

ON THE MINIMUM OF A PAIR OF POSITIVE DEFINITE HERMITEAN FORMS

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Introduction. Let

$$f_l(x, y) = a_l x \bar{x} + b_l x \bar{y} + \bar{b}_l x \bar{y} + c_l y \bar{y} \quad (l = 1, 2)$$

be a pair of positive definite Hermitean forms of determinants

$$a_1 c_1 - b_1 \bar{b}_1 = a_2 c_2 - b_2 \bar{b}_2 = 1$$

and of simultaneous invariant

$$j = a_1 c_2 - b_1 \bar{b}_2 - \bar{b}_1 b_2 + c_1 a_2;$$

evidently $j \geq 2$ with equality only if the two forms are identical. Also denote by $M(f_1, f_2)$ the smallest value of either $f_1(x, y)$ or $f_2(x, y)$ when x and y take all integral values not both zero in the Gaussian field $K(i)$. The lower bound of $M(f_1, f_2)$ extended over all pairs of forms f_1, f_2 is a function $m(j)$ of the invariant j only, and its evaluation forms the subject of this paper. By means of the geometrical theory of positive definite Hermitean forms an algorithm for the evaluation of $m(j)$ is developed and applied to the computation of $m(j)$ for $2 \leq j \leq 6$. The result is analogous to that for a pair of positive definite quadratic forms considered by one of us¹), but the method used there was entirely different.

CHAPTER I

THE GEOMETRICAL THEORY

§ 1. *The representative of a Hermitean form.* Let $K(i)$ be

¹) K. MAHLER, Lattice points in two-dimensional star domains (III), Prot. London Math. Soc. (2), **49** (1946), 168—183.

GAUSS'S imaginary quadratic field, and $J(i)$ the ring of all integers in $K(i)$. When α is an arbitrary complex number, then denote by $R(\alpha)$ and $I(\alpha)$ the real and imaginary parts of α , and by $\bar{\alpha}$ the conjugate complex number; if α lies in $K(i)$, then $\bar{\alpha}$ is its conjugate also with respect to this quadratic field.

Let Γ be PICARD'S group of linear transformations ²⁾

$$x = \alpha x' + \beta y', \quad y = \gamma x' + \delta y', \quad (1)$$

where $\alpha, \beta, \gamma, \delta$ are elements of $J(i)$ of determinant

$$\alpha\delta - \beta\gamma = 1.$$

Now let

$$f(x, y) = ax\bar{x} + b\bar{x}y + \bar{b}x\bar{y} + cy\bar{y}$$

be a positive definite Hermitean form of determinant

$$ac - b\bar{b} = 1$$

with arbitrary real coefficient a, c , and arbitrary complex conjugate coefficients b, \bar{b} . The transformation (1) changes f into a new positive definite Hermitean form

$$f'(x', y') = a'x'\bar{x}' + b'\bar{x}'y' + \bar{b}'x'\bar{y}' + c'y'\bar{y}'$$

of determinant 1; this new form is called *equivalent* to f , in symbols,

$$f \sim f'.$$

We say that $f(x, y)$ is a *reduced form* if for x, y in $J(i)$,

$$f(x, y) \geq \begin{cases} a, & \text{when } |x| + |y| > 0, \\ c, & \text{when } y = 1. \end{cases} \quad (2)$$

The form f is reduced if and only if

$$0 < a \leq c, \quad \left| R\left(\frac{b}{a}\right) \right| \leq \frac{1}{2}, \quad \left| I\left(\frac{b}{a}\right) \right| \leq \frac{1}{2}. \quad (3)$$

To every form f , there exist reduced equivalent forms. In general, there are just *two* such reduced equivalent forms; these are interchanged by the PICARD transformation

$$x = ix', \quad y = -iy'. \quad (4)$$

¹⁾ See FRICKE-KLEIN, Automorphe Functionen, Bd. 1, Leipzig 1897, 76—93 and 450—497.

Only when at least *one* sign of equality holds in (3) are there more than two reduced forms equivalent to f .

Put

$$\zeta = \frac{b}{a}, \quad \eta = \frac{1}{a}, \quad \text{so that } a = \frac{1}{\eta}, \quad b = \frac{\xi}{\eta}, \quad c = \frac{\xi\bar{\xi} + \eta^2}{\eta}; \quad (5)$$

further denote by

$$\mathcal{P} : (\xi, \eta)$$

the point with rectangular coordinates

$$R(\xi), I(\xi), \eta$$

in three-dimensional upper half-space $P : \eta > 0$. Then \mathcal{P} is called the *representative of f* . The third coordinate η of \mathcal{P} is named the *height* of \mathcal{P} ; this height is a positive number, since f is a positive definite form. The relation between a form and its representative is a one-to-one correspondence; we write in symbols,

$$\mathcal{P} \longleftrightarrow f \text{ or } f \longleftrightarrow \mathcal{P}.$$

When f is changed into f' by the Picard transformation (1), then the representative

$$\mathcal{P}' : (\xi', \eta')$$

of the new form is given by

$$\xi' = \frac{\delta\bar{\gamma}(\xi\bar{\xi} + \eta^2) + \delta\bar{a}\xi + \beta\bar{\gamma}\bar{\xi} + \beta\bar{a}}{\gamma\bar{\gamma}(\xi\bar{\xi} + \eta^2) + \gamma\bar{a}\xi + \alpha\bar{\gamma}\bar{\xi} + \alpha\bar{a}}, \quad (6)$$

$$\eta' = \frac{\eta}{\gamma\bar{\gamma}(\xi\bar{\xi} + \eta^2) + \gamma\bar{a}\xi + \alpha\bar{\gamma}\bar{\xi} + \alpha\bar{a}},$$

and further

$$\xi'\bar{\xi}' + \eta'^2 = \frac{\delta\bar{\delta}(\xi\bar{\xi} + \eta^2) + \delta\bar{\beta}\xi + \beta\bar{\delta}\bar{\xi} + \beta\bar{\beta}}{\gamma\bar{\gamma}(\xi\bar{\xi} + \eta^2) + \gamma\bar{a}\xi + \alpha\bar{\gamma}\bar{\xi} + \alpha\bar{a}}. \quad (7)$$

It is well known that these formulae define a *conformal point-transformation* of P into itself, which changes spheres into spheres, planes being considered as spheres of infinite radius. In particular, spheres with their centres in the plane $\eta = 0$ are transformed into spheres of the same kind.

By (3) and (5), the form f is reduced if and only if its representative satisfies the inequalities

$$|\mathbf{R}(\xi)| \leq \frac{1}{2}, \quad |\mathbf{I}(\xi)| \leq \frac{1}{2}, \quad \xi\bar{\xi} + \eta^2 \geq 1, \quad \eta > 0. \quad (8)$$

We then call \mathcal{P} a reduced point. The relation

$$f \longleftrightarrow \mathcal{P}$$

evidently defines a one-to-one correspondence between the elements of the set F of all reduced forms, and the elements of the set Φ of all reduced points. Corresponding to the Picard transformation (4), the set Φ is transformed into itself by

$$\xi' = -\xi, \quad \eta' = \eta. \quad (9)$$

For all points of Φ ,

$$\eta \geq \frac{1}{\sqrt{2}}, \quad (10)$$

with equality only at the four *vertices*

$$\xi = \frac{\mp 1 \mp i}{2}, \quad \eta = \frac{1}{\sqrt{2}} \quad (11)$$

of Φ .

Let

$$\mathbf{M}(f) = \min_{\substack{x, y \text{ in } J(i) \\ |x| + |y| > 0}} f(x, y) \quad (12)$$

be the *minimum* of f for x, y not both zero in $J(i)$. Then

$$\mathbf{M}(f) = \mathbf{M}(f') \quad \text{if } f \sim f'. \quad (13)$$

When f is a reduced form, then by (2) and (5),

$$\mathbf{M}(f) = a = \frac{1}{\eta}, \quad (14)$$

hence by (10),

$$\mathbf{M}(f) \leq \sqrt{2}. \quad (15)$$

Here equality holds if and only if the representative of f

is one of the four vertices (11) of Φ , i.e. if f is one of the four forms ³⁾ ($\varepsilon, \varepsilon' = \mp 1$)

$$f(x, y) = \sqrt{2} \left\{ x\bar{x} + \frac{\varepsilon + \varepsilon'i}{2} \bar{x}y + \frac{\varepsilon - \varepsilon'i}{2} x\bar{y} + y\bar{y} \right\}. \quad (16)$$

By (13), the inequality (15) remains valid for non-reduced forms, for there are reduced forms equivalent to any given form.

§ 2. *The problem.* From now on, we consider a system of two positive definite Hermitean forms

$$f_l(x, y) = a_l x\bar{x} + b_l \bar{x}y + \bar{b}_l x\bar{y} + c_l y\bar{y} \quad (l = 1, 2) \quad (17)$$

of determinants

$$a_1 c_1 - b_1 \bar{b}_1 = a_2 c_2 - b_2 \bar{b}_2 = 1$$

and of simultaneous invariant

$$j = a_1 c_2 - b_1 \bar{b}_2 - \bar{b}_1 b_2 + c_1 a_2; \quad (18)$$

say, for shortness, a pair of invariant j .

On denoting by

$$\mathcal{P}_l(\xi_l, \eta_l) \quad \text{with } \eta_l > 0$$

the representatives of these forms, j can be written as

$$j = \frac{(\xi_1 - \xi_2)(\bar{\xi}_1 - \bar{\xi}_2) + (\eta_1 - \eta_2)^2}{\eta_1 \eta_2} + 2. \quad (19)$$

Hence

$$j \geq 2, \quad (20)$$

with equality if and only if \mathcal{P}_1 and \mathcal{P}_2 coincide, i.e. f_1 and f_2 are identical.

If the same Picard transformation (1) is applied to both forms of the pair $f_1(x, y), f_2(x, y)$ of invariant j , then a new

³⁾ These four forms are equivalent, and are interchanged by the group of four PICARD transformations,

$x = x', y = y'; x = ix', y = -iy'; x = y', y = -x'; x = iy', y = ix'.$

pair $f'_1(x', y')$, $f'_2(x', y')$ of invariant j is obtained. We call this new pair equivalent to the old one, and write

$$(f_1, f_2) \sim (f'_1, f'_2).$$

We further say that the pair f_1, f_2 of invariant j is *reduced* if

$$(a) \quad f_2 \text{ is a reduced form;}$$

$$(b) \quad M(f_1) \geq M(f_2).$$

Since every single form can be reduced, there always exists a reduced pair f'_1, f'_2 equivalent either to f_1, f_2 , or to f_2, f_1 ; in the special case that $M(f_1) = M(f_2)$, there exist reduced pairs of both kinds.

Put

$$M(f_1, f_2) = \min (M(f_1), M(f_2)), \quad (21)$$

so that

$$M(f_1, f_2) = M(f_2, f_1), \quad (22)$$

$$M(f_1, f_2) = M(f'_1, f'_2) \quad \text{if} \quad (f_1, f_2) \sim (f'_1, f'_2). \quad (23)$$

By (15), for every pair of invariant j ,

$$M(f_1, f_2) \leq \sqrt{2}. \quad (24)$$

Hence the *smallest upper bound*

$$m(j) = u.b.M(f_1, f_2) \quad (25)$$

extended over all pairs of invariant j , exists; it is a function of j only, and it satisfies the inequality

$$m(j) \leq \sqrt{2}. \quad (26)$$

A second inequality for $m(j)$,

$$m(j) \geq 1, \quad (27)$$

is an immediate consequence of

Theorem 1. *For every value of $j \geq 2$, there exists a pair f_1, f_2 of invariant j such that*

$$M(f_1, f_2) = 1.$$

Proof. The two forms

$$f_1(x, y) = (x + y\sqrt{j-2})(\bar{x} + \bar{y}\sqrt{j-2}) + y\bar{y},$$

$$f_2(x, y) = x\bar{x} + y\bar{y}$$

are positive definite, of determinants 1, and of simultaneous invariant j . The second form is reduced, hence $M(f_2) = 1$. Further for x, y in $J(i)$,

$$f_1(x, y) \begin{cases} = 1, & \text{when } x = 1, y = 0, \\ = x\bar{x} \geq 1, & \text{when } x \neq 0, y = 0, \\ \geq y\bar{y} \geq 1, & \text{when } y \neq 0. \end{cases}$$

Hence also $M(f_1) = 1$, whence $M(f_1, f_2) = 1$, as was to be proved.

The aim of this paper is to obtain a finite algorithm for the computation of $m(j)$.

Since f_1 and f_2 are identical for $j = 2$, by § 1

$$m(2) = \sqrt{2}. \quad (28)$$

Therefore let

$$j > 2$$

from now on.

§ 3. The existence of critical pairs.

Definition: The pair f_1, f_2 of invariant j is called critical if

$$M(f_1, f_2) = m(j).$$

The following existence theorem is fundamental for all that follows:

Theorem 2. For every value of $j > 2$, there exists at least one critical pair of invariant j .

Proof. By the definition of $m(j)$, there exists an infinite sequence of pairs

$$f_1^{(k)}(x, y), f_2^{(k)}(x, y) \quad (k = 1, 2, 3, \dots) \quad (29)$$

of invariant j such that ⁴⁾

$$\lim_{k \rightarrow \infty} M(f_1^{(k)}, f_2^{(k)}) = m(j). \quad (30)$$

⁴⁾ These pairs of forms need not all be different.

Without loss of generality, these pairs may be assumed to be reduced.

Then from (30),

$$\lim_{k \rightarrow \infty} M(f_2^{(k)}) = m(j). \quad (31)$$

Hence, to every $\varepsilon > 0$ there is a positive integer $k_0 = k_0(\varepsilon)$ such that

$$m(j) - \varepsilon < M(f_2^{(k)}) \leq m(j) \text{ for } k \geq k_0. \quad (32)$$

Denote by

$$\mathcal{P}_1^{(k)} : (\xi_1^{(k)}, \eta_1^{(k)}), \quad \mathcal{P}_2^{(k)} : (\xi_2^{(k)}, \eta_2^{(k)}) \quad (k = 1, 2, 3, \dots)$$

the representatives of the forms (29). Then $\mathcal{P}_2^{(k)}$ lies in Φ . Hence by (27) and by the formulae in § 1,

$$|R(\xi_2^{(k)})| \leq \frac{1}{2}, \quad |I(\xi^{(k)})| \leq \frac{1}{2},$$

$$\frac{1}{\sqrt{2}} \leq \frac{1}{m(j)} \leq \eta_2^{(k)} < \frac{1}{m(j) - \varepsilon} \leq \frac{1}{1 - \varepsilon}. \quad (33)$$

Further

$$j = \frac{(\xi_1^{(k)} - \xi_2^{(k)}) (\bar{\xi}_1^{(k)} - \bar{\xi}_2^{(k)}) + (\eta_1^{(k)} - \eta_2^{(k)})^2}{\eta_1^{(k)} \eta_2^{(k)}} + 2,$$

that is:

$$(\xi_1^{(k)} - \xi_2^{(k)}) (\bar{\xi}_1^{(k)} - \bar{\xi}_2^{(k)}) + \left(\eta_1^{(k)} - \frac{j}{2} \eta_2^{(k)} \right)^2 = \frac{j^2 - 4}{4} \eta_2^{(k)2}. \quad (34)$$

From (33) and (34),

$$\eta_2^{(k)} \geq \frac{1}{\sqrt{2}} > \frac{1}{\sqrt{2}j},$$

$$\eta_1^{(k)} \geq \frac{(j - \sqrt{j^2 - 4}) \eta_2^{(k)}}{2} = \frac{2\eta_2^{(k)}}{j + \sqrt{j^2 - 4}} > \frac{\eta_2^{(k)}}{j} \geq \frac{1}{\sqrt{2}j}. \quad (35)$$

The formulae (33) to (35) show that for $k \geq k_0$ both $\mathcal{P}_1^{(k)}$ and $\mathcal{P}_2^{(k)}$ lie in a bounded closed set B in P which is independent of k. Moreover all points in B are of height not smaller than $1/\sqrt{2}j$.

There exists therefore an infinite sequence of indices

$$k_1 < k_2 < k_3 < \dots$$

such that the corresponding pairs of representatives

$$\mathcal{P}_1^{(k_\nu)}, \mathcal{P}_2^{(k_\nu)} \quad (\nu = 1, 2, 3, \dots)$$

tend to limit points

$$\lim_{\nu \rightarrow \infty} \mathcal{P}_1^{(k_\nu)} = \mathcal{P}_1 : (\xi_1 \eta_1), \quad \lim_{\nu \rightarrow \infty} \mathcal{P}_2^{(k_\nu)} = \mathcal{P}_2 : (\xi_2 \eta_2),$$

where

$$\eta_1 > \frac{1}{\sqrt{2j}}, \quad \eta_2 > \frac{1}{\sqrt{2j}}.$$

Therefore the forms belonging to these limit points are positive definite; they are further of determinant 1 and simultaneous invariant j . Also

$$\mathbf{M}(f_1, f_2) = m(j),$$

since the minimum of a positive definite form is a continuous function of its coefficient. This concludes the proof ⁵⁾.

§ 4. *The equality property of a critical pair.* Let $f_1(x, y)$, $f_2(x, y)$ be a reduced pair of invariant j , and

$$\mathcal{P}_1 : (\xi_1, \eta_1), \quad \mathcal{P}_2 : (\xi_2, \eta_2)$$

the representatives of these forms. Hence \mathcal{P}_2 lies in Φ , while \mathcal{P}_1 does not necessarily do so. Let therefore

$$\mathcal{P}_I : (\xi_I, \eta_I)$$

be the representative of a reduced form equivalent to $f_1(x, y)$.

Theorem 3. *If*

$$\mathbf{M}(f_1) > \mathbf{M}(f_2), \tag{36}$$

then there exists a pair $f_1^(x, y)$, $f_2^*(x, y)$ of invariant j such that*

$$\mathbf{M}(f_1^*, f_2^*) > \mathbf{M}(f_1, f_2).$$

⁵⁾ The theorem remains valid for $j = 2$; there are four critical pairs, namely $f_1 \equiv f_2$ must be one of the forms (16).

Proof. Since $M(f_1) = \frac{1}{\eta_1}$, $M(f_2) = \frac{1}{\eta_2}$, the inequality (36) implies

$$\eta_1 < \eta_2. \quad (37)$$

Further, since \mathcal{P}_1 lies in Φ ,

$$\eta_1 \geq \frac{1}{\sqrt{2}}, \text{ hence } \eta_2 > \frac{1}{\sqrt{2}}.$$

Therefore \mathcal{P}_2 is not one of the vertices

$$\left(\frac{\mp 1 \mp i}{2}, \frac{1}{\sqrt{2}} \right)$$

of Φ , and so there are points

$$\mathcal{P}_2^* : (\xi_2^*, \eta_2^*)$$

of Φ arbitrary near to \mathcal{P}_2 , but of height

$$\eta_2^* < \eta_2.$$

To every such point \mathcal{P}_2^* there further exist points

$$\mathcal{P}_1^* : (\xi_1^*, \eta_1^*)$$

such that

$$\frac{(\xi_1^* - \xi_2^*)(\bar{\xi}_1^* - \bar{\xi}_2^*) + (\eta_1^* - \eta_2^*)^2}{\eta_1^* \eta_2^*} + 2 = j,$$

and such that \mathcal{P}_1^* tends to \mathcal{P}_1 when \mathcal{P}_2^* tends to \mathcal{P}_2 . Let

$$f_1^*(x, y) \longleftrightarrow \mathcal{P}_1^*, \quad f_2^*(x, y) \longleftrightarrow \mathcal{P}_2^*,$$

and denote by

$$\mathcal{P}_1^* : (\xi_1^*, \eta_1^*)$$

the representative of a reduced form equivalent to $f_1^*(x, y)$. Then

$$\eta_1^* \rightarrow \eta_1 \text{ when } \mathcal{P}_2^* \rightarrow \mathcal{P}_2 \text{ and so } \mathcal{P}_1^* \rightarrow \mathcal{P}_1.$$

Therefore, finally, by (37), for $\mathcal{P}_2^* \rightarrow \mathcal{P}_2$,

$$\eta_1^* < \eta_2.$$

that is

$$M(f_1^*, f_2^*) = \min\left(\frac{1}{\eta_1^*}, \frac{1}{\eta_2^*}\right) > \frac{1}{\eta_2} = M(f, f_2),$$

as was to be proved.

Theorem 4. *For a critical pair of forms $f_1(x, y), f_2(x, y)$,*

$$M(f_1) = M(f_2).$$

Proof. Evident from the definition and from Theorem 3.

Theorem 5. *To every critical pair f_1, f_2 of invariant j , there exist a reduced pair f'_1, f'_2 such that*

$$(f'_1, f'_2) \sim (f_1, f_2),$$

and a reduced pair f''_1, f''_2 such that

$$(f''_1, f''_2) \sim (f_2, f_1).$$

Proof. Evident from the definition and from Theorem 4.

Theorem 5 expresses the *symmetry* of a critical pair in its two elements; on account of this theorem, it is sufficient in the next paragraph to prove the assertions always only for one form, say for the form f_2 .

From now on, the notation remains the same as in the proof of Theorem 3.

§ 5. *The boundary property of a critical pair.*

Theorem 6. *For a critical pair of invariant j , both \mathcal{P}_1 and \mathcal{P}_2 are boundary points of Φ . Moreover, they lie on that part S of the boundary of Φ , which is defined by*

$$\xi\bar{\xi} + \eta^2 = 1, \quad |R(\xi)| \leq \frac{1}{2}, \quad |I(\xi)| \leq \frac{1}{2}, \quad \eta > 0. \quad (38)$$

Proof. It suffices to show the assertion for \mathcal{P}_2 . We apply the indirect method and assume that \mathcal{P}_2 lies in Φ , but not on S ; from this a contradiction will be obtained.

We first remark that

$$\eta_1 \geq \eta_2, \quad (39)$$

since

$$\frac{1}{\eta_1} = M(f_1) \leq f_1(1, 0) = a_1 = \frac{1}{\eta_1}.$$

Hence by Theorem 4,

$$\eta_1 \leq \eta_2.$$

Now

$$j = \frac{(\xi_1 - \xi_2)(\bar{\xi}_1 - \bar{\xi}_2) + (\eta_1 - \eta_2)^2}{\eta_1 \eta_2} + 2,$$

that is

$$(\xi_1 - \xi_2)(\bar{\xi}_1 - \bar{\xi}_2) + \left(\eta_2 - \frac{j}{2}\eta_1\right)^2 = \frac{j^2 - 4}{4}\eta_1^2.$$

Hence \mathcal{P}_2 lies on a sphere Σ of centre

$$Q: \left(\xi_1, \frac{j}{2}\eta_1\right)$$

and radius

$$\rho = \frac{\sqrt{j^2 - 4}}{2}\eta_1.$$

The lowest point of this sphere is of height

$$\frac{j - \sqrt{j^2 - 4}}{2}\eta_1 = \frac{2\eta_1}{j + \sqrt{j^2 - 4}} < \eta_1 \leq \eta_2,$$

thus of smaller height than \mathcal{P}_2 .

If now, firstly, \mathcal{P}_2 is an inner point of Φ , then there exist points $\mathcal{P}_2^0 : (\xi_2^0, \eta_2^0)$ on Σ , lying still in Φ but of height

$$\eta_2^0 < \eta_2.$$

Let $f_2^0(x, y) \longleftrightarrow \mathcal{P}_2^0$. Then f_1, f_2^0 form a pair of invariant j such that

$$M(f_1, f_2^0) = M(f_1) = M(f_1, f_2), \text{ but } M(f_2^0) > M(f_1).$$

Hence, by Theorem 3, there are two forms f_1^*, f_2^* of invariant j for which

$$M(f_1^*, f_2^*) > M(f_1, f_2),$$

contrary to the hypothesis.

Assume, secondly, that \mathcal{P}_2 lies on the boundary of Φ , but not on S ; this means that \mathcal{P}_2 lies on that part of the boundary of Φ which is defined by the formulae

$$R(\xi) = \mp \frac{1}{2}, \quad |I(\xi)| \leq \frac{1}{2}, \quad \xi\bar{\xi} + \eta^2 > 1, \quad \eta > 0 \quad (40)$$

or

$$|R(\xi)| \leq \frac{1}{2}, \quad I(\xi) = \mp \frac{1}{2}, \quad \xi\bar{\xi} + \eta^2 > 1, \quad \eta > 0.$$

The sphere Σ passes through \mathcal{P}_2 and contains points of smaller height. If at least one of these points of smaller height lies in Φ , then a contradiction is obtained as in the first case.

Assume therefore that all points of Σ which have smaller height than \mathcal{P}_2 , lie outside Φ . Then there exists a transformation

$$\xi' = \xi + \beta, \quad \eta' = \eta \quad (\beta = \mp 1 \text{ or } \beta = \mp i), \quad (41)$$

which

a) changes \mathcal{P}_2 into a point \mathcal{P}'_2 of equal height, also on the boundary (40) of Φ ;

b) changes Σ into a congruent sphere Σ' through \mathcal{P}'_2 , containing at least one point \mathcal{P}^*_2 in Φ arbitrarily near to \mathcal{P}'_2 but of smaller height.

Let (41) further transform \mathcal{P}_1 into \mathcal{P}'_1 , and denote by

$$f'_1(x, y) \longleftrightarrow \mathcal{P}'_1, \quad f^*_2(x, y) \longleftrightarrow \mathcal{P}^*_2$$

the forms of representatives $\mathcal{P}'_1, \mathcal{P}^*_2$. Then f'_1, f^*_2 form a pair of invariant j for which

$$M(f'_1, f^*_2) = M(f'_1) = M(f_1) = M(f_1, f_2), \quad \text{but } M(f^*_2) > M(f'_1),$$

and so a contradiction is obtained as in the first case.

§ 6. The reciprocity theorem.

Theorem 7. *To every critical pair f_1, f_2 of invariant j there exists a second critical pair f'_1, f'_2 of invariant \bar{j} such that if*

$$\mathcal{P}'_1 : (\xi'_1, \eta'_1), \quad \mathcal{P}'_2 : (\xi'_2, \eta'_2), \quad \mathcal{P}'_I : (\xi'_I, \eta'_I)$$

are the representatives of f'_1, f'_2 and of a suitable reduced form equivalent to f'_1 , then

$$\xi'_1 = \frac{\bar{\xi}_1}{\xi_1 \bar{\xi}_1 + \eta_1^2}, \quad \eta'_1 = \frac{\eta_1}{\xi_1 \bar{\xi}_1 + \eta_1^2}, \quad \xi'_1 \bar{\xi}'_1 + \eta'^2_1 = \frac{1}{\xi_1 \bar{\xi}_1 + \eta_1^2}, \quad (42)$$

$$\xi'_2 = \bar{\xi}_2, \quad \eta'_2 = \eta_2, \quad \xi'_2 \bar{\xi}'_2 + \eta'^2_2 = 1, \quad (43)$$

$$\xi'_I = \xi_I, \quad \eta'_I = \eta_I, \quad \xi'_I \bar{\xi}'_I + \eta'^2_I = 1. \quad (44)$$

Proof. Put

$$f'_1(x', y') = f_1(y', -x'), \quad f'_2(x', y') = f_2(y', -x'), \quad (45)$$

so that f'_1, f'_2 is a pair of invariant j . Since $(f'_1, f'_2) \sim (f_1, f_2)$, by (23),

$$M(f'_1, f'_2) = M(f_1, f_2) = m(j);$$

and so f'_1, f'_2 also form a critical pair. By Theorem 6,

$$\xi_2 \bar{\xi}_2 + \eta_2^2 = 1.$$

Hence (42) and (43) follow at once from (6) and (45). Further

$$f'_1 \sim f_I, \quad f_1 \sim f_I, \quad \text{hence } f'_1 \sim f_I,$$

and so we take f_I as the reduced form equivalent to f'_1 , i.e. $\mathcal{P}'_I = \mathcal{P}_I$.

Remarks. a) The relation between f_1, f_2 and f'_1, f'_2 is evidently reciprocal. b) If \mathcal{P}_1 lies inside the unit sphere, then \mathcal{P}'_1 lies outside. c) If \mathcal{P}_1 or \mathcal{P}_2 lies on one of the boundary planes

$$R(\xi) = \mp \frac{1}{2} \text{ or } I(\xi) = \mp \frac{1}{2}$$

of Φ , then so does \mathcal{P}'_1 or \mathcal{P}'_2 .

§ 7. *The characteristic property of a critical pair.* We now show a property of the critical pairs, by means of which we shall be able to determine these, and so find the value of $m(j)$.

Theorem 8. *For a critical pair f_1, f_2 of invariant j , both \mathcal{P}_1 and \mathcal{P}_2 lie on the circles of intersection of the unit sphere U ,*

$$\xi \bar{\xi} + \eta^2 = 1,$$

with the four planes,

$$R(\xi) = \mp \frac{1}{2}, \quad I(\xi) = \mp \frac{1}{2}. \quad (46)$$

Proof. For reasons of symmetry, it again suffices to prove the assertion for the point $\mathcal{P}_2: (\xi_2, \eta_2)$. We apply the indirect method and assume that \mathcal{P}_2 lies on none of the planes (46); the same is therefore also true for the point $\mathcal{P}'_2: (\bar{\xi}_2, \eta_2)$. From this assumption, we shall derive a contradiction.

By Theorem 7 and the remarks to this theorem, we may further suppose, without loss of generality, that \mathcal{P}_1 is not an inner point of the unit sphere. For otherwise we only have to replace f_1, f_2 by f'_1, f'_2 as defined in the proof of the last theorem, in order to satisfy this condition.

As we proved in § 5, \mathcal{P}_2 lies on the sphere Σ with centre at

$$Q: \left(\xi_1, \frac{j}{2} \eta_1 \right),$$

and of radius

$$\rho = \frac{\sqrt{j^2 - 4} \eta_1}{2}.$$

Since by $j > 2$,

$$\xi_1 \bar{\xi}_1 + \left(\frac{j}{2} \eta_1 \right)^2 > \xi_1 \bar{\xi}_1 + \eta_1^2 \geq 1,$$

Q lies outside the unit sphere. Denote by C the circle of intersection of the two spheres U and Σ . Hence \mathcal{P}_2 is the lowest point of C . It is even the lowest point of Σ inside Φ . For if there were a point \mathcal{P}'_2 of Σ in Φ of smaller height than \mathcal{P}_2 , then for $f'_2(x, y) \longleftrightarrow \mathcal{P}'_2$,

$$M(f_1, f'_2) = M(f_1, f_2), \quad \text{but} \quad M(f'_2) > M(f_1)$$

and we should get a contradiction.

The line A from Q to the centre $(0, 0)$ of U passes through the centre of C ; the plane Π through A and \mathcal{P}_2 is perpendicular to the plane $\eta = 0$. Hence there is at least one point N on A such that the line through N and \mathcal{P}_2 is perpendicular to $\eta = 0$.

Let K be the cone generated by the lines from N to all

points of C . Then Q lies in that part Ω of the upper half-space P which is bounded by K and the plane through C . For otherwise P_2 would not be the lowest point in Φ of the circle of intersection of Σ with Π , and so, even more, not the lowest point of Σ in Φ .

Hence, if L is the line through Q perpendicular to the plane $\eta = 0$, then this line intersects U in one point R such that R lies on the smaller arc of the greatest circle which connects the centre of C with P_2 . In general, R will be of greater height than P_2 , since P_2 is the lowest point of C , and so of smaller height than the centre of C . By the definition of Q , P_1 lies on that segment of L which connects Q with R . Hence

$$\eta_1 > \eta_2.$$

This, however, is impossible by (39), since

$$\eta_1 = \eta_2, \quad \text{therefore } \eta_1 \leq \eta_1 \leq \eta_2.$$

There is only one exceptional case, in which R need not be of greater height than P_2 , but may be of equal height. This happens when P_2 is the highest point $(0, 1)$ of U , and when, at the same time, Σ just touches U at the point P_2 . The cone K then degenerates into the line $\xi = 0$, and for N may be taken any point of $\xi = 0$ of greater height than P_2 . Now R coincides with P_2 ; therefore $\eta_1 \geq \eta_2$, with equality only for $P_1 = P_2$, that is when f_1 and f_2 are identical. But this case had been excluded.

Hence our original assumption leads in all cases to a contradiction, and so the theorem must be true.

CHAPTER 2

THE EVALUATION OF $m(j)$

§ 8. *The algebraic formulation of the problem.* By means of Theorem 8, we now obtain a simple rule for finding all reduced critical pairs $f_1(x, y)$, $f_2(x, y)$ of invariant j ; the non-reduced ones are easily derived from these by applying an arbitrary Picard transformation.

Since the pair f_1, f_2 is reduced, the second form f_2 is reduced; the first form f_1 need not be reduced. Then let

$$x = ax' + \beta y', \quad y = \gamma x' + \delta y', \quad a\delta - \beta\gamma = 1, \quad (47)$$

or in symbolic form,

$$(x, y) = \Omega(x', y'), \quad \Omega = \begin{pmatrix} a & \beta \\ \gamma & \delta \end{pmatrix},$$

be a Picard transformation which changes $f_1(x, y)$ into a reduced form

$$f_I(x', y') = a_1 x' \bar{x}' + b_1 \bar{x}' y' + \bar{b}_1 x' \bar{y}' + c_1 y' \bar{y}'. \quad (48)$$

Denote further, as before, by

$$\mathcal{P}_1 : (\xi_1, \eta_1), \quad \mathcal{P}_2 : (\xi_2, \eta_2), \quad \mathcal{P}_I : (\xi_I, \eta_I)$$

the representatives of these three forms f_1, f_2, f_I . Then by Theorem 3,

$$\xi_2 \bar{\xi}_2 + \eta_2^2 = 1, \quad \text{and either } R(\xi_2) = \mp \frac{1}{2} \text{ or } I(\xi_2) = \mp \frac{1}{2};$$

$$\xi_I \bar{\xi}_I + \eta_I^2 = 1, \quad \text{and either } R(\xi_I) = \mp \frac{1}{2} \text{ or } I(\xi_I) = \mp \frac{1}{2}.$$

Further by (14) and Theorem 4,

$$\eta_2 = \eta_I = \eta \quad (49)$$

say, where by (10) and Theorem 1,

$$\frac{1}{\sqrt{2}} \leq \eta \leq 1. \quad (50)$$

The two points \mathcal{P}_2 and \mathcal{P}_I are therefore of the form,

$$\mathcal{P}_2 : \left(i^m \left[\zeta + \frac{i\mu}{2} \right], \eta \right), \quad \mathcal{P}_I : \left(i^n \left[\zeta + \frac{i\nu}{2} \right], \eta \right) \quad (51)$$

where ζ is an non-negative number such that

$$\left(\frac{1}{2}\right)^2 + \eta^2 + \zeta^2 = 1, \quad \text{i.e. } \zeta = + \sqrt{\frac{3}{4} - \eta^2}, \quad (52)$$

and where further

$$m = 0, 1, 2, \text{ or } 3; \quad n = 0, 1, 2, \text{ or } 3; \quad \mu = \mp 1; \quad \nu = \mp 1$$

Since f_1, f_2 is a critical pair,

$$\eta^{-1} = M(f_1, f_2) = m(j). \quad (53)$$

The single condition (52) does not yet determine η and ζ ; we need a second equation for this purpose. This equation is contained in the condition that the simultaneous invariant of f_1 and f_2 has the value j . We obtain it in a symmetric form by applying the following method:

The Picard transformation (47) has the inverse,

$$(x', y') = \Omega^{-1}(x, y), \quad \text{where } \Omega^{-1} = \begin{pmatrix} \delta & -\beta \\ -\gamma & \alpha \end{pmatrix}. \quad (54)$$

Hence the coefficients of

$$f_1(x, y) = a_1 x \bar{x} + b_1 \bar{x} y + \bar{b}_1 x \bar{y} + c_1 y \bar{y} \quad (55)$$

are given by

$$\begin{aligned} a_1 &= a_1 \delta \bar{\delta} - b_1 \gamma \bar{\delta} - \bar{b}_1 \delta \bar{\gamma} + c_1 \gamma \bar{\gamma}, \\ -b_1 &= a_1 \beta \bar{\delta} - b_1 \alpha \bar{\delta} - \bar{b}_1 \beta \bar{\gamma} + c_1 \alpha \bar{\gamma}, \\ c_1 &= a_1 \beta \bar{\beta} - b_1 \alpha \bar{\beta} - \bar{b}_1 \beta \bar{\alpha} + c_1 \alpha \bar{\alpha}. \end{aligned} \quad (56)$$

On substituting these values in

$$j = a_1 c_2 - b_1 \bar{b}_2 - \bar{b}_1 b_2 + c_1 a_2,$$

we find

$$j = \begin{array}{c|c|c|c|c} a & \beta & \gamma & \delta & \\ \hline a_2 c_1 & -a_2 \bar{b}_1 & -b_2 c_1 & b_2 \bar{b}_1 & \bar{a} \\ \hline -a_2 b_1 & a_2 a_1 & b_2 b_1 & -b_2 a_1 & \bar{\beta} \\ \hline -\bar{b}_2 c_1 & \bar{b}_2 \bar{b}_1 & c_2 c_1 & -c_2 \bar{b}_1 & \bar{\gamma} \\ \hline \bar{b}_2 b_1 & -\bar{b}_2 a_1 & -c_2 b_1 & c_2 a_1 & \bar{\delta} \end{array}, \quad (57)$$

where the symbol on the right-hand side stands in an obvious manner for the quaternary Hermitean form

$$a_2 c_1 a \bar{a} + a_2 a_1 \bar{\beta} \bar{\beta} + \dots - a_2 \bar{b}_1 \beta \bar{a} - a_2 b_1 \alpha \bar{\beta} + \dots$$

By the results of the first chapter

$$a_2 = c_2 = \frac{1}{\eta}, \quad b_2 = \frac{\xi_2}{\eta}; \quad a_1 = c_1 = \frac{1}{\eta} b, \quad \xi_1 = \frac{\xi_1}{\eta}; \quad (58)$$

hence (57) can also be written as

$$\eta^2 j = \begin{array}{c|c|c|c|c} a & \beta & \gamma & \delta & \\ \hline 1 & -\bar{\xi}_1 & -\xi_2 & \xi_2 \bar{\xi}_1 & \bar{a} \\ \hline -\xi_1 & 1 & \xi_2 \xi_1 & -\xi_2 & \bar{\beta} \\ \hline -\bar{\xi}_2 & \bar{\xi}_2 \bar{\xi}_1 & 1 & -\bar{\xi}_1 & \bar{\gamma} \\ \hline \bar{\xi}_2 \xi_1 & -\bar{\xi}_2 & -\xi_1 & 1 & \bar{\delta} \\ \hline \end{array}, = \Phi(a, \beta, \gamma, \delta | \xi_2, \xi_1) \quad (59)$$

say. On substituting the values

$$\xi_2 = i^m \left(\zeta + \frac{i\mu}{2} \right), \quad \xi_1 = i^n \left(\zeta + \frac{i\nu}{2} \right), \quad \eta^2 = \frac{3}{4} - \zeta^2 \quad (60)$$

from (51) and (52) into (59), we obtain a quadratic equation:

$$\left(\frac{3}{4} - \zeta^2 \right) j = \Phi \left(a, \beta, \gamma, \delta \left| i^m \left(\zeta + \frac{i\mu}{2} \right), i^n \left(\zeta + \frac{i\nu}{2} \right) \right. \right) \quad (61)$$

for ζ , which determines ζ as a function of

$$j, a, \beta, \gamma, \delta, m, n, \mu, \nu.$$

By (50), this equation has a root ζ such that

$$0 \leq \zeta \leq \frac{1}{2}. \quad (62)$$

When ζ has thus been found, then ξ_2, ξ_1, η and so the pair f_1, f_2 of invariant j are determined from (60). In particular,

$$M(f_1, f_2) = \frac{1}{\eta} = \frac{1}{\sqrt{\frac{3}{4} - \zeta^2}}.$$

This result now leads to the following rule for the determination of all reduced critical pairs f_1, f_2 of invariant j :

Rule: Solve the quadratic equations (61) for all matrices $\Omega = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ in $J(i)$ of determinant 1, and for all values of $m, n = 0, 1,$

2, 3; $\mu, \nu = \mp 1$. Retain only those equations which have a solution ζ satisfying (62)⁶. Finally omit all equations except only those in which ζ assumes the maximum value ζ_{max} . Then the corresponding pairs f_1, f_2 as defined above, are critical and there are no other critical pairs; further the maximum $m(j)$ is given by the equation

$$m(j) = \frac{1}{\sqrt{\frac{3}{4} - \zeta_{max}^2}}. \quad (63)$$

It will be our aim in the next paragraphs to simplify this rule and to bring it into a practicable form for the computation of $m(j)$.

§ 9. *The extended group Γ^* .* The rule in § 8 can be simplified if Γ is replaced by a larger group, which is also due to PICARD.

Denote by Γ^* the group of all linear transformations

$$(x, y) = \Omega(x', y'), \quad \Omega = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$$

of determinant

$$|\Omega| = \alpha\delta - \gamma\beta = \iota,$$

where $\iota = \mp 1$ or $\mp i$ is any unit in $K(i)$. Hence Γ is a subgroup of Γ^* of index 4.

If the form $f(x, y)$ is changed into the new form

$$f'(x', y') = a'x'\bar{x}' + b'\bar{x}'y' + \bar{b}'x'\bar{y}' + c'y'\bar{y}'$$

by the transformation $(x, y) = \Omega(x', y')$ in Γ^* , then f and f' are called Γ^* -equivalent; we write in symbols,

$$f \approx f'.$$

By the relation $f \longleftrightarrow \mathcal{P}$, Γ^* induces in the upper half-space

⁶) We shall see in the next paragraphs that only a finite number of equations are of this kind.

P a group of transformations. These transformations are given by the same formulae (6), (7), as those of Γ , except that now $\alpha\delta - \beta\gamma$ may be any unit in $K(i)$.

To every form, there exist *four* Γ^* -equivalent *reduced* forms; these are interchanged by the group of four elements

$$x = x', \quad y = i^g y' \quad (g = 0, 1, 2, 3) \quad (64)$$

of Γ^* . In P , the induced automorphisms of the reduced space take the form,

$$\xi' = i^g \xi, \quad \eta' = \eta \quad (g = 0, 1, 2, 3). \quad (65)$$

Hence to every form f there exists a Γ^* -equivalent form f' such that

$$0 \leq R\left(\frac{b'}{a'}\right) \leq \frac{1}{2}, \quad 0 \leq I\left(\frac{b'}{a'}\right) \leq \frac{1}{2}, \quad 0 < a' \leq c' \quad (66)$$

The analogous formulae for the representative \mathcal{P}' : (ξ', η') of f' are

$$0 \leq R(\xi') \leq \frac{1}{2}, \quad 0 \leq I(\xi') \leq \frac{1}{2}, \quad \xi' \bar{\xi}' + \eta'^2 \geq 1, \quad \eta' > 0. \quad (67)$$

Forms or points satisfying these inequalities are called *strongly reduced*. There is in general just *one* strongly reduced form Γ^* -equivalent to every given form; if, however, at least *one* equality sign holds in (66), then there is more than one form of this kind.

Theorem 9. *Let $0 \leq \zeta \leq \frac{1}{2}$, $\eta > 0$, $\eta^2 + \zeta^2 = \frac{3}{4}$. Then the eight reduced points*

$$\left(i^l \left[\zeta + \frac{i\lambda}{2} \right], \eta \right) \quad (l = 0, 1, 2, 3; \lambda = \mp 1)$$

are Γ^ -equivalent.*

Proof. By the four transformations (65), the eight points are Γ^* -equivalent to the two points

$$\left(\zeta + \frac{i}{2}, \eta \right), \quad \left(\frac{1}{2} + i\zeta, \eta \right).$$

Since $(\frac{1}{2} + i\zeta) = 1 + i(\zeta + i/2)$, these two points are also Γ^* -equivalent.

The determinant of *one* positive definite Hermitean form, and the simultaneous invariant of *two* such forms, are unchanged when transformations in Γ^* are applied; also the equations (56) remain valid for transformations $(x, y) = \Omega(x', y')$ in Γ^* . Hence the other formulae in § 8 also hold under this more general assumption.

We may therefore change the method, so far used, in the following manner:

We assume, in agreement with the Theorems 8 and 9, that the critical pair f_1, f_2 of invariant j has been chosen such that the representatives $\mathcal{P}_2, \mathcal{P}_1$ coincide in the same point

$$\mathcal{P}_2 = \mathcal{P}_1 = \mathcal{P} : \left(\zeta + \frac{i}{2}, \eta \right), \text{ where } 0 \leq \zeta \leq \frac{1}{2}, \eta > 0, \eta^2 + \zeta^2 = \frac{3}{4}; \quad (68)$$

on the other hand, we allow the transformation $(x, y) = \Omega(x', y')$ which changes f_1 into f_1 , to be an element of Γ^* . Then we obtain the new

Rule: Solve the quadratic equations

$$\left(\frac{3}{4} - \zeta^2\right) j = \Phi\left(a, \beta, \gamma, \delta \mid \zeta + \frac{i}{2}, \zeta + \frac{i}{2}\right) \quad (69)$$

for all matrices $\Omega = \begin{pmatrix} a & \beta \\ \gamma & \delta \end{pmatrix}$ in $J(i)$ of determinant $\iota = \mp 1$ or $= \mp i$. Retain only those equations which have a root ζ in the interval $0 \leq \zeta \leq \frac{1}{2}$, and of these equations omit all except those whose root ζ assumes the maximum value ζ_{max} . Then the corresponding pairs f_1, f_2 of invariant j and obtained from the representatives (68) are critical, and there are no other critical pairs; further the maximum $m(j)$ is given by (63).

§ 10. *Properties of $\Phi(a, \beta, \gamma, \delta \mid \xi_2, \xi_1)$.* In order to discuss the equations (69), it is useful to study the general quarter-

nary Hermitean form

$$\Phi(a, \beta, \gamma, \delta | \xi_2, \xi_1) = \begin{array}{c|ccc|c} & a & \beta & \gamma & \delta & \\ \hline & 1 & -\bar{\xi}_1 & -\xi_2 & \xi_2 \bar{\xi}_1 & \bar{a} \\ \hline & -\xi_1 & 1 & \xi_2 \xi_1 & -\xi_2 & \bar{\beta} \\ \hline & -\bar{\xi}_2 & \bar{\xi}_2 \bar{\xi}_1 & 1 & -\bar{\xi}_1 & \bar{\gamma} \\ \hline & \bar{\xi}_2 \xi_1 & -\bar{\xi}_2 & -\xi_1 & 1 & \bar{\delta} \\ \hline \end{array} \quad (70)$$

It is not difficult to verify that this form has the following symmetry properties:

Theorem 10. *The function $\Phi(a, \beta, \gamma, \delta | \xi_2, \xi_1)$ remains unchanged when its six arguments*

$$a, \quad \beta, \quad \gamma, \quad \delta, \quad \xi_2, \quad \xi_1$$

are, in this order, replaced by ⁷⁾

$$\begin{array}{l} a, \quad \gamma, \quad \beta, \quad \delta, \quad \bar{\xi}_1, \quad \bar{\xi}_2; \\ \text{or} \quad \beta, \quad a, \quad \delta, \quad \gamma, \quad \xi_2, \quad \bar{\xi}_1; \\ \text{or} \quad \beta, \quad \delta, \quad a, \quad \gamma, \quad \xi_1, \quad \bar{\xi}_2; \\ \text{or} \quad \gamma, \quad a, \quad \delta, \quad \beta, \quad \bar{\xi}_1, \quad \xi_2; \\ \text{or} \quad \gamma, \quad \delta, \quad a, \quad \beta, \quad \bar{\xi}_2, \quad \xi_1; \\ \text{or} \quad \delta, \quad \beta, \quad \gamma, \quad a, \quad \xi_1, \quad \xi_2; \\ \text{or} \quad \delta, \quad \gamma, \quad \beta, \quad a, \quad \bar{\xi}_2, \quad \bar{\xi}_1. \end{array}$$

A further important property of Φ is given by

Theorem 11. *If $|\xi_2| < 1$, $|\xi_1| < 1$, then $\Phi(a, \beta, \gamma, \delta | \xi_2, \xi_1)$ is a positive definite Hermitean form of a, β, γ, δ .*

⁷⁾ The variables may be changed in many other ways so as to leave Φ invariant; we may, for instance, replace

$$\begin{array}{l} a, \quad \beta, \quad \gamma, \quad \delta, \quad \xi_2, \quad \xi_1; \\ \text{by} \quad \bar{a}, \quad \bar{\beta}, \quad \bar{\gamma}, \quad \bar{\delta}, \quad \bar{\xi}_2, \quad \bar{\xi}_1; \\ \text{or} \quad ia, \quad \beta, \quad \gamma, \quad -i\delta, \quad i\xi_2, \quad -i\xi_1; \\ \text{or} \quad ia, \quad i\beta, \quad \gamma, \quad \delta, \quad i\xi_2, \quad \xi_1. \end{array}$$

In all these cases, $a\delta - \beta\gamma$ is only multiplied by a unit in $K(i)$.

P r o o f. The assertion follows immediately from the identity,

$$\Phi = |a - \bar{\xi}_1 \beta - \xi_2 \gamma + \xi_2 \bar{\xi}_1 \delta|^2 + (1 - \xi_1 \bar{\xi}_1) |\beta - \xi_2 \delta|^2 + (1 - \xi_2 \bar{\xi}_2) |\gamma - \bar{\xi}_1 \delta|^2 + (1 - \xi_2 \bar{\xi}_2) (1 - \xi_1 \bar{\xi}_1) |\delta|^2. \quad (71)$$

From Theorem 11, we can now deduce that only a finite number of equations (69) is solvable in the interval $0 \leq \zeta \leq \frac{1}{2}$:

T h e o r e m 12. *Assume that the equation*

$$\left(\frac{3}{4} - \zeta^2\right) j = \Phi\left(a, \beta, \gamma, \delta \left| \zeta + \frac{i}{2}, \zeta + \frac{i}{2} \right.\right)$$

has a solution in the interval $0 \leq \zeta \leq \frac{1}{2}$. Then

$$\max(|a|, |\beta|, |\gamma|, |\delta|) \leq \sqrt{2}j. \quad (72)$$

P r o o f. From (71),

$$\begin{aligned} \Phi\left(a, \beta, \gamma, \delta \left| \zeta + \frac{i}{2}, \zeta + \frac{i}{2} \right.\right) &\geq \\ &\geq \left\{1 - \left(\zeta + \frac{i}{2}\right)\left(\zeta - \frac{i}{2}\right)\right\}^2 |\delta|^2 = \left(\frac{3}{4} - \zeta^2\right) |\delta|^2, \end{aligned}$$

hence by Theorem 10,

$$\begin{aligned} \Phi\left(a, \beta, \gamma, \delta \left| \zeta + \frac{i}{2}, \zeta + \frac{i}{2} \right.\right) &\geq \\ &\geq \left(\frac{3}{4} - \zeta^2\right)^2 \max(|a|^2, |\beta|^2, |\gamma|^2, |\delta|^2). \quad (73) \end{aligned}$$

Therefore, if $0 \leq \zeta \leq \frac{1}{2}$, then (69) implies

$$\begin{aligned} j &= \frac{\Phi\left(a, \beta, \gamma, \delta \left| \zeta + \frac{i}{2}, \zeta + \frac{i}{2} \right.\right)}{\frac{3}{4} - \zeta^2} \geq \\ &\geq \left(\frac{3}{4} - \zeta^2\right) \max(|a|^2, |\beta|^2, |\gamma|^2, |\delta|^2) \geq \\ &\geq \frac{1}{2} \max(|a|^2, |\beta|^2, |\gamma|^2, |\delta|^2) \end{aligned}$$

and the assertion follows at once.

By means of Theorem 12, we may now express the rule for the determination of $m(j)$ in the following final form:

Rule H: Solve the quadratic equations

$$\left(\frac{3}{4} - \zeta^2\right) j = \Phi\left(a, \beta, \gamma, \delta \left| \zeta + \frac{i}{2}, \zeta + \frac{i}{2}\right.\right)$$

for all matrices $\Omega = \begin{pmatrix} a & \beta \\ \gamma & \delta \end{pmatrix}$ in $J(i)$ of determinant $\iota = \mp 1$ or $= \mp i$, and with elements satisfying

$$\max (|a|, |\beta|, |\gamma|, |\delta|) \leq \sqrt{2j}.$$

Retain only those equations which have a solution ζ in $0 \leq \zeta \leq \frac{1}{2}$ of maximum value ζ_{max} . Then

$$m(j) = \left(\frac{3}{4} - \zeta_{max}^2\right)^{-\frac{1}{2}},$$

and all critical pairs are found from their representatives (68).

§ 11. *The numerical value of $m(j)$.* We first show that the equation (69) does not reduce to an identity. For otherwise we should have

$$-j = a\bar{\delta} + \bar{a}\delta + \beta\bar{\gamma} + \bar{\beta}\gamma,$$

$$0 = (\beta + \gamma)(\bar{a} + \bar{\delta}) + (\bar{\beta} + \bar{\gamma})(a + \delta) + i(\beta\bar{\gamma} - \bar{\beta}\gamma),$$

and $\frac{3}{4}j = a\bar{a} + \beta\bar{\beta} + \gamma\bar{\gamma} + \delta\bar{\delta} +$

$$+ \frac{i}{2}\{(\beta - \gamma)(\bar{a} + \bar{\delta}) - (\bar{\beta} - \bar{\gamma})(a + \delta)\} + \frac{1}{4}(a\bar{\delta} + \bar{a}\delta - \beta\bar{\gamma} - \bar{\beta}\gamma).$$

Hence

$$3(a\bar{\delta} + \bar{a}\delta + \beta\bar{\gamma} + \bar{\beta}\gamma) + 4(a\bar{a} + \beta\bar{\beta} + \gamma\bar{\gamma} + \delta\bar{\delta}) + 2i(\beta - \gamma)(\bar{a} + \bar{\delta}) - 2i(\bar{\beta} - \bar{\gamma})(a + \delta) + a\bar{\delta} + \bar{a}\delta - \beta\bar{\gamma} - \bar{\beta}\gamma = 0. \quad (74)$$

Now put

$$\begin{aligned} a &= a_1 + ia_2, & \beta &= b_1 + ib_2, \\ \gamma &= c_1 + ic_2, & \delta &= d_1 + id_2, \end{aligned} \quad (75)$$

so that (74) takes the form

$$\begin{aligned} & (a_1 + d_1)^2 + (a_2 + d_2)^2 + \frac{1}{2} (a_1 - b_2)^2 + \frac{1}{2} (b_2 - d_1)^2 + \\ & + (c_1 - a_2)^2 + \frac{1}{2} (c_1 - d_2)^2 + \frac{1}{2} (a_1 + c_2)^2 + \frac{1}{2} (c_2 + d_1)^2 + \\ & + \frac{1}{2} (a_2 + b_1)^2 + \frac{1}{2} (b_1 + d_2)^2 + \frac{1}{2} (b_2 + c_2)^2 + \frac{1}{2} (b_1 + c_1)^2 + \\ & + \frac{1}{2} \{b_1^2 + b_2^2 + c_1^2 + c_2^2\} = 0. \end{aligned} \quad (76)$$

Since $a_1, a_2, b_1, b_2, c_1, c_2, d_1, d_2$ are all rational integers (hence real), all terms in (76) vanish, and so finally, $a_1, a_2, b_1, b_2, c_1, c_2, d_1, d_2$ are all zero, contrary to the condition

$$|a\delta - \beta\gamma| = 1.$$

Further computation according to Rule H is simplified by observing that the function $\Phi(a, \beta, \gamma, \delta | \zeta + \frac{1}{2}, \zeta + \frac{1}{2})$ remains unchanged when

$$a, \quad \beta, \quad \gamma, \quad \delta,$$

in this order are replaced by

$$-a, \quad -\beta, \quad -\gamma, \quad -\delta,$$

$$\text{or} \quad ia, \quad i\beta, \quad i\gamma, \quad id,$$

$$\text{or} \quad \delta, \quad \beta, \quad \gamma, \quad a,$$

$$\text{or} \quad \bar{a}, \quad \bar{\gamma}, \quad \bar{\beta}, \quad \bar{\delta}.$$

Also the equation (69) can be written as

$$\psi(\zeta; a, \beta, \gamma, \delta) = j, \quad (77)$$

where

$$\psi(\zeta; a, \beta, \gamma, \delta) = \frac{\Phi(a, \beta, \gamma, \delta | \zeta + \frac{1}{2}, \zeta + \frac{1}{2})}{(\frac{3}{4} - \zeta^2)}.$$

Using the result of Theorem 11, it is easily seen that ψ is positive for $0 \leq \zeta \leq \frac{1}{2}$.

We consider only the interval $2 \leq j \leq 6$ for j . We have to find all matrices $\Omega = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ in $J(i)$ of determinant $\iota = \mp 1$ or $\iota = \mp i$, where $\max(|\alpha|, |\beta|, |\gamma|, |\delta|) \leq \sqrt{2j}$, for which ψ assumes values between 2 and 6 for suitable values of ζ satisfying $0 \leq \zeta \leq \frac{1}{2}$. A discussion which is somewhat laborious and in which more than three hundred matrices have to be considered leads to the following table:

TABLE OF ALL FUNCTIONS ψ WHICH REPRESENT j FOR $2 \leq j \leq 6$ (Cont.).

$\psi(\frac{1}{2})$	$(3/4 - \zeta^2)$	$\psi(\zeta; \alpha, \beta, \gamma, \delta)$	α	β	γ	δ	α	β	γ	δ	α	β	γ	δ
6	$2 + 2\zeta$	{	0	i	1	0	0	i	-1	$1-i$	1	$-1+i$	$-i$	1
		{	1	-1	0	i								
	$5/2 + 2\zeta^2$	{	0	i	i	1	1	0	0	1	1	$2i$	0	1
		{	1	i	$-i$	2								
	$7/2 - 2\zeta^2$	{	0	1	-1	1	0	i	$-i$	$2+i$	1	$1+i$	0	-1
		{	1	$1-i$	0	-1	1	-1	$-i$	$-1+i$	1	1	$-i$	$-1-i$
		{	1	i	$1-2i$	$1+i$	1	i	$-1-2i$	$1-i$	0	1	$-i$	$2-i$
	$4 - 2\zeta$	{	0	i	1	2	0	1	i	$1-i$	1	i	$1-i$	$1+2i$
		{	1	i	2	i	1	$1+i$	i	-1	1	i	$1-i$	$1+2i$
		{	1	i	$-2i$	$2-i$	1	1	0	i	2	i	$1-2i$	$1+i$
	$5 - 2\zeta - 4\zeta^2$	{	1	1	$-1+i$	-1	1	$-1+i$	1	$-1+2i$	1	$1+i$	$-1-2i$	$1-2i$
		{	$1+i$	i	-1	$-1-i$	$1+i$	i	$1-2i$	$1-i$	1	$1+i$	$-1-2i$	$1-2i$
		{	1	$-1+2i$	1	$2i$	1	1	i	$-1+i$	1	$2i$	$1-i$	$1+2i$
	$11/2 - 4\zeta - 2\zeta^2$	{	$1+i$	$2-i$	-1	$1-2i$	0	i	1	$2+2i$	1	i	$1+i$	$-1+2i$
		{	0	1	i	$1+i$	0				1			
	$6 - 6\zeta$	{	$1+i$	$-1+2i$	$2-i$	$2+2i$	0	1	1	$2+i$	1	i	$2+i$	$2i$
		{	1	0	2	1	0	1	1	$2+i$	1	i	$2+i$	$2i$
	$13/2 - 8\zeta + 2\zeta^2$	{	1	i	$2-i$	$2+2i$					1	i	$2+i$	$2i$
		{	1	1	$1+i$	1	1	$1+i$	1	$1+2i$	1	$1+i$	$1-2i$	3
		{	$1+i$	1	$2-i$	$1-i$	$1+i$	i	3	$1+i$	1	$1+i$	$1-2i$	$1-2i$
		{	1	1	1	2	1	$2+i$	1	$1+i$	1	$1+i$	2	$1-2i$
	$15/2 - 12\zeta + 6\zeta^2$	{	1	$1+i$	$1-i$	3	2	$1+2i$	$1-2i$	3	1	$1+2i$	1	$2+2i$
		{	1	1	$2+i$	$1+i$	1	$1+i$	$1+i$	$1+2i$	1	$1+2i$	1	$2+2i$
		{	$1+i$	$1+2i$	$2-i$	3	$1+i$	$2+i$	1	$2-i$	1	$1+2i$	1	$2+2i$
	$19/2 - 16\zeta + 6\zeta^2$	{	1	$2+i$	$1-i$	3	1	1	2	$2+i$	1	$1+i$	$2+i$	$1+2i$
		{	$1+i$	$2+i$	1	2	2	$1+2i$	$2-i$	$2+2i$	3	$1+2i$	$2-2i$	$2+i$
	$10 - 18\zeta + 8\zeta^2$	{	3	$2+2i$	$2-i$	$2+i$	$1+i$	$1+2i$	$2+i$	$2+2i$	3	$1+2i$	$2-2i$	$2+i$
		{	3	$2+2i$	$2-i$	$2+i$	$1+i$	$1+2i$	$2+i$	$2+2i$	3	$1+2i$	$2+2i$	$2+i$
	$14 - 30\zeta + 16\zeta^2$	{	3	$2+2i$	$2-i$	$2+i$	$1+i$	$1+2i$	$2+i$	$2+2i$	3	$1+2i$	$2+2i$	$2+i$

This table has been arranged according to increasing values of $\psi(\frac{1}{2})$. For each such value $\psi(\frac{1}{2})$ it was moreover possible to arrange the rows according to increasing values of $(\frac{3}{4} - \zeta)^2 \psi$ for all values of ζ in $0 \leq \zeta \leq \frac{1}{2}$; e.g. in the first set $\frac{3}{2} - 2\zeta^2 \leq 2 - 2\zeta \leq \frac{3}{2} - 4\zeta + 2\zeta^2 \leq 3 - 6\zeta + 4\zeta^2$, if $0 \leq \zeta \leq \frac{1}{2}$.

Hence for a given value of j in $2 \leq j \leq 6$, the maximum $\zeta = \zeta_{max}$ belongs to one of those five equations

$$\psi(\zeta_{max}) = j \quad (78)$$

where the function $(\frac{3}{4} - \zeta^2) \psi$ is either at the beginning or at the end of one of the three sets of rows of the table ⁸⁾.

For given j with $2 \leq j \leq 6$, there is no difficulty in deciding which equation (78) has the root ζ_{max} . The result is contained in the following table, together with the value of $m(j) = (\frac{3}{4} - \zeta_{max}^2)^{-\frac{1}{2}}$ and the terms $\alpha, \beta, \gamma, \delta$ of the matrix Ω belonging to it. (See next page).

In this table, the numbers σ_k are defined by

$$\sigma_0 = 2, \quad \sigma_1 = 4, \quad \sigma_2 = 6,$$

and the numbers j_n by

$$j_1 = \sqrt{6} = 2.44\dots, \quad j_2 = \frac{49(46 + 45\sqrt{50})}{3582} = 4.98\dots$$

In the intervals No. 1—4, the functions ζ_{max} and $m(j)$ behave in the following manner:

ζ_{max} and $m(j)$ are both steadily decreasing in the intervals No. 1 and 3;

ζ_{max} and $m(j)$ are both steadily increasing in the intervals No. 2 and 4.

Further

$$\zeta_{max} = \frac{1}{2}, \quad , \quad m(j) = \sqrt{2} = 1.41\dots \quad \text{for } j = \sigma_0, \sigma_1, \sigma_2,$$

$$\zeta_{max} = 0.27\dots, \quad m(j) = 1.17\dots \quad \text{for } j = j_1,$$

and

$$\zeta_{max} = 0.4\dots, \quad m(j) = 1.3\dots \quad \text{for } j = j_2.$$

⁸⁾ The first polynomial $\frac{3}{2} - 2\zeta^2$ of the table can be omitted, because for it $\psi \equiv 2$ identically in ζ .

$m(j)$ for $2 \leq j \leq 6$

No.	Interval	$(\frac{3}{4} - \zeta^2) \psi$	ζ_{max}	$m(j) = (\frac{3}{4} - \zeta_{max}^2)^{-\frac{1}{2}}$	Critical pairs given by			
					α	β	γ	δ
1	$\sigma_0 \leq j \leq j_1$	$3 - 6\zeta + 4\zeta^2$	$\frac{6 - (3j^2 - 12)^{\frac{1}{2}}}{2(j+4)}$	$\frac{j+4}{\{6(j+1) + 3(3j^2 - 12)^{\frac{1}{2}}\}^{\frac{1}{2}}}$	1	1	$1 - i$	1
					$1 + i$	i	1	$1 + i$
2	$j_1 \leq j \leq \sigma_1$	$3/2 + 2\zeta^2$	$\left\{ \frac{3(j-2)^{\frac{1}{2}}}{4(j+2)} \right\}^{\frac{1}{2}}$	$\left\{ \frac{j+2}{3} \right\}^{\frac{1}{2}}$	0	1	1	0
					1	i	0	1
3	$\sigma_1 \leq j \leq j_2$	$21/2 - 24\zeta + 14\zeta^2$	$\frac{24 - (3j^2 - 12)^{\frac{1}{2}}}{2(j+14)}$	$\frac{j+14}{\{3(2+7j) + 12(3j^2 - 12)^{\frac{1}{2}}\}^{\frac{1}{2}}}$	$2 + i$	$1 + 2i$	2	$2 + i$
					2	$2 + i$	$2 - i$	2
4	$j_2 \leq j \leq \sigma_2$	$2 + 2\zeta$	$\frac{(3j^2 - 8j + 4)^{\frac{1}{2}} - 2}{2j}$	$\{2(j-1) - (3j^2 - 8j + 4)^{\frac{1}{2}}\}^{\frac{1}{2}}$	0	i	i	0
					0	i	-1	i
					1	$-1 + i$	$-i$	1
					1	-1	0	i

By the table, the graph of the function $m(j)$ is a saw-like curve for $2 \leq j \leq 6$. There would be no difficulty in extending this table to values of j beyond $\sigma_2 = 6$; but this work would be increasingly laborious.

The analogy between our result for two Hermitean forms and that for two quadratic forms ⁹⁾ is remarkable.

(Ingekomen 22-8-'46).

⁹⁾ Cf. K. MAHLER, „Lattice Points in Two-dimensional Star Domains III”, l.c. ¹⁾.