

ON THE FRACTIONAL PARTS OF THE POWERS  
OF A RATIONAL NUMBER (II)

K. MAHLER

1. About twenty years ago, in a note of the same title [2], I obtained the following result.

**THEOREM 1.** *Let  $u$  and  $v$  be relatively prime integers satisfying  $u > v \geq 2$  and let  $\epsilon$  be an arbitrarily small positive number. Suppose the inequality*

$$\left| \left( \frac{u}{v} \right)^n - (\text{nearest integer}) \right| < e^{-\epsilon n} \quad (1)$$

*is satisfied by an infinite sequence of positive integers  $n_1, n_2, \dots$ . Then*

$$\limsup_{r \rightarrow \infty} \frac{n_{r+1}}{n_r} = \infty.$$

The proof of this theorem was based on a method of Th. Schneider [6] as extended by myself [3]; see also a recent paper of Schneider [7].

It may be of interest to note that the new method of K. F. Roth [5] for studying the rational approximations to algebraic numbers enables one to replace Theorem 1 by the following much stronger result.

**THEOREM 2.** *Let  $u, v$  and  $\epsilon$  be as in Theorem 1. Then the inequality (1) is satisfied by at most a finite number of positive integers  $n$ .*

This result has a curious application in connection with the value of the number  $g(k)$  in Waring's Problem. This number is now known for  $k \geq 6$ , as a result of the work of several mathematicians (see Hardy and Wright [1], 337), but the formula for  $g(k)$  depends on whether  $B$  is less than or greater than  $2^k - A$ , where

$$A = \left[ \left( \frac{3}{2} \right)^k \right], \quad B = 3^k - 2^k A.$$

In the former case, we have  $g(k) = 2^k + A - 2$ , in the latter case there is a different result. It follows from Theorem 2 that the latter case can occur for at most a finite number of values of  $k$ ; for if  $B > 2^k - A$  we have

$$0 < (A+1) - \left( \frac{3}{2} \right)^k < \frac{A}{2^k} < \left( \frac{3}{4} \right)^k,$$

and thus (1) holds with  $u = 3$ ,  $v = 2$ ,  $\epsilon = \log \frac{4}{3}$ ,  $n = k$ .

It follows that, *except possibly for a finite number of values of  $k$ , we have*

$$g(k) = 2^k + \left[ \left( \frac{3}{2} \right)^k \right] - 2.$$

2. Roth's theorem states that if  $\vartheta$  is an irrational algebraic number, and if  $\gamma > 2$ , there are at most finitely many rational numbers  $p/q$  ( $q > 0$ ) satisfying the inequality

$$\left| \vartheta - \frac{p}{q} \right| < \frac{1}{q^\gamma}.$$

The proof actually remains valid if  $\vartheta$  is rational, provided only rational numbers  $p/q$  distinct from  $\vartheta$  are considered, though of course the result is then trivial.

The method of my paper [3], by which I formerly generalized Schneider's result, can be used to prove an analogous extension of Roth's result, and this has been carried through by Ridout [4]. He proves:

**THEOREM 3.** *Let  $\vartheta$  be any algebraic number other than 0; let  $P_1, \dots, P_s, Q_1, \dots, Q_t$  be finite sets of distinct primes; and let  $\alpha, \beta, \gamma, c$  be real numbers satisfying*

$$0 \leq \alpha \leq 1, \quad 0 \leq \beta \leq 1, \quad \gamma > \alpha + \beta, \quad c > 0. \tag{2}$$

Let  $p, q$  be restricted to be integers of the form

$$p = p^* P_1^{h_1} \dots P_s^{h_s}, \quad q = q^* Q_1^{k_1} \dots Q_t^{k_t},$$

where  $h_1, \dots, h_s, k_1, \dots, k_t$  are non-negative integers and  $p^*, q^*$  are integers satisfying

$$0 < |p^*| \leq cp^\alpha, \quad 0 < q^* \leq cq^\beta. \tag{3}$$

There exists a positive number  $C$  depending on  $\vartheta, \alpha, \beta, \gamma, c$  and the primes  $P_1, \dots, Q_1, \dots$ , such that, for all  $p$  and  $q$  of the above form, we have

$$\left| \vartheta - \frac{p}{q} \right| > \frac{C}{q^\gamma} \quad \text{provided} \quad \vartheta - \frac{p}{q} \neq 0. \tag{4}$$

3. We can now easily deduce Theorem 2 from Theorem 3, and even obtain a slightly more general result.

Let  $\vartheta$  be any positive algebraic number, and let  $u, v, \epsilon$  be as in Theorem 1. Put

$$\lambda = \frac{\log v}{\log u},$$

so that  $v = u^\lambda$  and  $0 < \lambda < 1$ . Let  $P_1, \dots, P_s$  be the distinct prime factors of  $v$  and  $Q_1, \dots, Q_t$  those of  $u$ . Take

$$\alpha = 1 - \lambda, \quad \beta = 0, \quad c = (2\vartheta)^\lambda + 1,$$

$$\gamma = 1 - \lambda + \frac{1}{2}\epsilon(\log u)^{-1} > \alpha + \beta.$$

Apply Theorem 3 with

$$p = p^* v^n, \quad q = u^n \quad (q^* = 1),$$

where  $p^*$  denotes the integer nearest to  $\vartheta(u/v)^n$ . This is permissible

because  $v^n$  is a product of powers of  $P_1, \dots, P_s$  and  $u^n$  is a product of powers of  $Q_1, \dots, Q_t$ . If  $n$  is sufficiently large, we have

$$0 < p^* < 2\vartheta(u/v)^n = 2\vartheta v^{n(1-\lambda)},$$

whence

$$0 < p^* < cp^{1-\lambda},$$

so that (3) is satisfied. Further,  $\vartheta(u/v)^n$  obviously cannot be an integer if  $n$  is sufficiently large. Hence (4) implies that

$$|\vartheta(u/v)^n - p^*| > (u/v)^n C u^{-\gamma n} = C \exp(-\frac{1}{2}\epsilon n).$$

Thus for all but a finite number of values of  $n$  we have

$$|\vartheta(u/v)^n - p^*| > e^{-\epsilon n}. \quad (5)$$

Theorem 2 is the case  $\vartheta = 1$ .

The conclusion would no longer hold if  $u/v$  were replaced by a suitable algebraic number, e.g. by  $\frac{1}{2}(1 + \sqrt{5})$ , and  $\vartheta$  were again taken to be 1. It would be of some interest to know which algebraic numbers have the same property as  $u/v$  in Theorem 2.

### References.

1. G. H. Hardy and E. M. Wright, *Introduction to the Theory of Numbers* (3rd ed., Oxford, 1954).
2. K. Mahler, *Acta Arithmetica*, 3 (1938), 89–93.
3. ———, *Proc. K. Akad. Wet. Amsterdam*, 39 (1936), 633–640 and 729–737.
4. D. Ridout, *Mathematika*, 4 (1957), 125–131.
5. K. F. Roth, *Mathematika*, 2 (1955), 1–20.
6. Th. Schneider, *J. für die reine und angew. Math.*, 175 (1936), 182–192.
7. ———, *J. für die reine und angew. Math.*, 188 (1950), 115–128.

The University,  
Manchester 13.

(Received 26th February, 1957.)