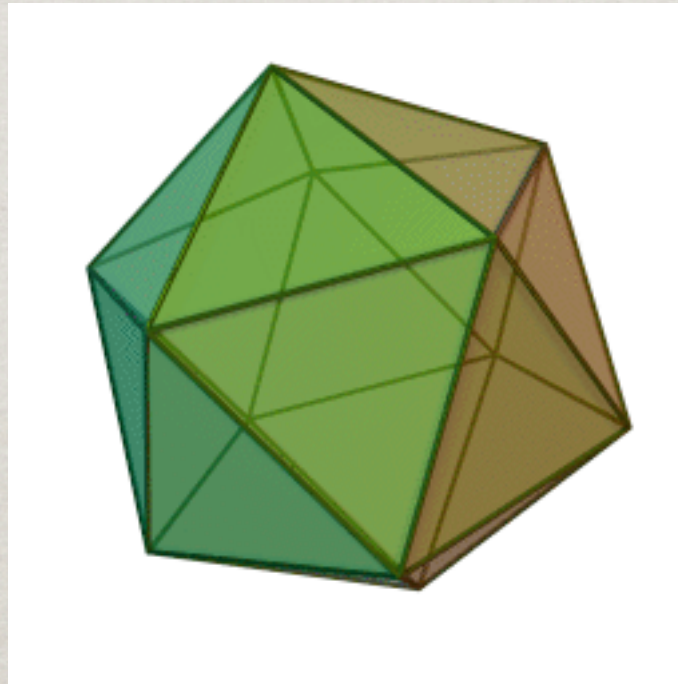
**Definition:** A  $d$ -dimensional polytope enjoying the property that each of its vertices shares an edge with exactly  $d$  other vertices is called a **simple polytope**.



Example of a simple polytope:  
The dodecahedron



# EXAMPLE OF A NON-SIMPLE POLYTOPE: THE ICOSAHEDRON



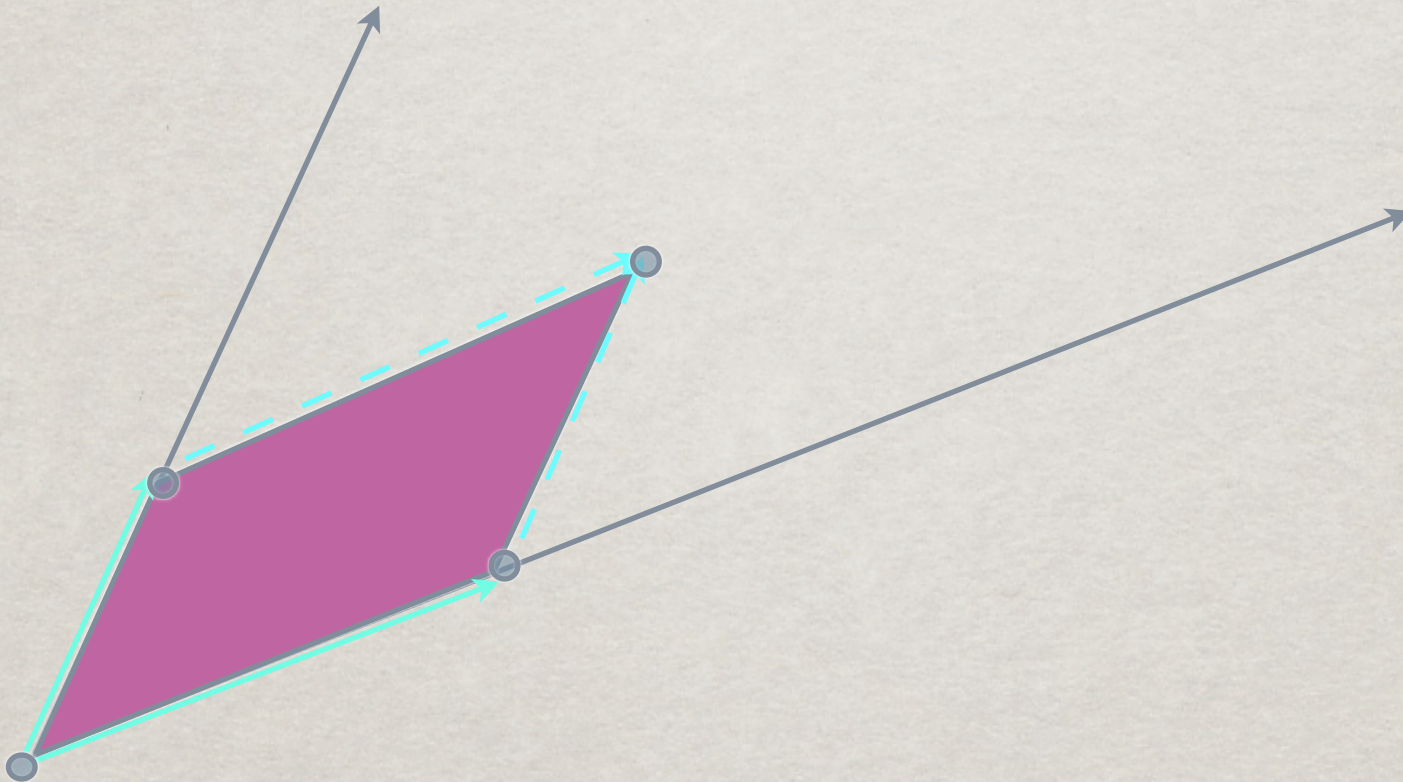
**DEFINITION.** THE FUNDAMENTAL DOMAIN OF A CONE  $K$  IS DEFINED BY A PARALLELEPIPED.

$$\Pi := \{\lambda_1\omega_1 + \cdots + \lambda_d\omega_d \mid \text{all } 0 \leq \lambda_j \leq 1\},$$

whereas by comparison, the cone is defined by

$$K := \{\lambda_1\omega_1 + \cdots + \lambda_d\omega_d \mid \text{all } 0 \leq \lambda_j\}.$$

EXAMPLE. IN THE PLANE, WE HAVE:



The fundamental domain of a two dimensional cone  $K$ .

ONE ULTIMATE GOAL:

TO FIND A NICE, COMPUTABLY EFFICIENT DESCRIPTION OF EACH SOLID ANGLE  $\omega_F$  AS A FUNCTION OF THE GIVEN DATA (THE RATIONAL VERTICES) OF THE RATIONAL POLYTOPE.

**DEFINITION. WE DEFINE THE GAUSS SUM OF A CONE  $K$  BY**

$$S_K(p, q) := \sum_{n \in q\Pi \cap \mathbb{Z}^d} e^{2\pi i \frac{p}{q} \|n\|^2},$$

where  $p, q$  are any two positive integers, and where  $\Pi$  is a fundamental domain for the cone  $K$ .

We arrive at a **non-linear extension** of the classical Gram relations, using polyhedral Gauss sums:

Theorem. (R)

For any convex, simple rational polytope  $P$ ,

$$\sum_{F \subset P} (-1)^{\dim F} \omega_F \left\{ \frac{S_{K_F}(p, q)}{\det K_F} \right\} = 0.$$

# Thank You



Reference: [www.mathematicaguidebooks.org/soccer/](http://www.mathematicaguidebooks.org/soccer/)